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# Experimental and Theoretical Study of the Structural, Magnetic and Electronic Properties of the $\text{Ba}_2\text{GdSbO}_6$ Perovskite

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**Abstract:** In this work the procedure to the synthesis of  $\text{Ba}_2\text{GdSbO}_6$  complex perovskite by the solid-state reaction method is reported. Theoretically a study of the crystalline and electronic structure was performed into the framework of the Density Functional Theory (DFT). The most stable structure is obtained to be a rhombohedral perovskite with a lattice constant  $a=6,0840 \text{ \AA}$ . Due the occurrence of a mean energy gap of  $2,84 \text{ eV}$  close to the Fermi level for both up and down spin polarizations this material is classifies as insulator. The effective magnetic moment of material obtained from the calculations was  $7,0 \mu_B$ . The crystalline structure was analyzed through the X-ray diffraction technique and Rietveld refinement of the experimental data. Results are strongly in agreement with those theoretically predicted. Magnetic response was studied from measurements of magnetic susceptibility as a function of temperature. Results reveal the paramagnetic feature of this material in the temperature regime from  $50 \text{ K}$  up to  $300 \text{ K}$ . From the fitting with the Curie law the effective magnetic moment was obtained to be  $8,1 \mu_B$ , which is slightly higher than the theoretical value for the  $\text{Gd}^{3+}$  isolated cation predicted by the theory of paramagnetism. The energy gap obtained from experiments of diffuse reflectance is relatively in agreement with the theoretical predictions. The dielectric constant as a function of applied frequencies at room temperature was measured. Results reveal a decreasing behavior with a high value of dielectric constant at low applied frequencies.

**Keywords:** Perovskite Material, Structure, Magnetic Feature, Electronic Properties

## 1. INTRODUCTION

Recently, there has been a great interest in the electronic oxide ceramics oxides with  $\text{ABO}_3$  perovskite structure due to the chemical versatility to evaluate different combinations of transition metal cation in the B site and obtain a variety of magnetic and electric properties [1, 2]. Ideally, a simple  $\text{ABO}_3$  perovskite possesses a 3-dimensional cubic network of  $\text{BO}_6$  octahedra with the cation A into the void formed by eight octahedral with  $\text{AO}_{12}$  coordination [3]. If the A and B sites contain a mixture of cations, distorted complex perovskites structures with lower symmetries are obtained. Distortions are mainly related with octahedral tilting due to a small cation driving a rotating of octahedral respect the crystallographic axes. Other kind of distortion is the cation displacement caused through first and second-order Jahn-Teller effects. Specially, the

1:1 B-site based class with chemical formula  $\text{A}_2\text{BB}'\text{O}_6$  (or double perovskites) is the most frequently complex perovskite encountered [4]. From the distortions and composition of the double perovskites, new properties and applications are found in ferroelectric devices with large dielectric constants and frequency dispersion [5], magnetoresistance [6], solar energy conversion [7], catalysis [8], solid-oxide fuel cells [9] and microwave resonator [10]. In this paper we propose the synthesis and characterization of  $\text{Ba}_2\text{GdSbO}_6$  ceramic material. We describe the crystalline structure of this double perovskite and perform morphological and compositional analyses. Furthermore, we present results of measurements of the magnetic response as a function of temperature. Moreover, considering that in recent years the density functional theory (DFT) has constituted in a strong tool to study electronic properties in

perovskite-like material [11], we carried out a study of the electronic properties of these materials, in order to establish the type of hybridization between the orbitals of  $\text{GdO}_6$  and  $\text{SbO}_6$  octahedra present in the structure.

## 2. EXPERIMENTAL

Samples were synthesized through the standard solid-state reaction recipe. Precursor powders of  $\text{Gd}_2\text{O}_3$ ,  $\text{Sb}_2\text{O}_5$  and  $\text{Ba}_2\text{CO}_3$  (Aldrich 99.9%) were stoichiometrically mixed according to the chemical formula  $\text{Ba}_2\text{GdSbO}_6$ . Mixture was ground to form a pellet and annealed at 1000 °C for 30 h. The samples were then reground, repelletized and sintered at 1100 °C for 40 h and 1200 °C for 40 h. X-ray diffraction (XRD) experiments were performed by means of a PW1710 diffractometer with  $\lambda_{\text{CuK}}=1.54064$  Å. Rietveld refinement of the diffraction patterns was carried out by the GSAS program [12]. Morphological studies were performed by means scanning electron microscopy (SEM) experiments through the utilization of VEGA 3 equipment, and chemical composition of samples was analyzed by energy dispersive X-ray (EDX) technique. Field cooling measurements of the magnetic susceptibility as a function of temperature were carried out by using an MPMS Quantum Design SQUID. Diffuse reflectance experiments were performed by using a VARIAN Cary 5000 UV-Vis-NIR spectrophotometer, which has an integration sphere with a PMT/Pbs detector. The relative dielectric constant was measured by using an Agilent HP4194A-350 frequency analyzer.

## 3. CALCULATION METHOD

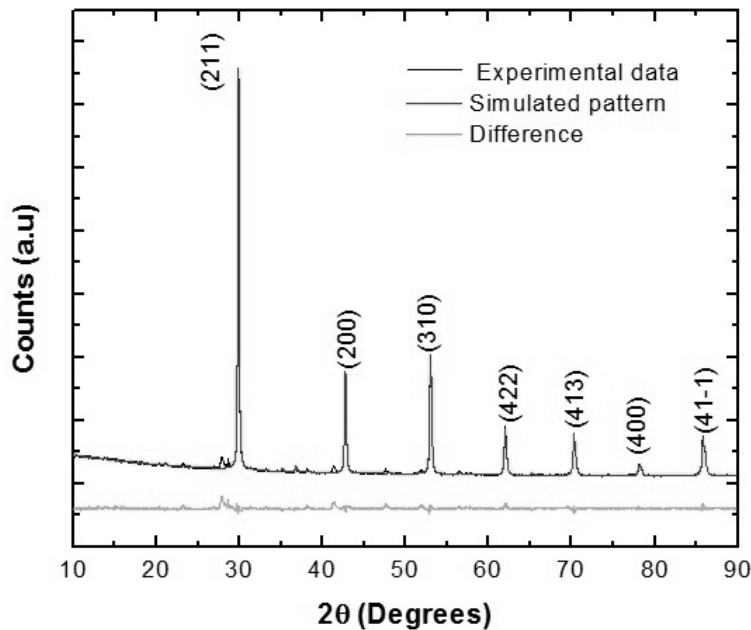
In order to determine the electronic and band structures we applied the Full-Potential Linear Augmented Plane Wave method (FP-LAPW) within the framework of the Kohn-Sham Density Functional Theory (DFT) [13], and adopted the Generalized Gradient (GGA) approximation for the exchange-correlation energy due to Perdew, Burke and Ernzerhof [14]. The self-consistent process is developed by the numeric package Wien2k [13]. Taking the experimental unit cell

data as input, the structure studied in this work were fully relaxed with respect to their lattice parameters and the internal degrees of freedom compatible with the space group symmetry of the crystal structure. The resulting energies versus volume functions have been fitted to the equation of state due to Murnaghan [15] in order to obtain de minimum energy value, the bulk modulus, its pressure derivative and the equilibrium lattice parameters and associated volume. The muffin-tin radii used for  $\text{Ba}_2\text{GdSbO}_6$  were 2,20; 2,50; 2,12 and 1,82 Bohr for Ba, Gd, Sb and O respectively, angular momentum up to  $l = 10$  inside the muffin-tin sphere, a maximum vector in the reciprocal space of  $G_{\text{max}}=12,0$ ;  $\text{RMT} \cdot K_{\text{max}} = 7,0$  and a mesh of 1000 points in the first Brillouin zone (equivalent to a maximum of 250 k points in the irreducible Brillouin zone). Finally, the convergence criterion for the self-consistent calculation was 0,0001 Ry for the total energies, 0,0001 Bohr in the charge and 1,0 mRy/u.a in the internal forces. Spin polarization was included in the calculations.

## 4. RESULTS AND DISCUSSION

Figure 1 shows the XRD patterns obtained for  $\text{Ba}_2\text{GdSbO}_6$ . In the picture black symbols represent the experimental data and line corresponds to simulated pattern by means of GSAS code. Base line is the difference between theoretical and experimental results. Refinement parameters of figure 1 were  $\chi^2=5,236$ ,  $R_{(\text{F}_2)}=5,84\%$ . From the Rietveld refinement it was established that these materials crystallize in a rhombohedral perovskite structure, space group R-3 (#148). The structural parameter obtained from the refinement was  $a=5,9882(1)$  Å. These results are 99,3% in agreement with the theoretical values obtained from the Structure Prediction Diagnostic Software SPuDS [16], which predicts  $a=6,0293$  Å and  $\alpha=57,8634^\circ$ . In these ceramic materials the explanation of distortion from the ideal cubic perovskite structure is clear because the double perovskite have the generic formula  $\text{A}_2\text{BB}'\text{O}_6$ , and for this

type of material the tolerance factor  $\tau$ , is



**Fig. 1.** Characteristic XRD pattern for the Ba<sub>2</sub>GdSbO<sub>6</sub> double perovskite. Black symbols represent experimental diffraction data, continuous lines are the simulated patterns and base line is the difference between experimental and calculated values.

calculated by the ratio  $\tau = \frac{r_A + r_O}{\sqrt{2} \left( \frac{r_B + r_{B'}}{2} + r_O \right)}$ , where  $r_A$ ,  $r_B$ ,  $r_{B'}$ , and  $r_O$  are the ionic radii of the A, B, B', and O ions, respectively.

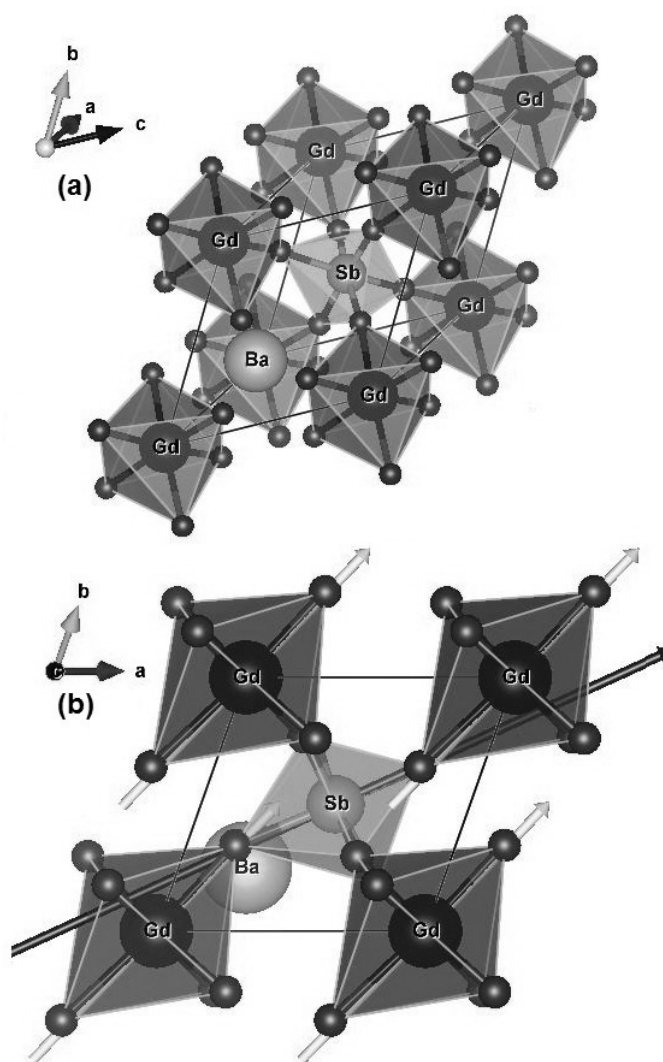
If  $\tau$  is equal to unity, there is ideal cubic perovskite structure, and if  $\tau < 1$  the structures is distorted from the cubic symmetry. The value of tolerance factor obtained for the complex perovskite was 0,9081. The Wyckoff positions obtained from the refinement analysis are presented in table 1.

From the structural analysis above, the expected structure of the Ba<sub>2</sub>GdSbO<sub>6</sub> complex

perovskite is that presented in figure 2. Clearly, a tolerance factor as far from the ideal value is related to distortions from the cubic cell. As showed in figures 2a and 2b, there is a difference between directions of the GdO<sub>6</sub> and SbO<sub>6</sub> octahedra. The most important has to do with the nature of the rhombohedral structure, since the trigonal angle  $\alpha = 60,0290^\circ$  is quite far from 90o degrees corresponding to the ideal cubic cell. Furthermore, the tilt of the GdO<sub>6</sub> and SbO<sub>6</sub> octahedra occurs out of phase for an angle  $\beta = -16,4650^\circ$ , corresponding to the Glazer notation  $a^-a^-a^-$ , whose symmetry involves reflections, signaling odd-odd-odd reflections, which is characterized by cationic ordering and simple

**Table 1.** Atomic positions of cations and anions on the unit cell for Ba<sub>2</sub>GdSbO<sub>6</sub>.

| Atom | Wyckoff Site | <i>x</i> | <i>y</i> | <i>z</i> |
|------|--------------|----------|----------|----------|
| Ba   | 2 <i>c</i>   | 0,2500   | 0,2500   | 0,2500   |
| Gd   | 1 <i>a</i>   | 0,0000   | 0,0000   | 0,0000   |
| Sb   | 1 <i>a</i>   | 0,5000   | 0,5000   | 0,5000   |
| O1   | 6 <i>f</i>   | -0,1771  | -0,3613  | 0,2705   |



**Fig. 2.** (a) Characteristic cell and (b)  $\text{GdO}_6$  and  $\text{SbO}_6$  octahedra in the  $ab$ -plane for trigonal structure of the  $\text{Ba}_2\text{GdSbO}_6$  double perovskite

octahedral tilting.

SEM images of the material shown in figure 3 reveal a qualitative approximation to the surface microstructure. In picture (a) evidences the formation of clusters of polyhedral grains and interstitial particulate grains. From picture (b) it is clear that the grains could end up having a few tens of nm, forming groups, which have appearance of clusters of several  $\mu\text{m}$  sizes.

By applying the EDX microprobe of the VEGA3 TESCAN microscope, the percentages of the constituent elements of the material were

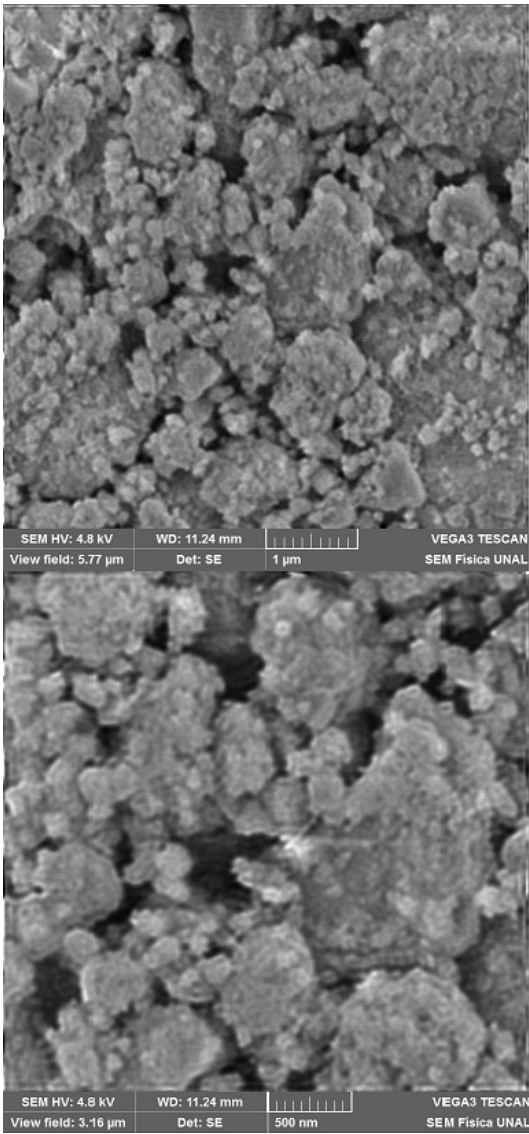
obtained, as reported in table 2. These values confirm that the material does not contain other elements than those corresponding to the expected stoichiometry  $\text{Ba}_2\text{GdSbO}_6$ . However, the experimental result reveals an apparent low content of the oxygen anion against an increase in the percentage of Ba, Gd and Sb cations, which may be because the resolution of the device is slightly affected because oxygen is a very light element compared to the other constituents of the compound.

Measurements of magnetic susceptibility as a

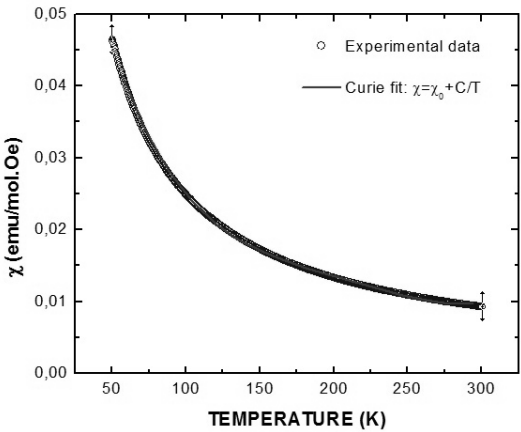


**Table 2.** Compositional percentages of elements for Ba<sub>2</sub>GdSbO<sub>6</sub> obtained from EDX.

| Element | Theoretical % | Experimental % |
|---------|---------------|----------------|
| Ba      | 42,28         | 43,33          |
| Gd      | 24,20         | 24,81          |
| Sb      | 18,74         | 19,10          |
| O       | 14,78         | 12,76          |



**Fig. 3.** Granular topology of the surface obtained from SEM images for the Ba<sub>2</sub>GdSbO<sub>6</sub> perovskite-like material



**Fig. 4.** Magnetic behaviour of Ba<sub>2</sub>GdSbO<sub>6</sub> material obtained from measurements of susceptibility as a function of temperature. Red line corresponds to the fit with the Curie law.

function of temperature reveal the paramagnetic character of the Ba<sub>2</sub>GdSbO<sub>6</sub> complex perovskite. Figure 4 shows the Curie fitting performed following the equation  $\chi = \chi_0 + (C/T)$ . In the Curie equation,  $C = N\mu_{\text{eff}}^2/3K_B$  is the Curie constant,  $N$  is Avogadro's number,  $\mu_{\text{eff}}$  is the effective magnetic moment ( $\mu_{\text{eff}} = P_{\text{eff}}\mu_B$ ),  $P_{\text{eff}}$  represent the effective Bohr magneton number,  $\mu_B$  is the Bohr magneton,  $K_B$  is the Boltzmann constant and  $\chi_0 = 0.00139$  emu/mol is the temperature independent susceptibility term. From the Curie constant  $C$  the effective magnetic moments for Ba<sub>2</sub>GdSbO<sub>6</sub> material was calculated to be 8.1  $\mu_B$ . This value is 98% in agreement with the theoretical expected moments obtained from the Hund's rules [19]. The difference is attributed to other magnetic effects due to the hybridization of

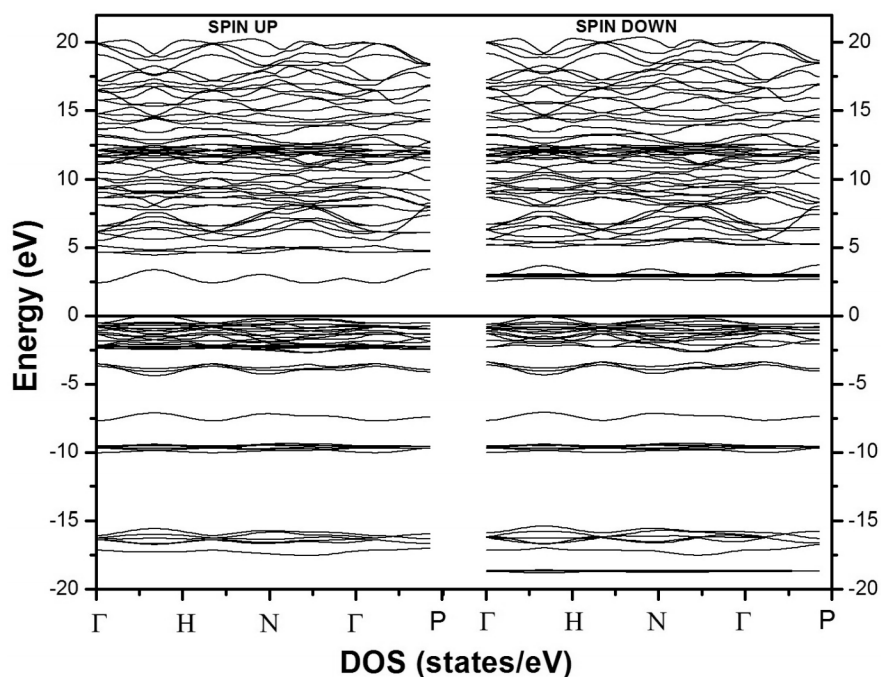


Fig. 5. Spin polarized band structure for the  $\text{Ba}_2\text{GdSbO}_6$  double perovskite.

the d-Sb and f-Gd orbital and non-preferential spin orientations in the  $\text{GdO}_6$  and  $\text{SbO}_6$  octahedra due structural distortions.

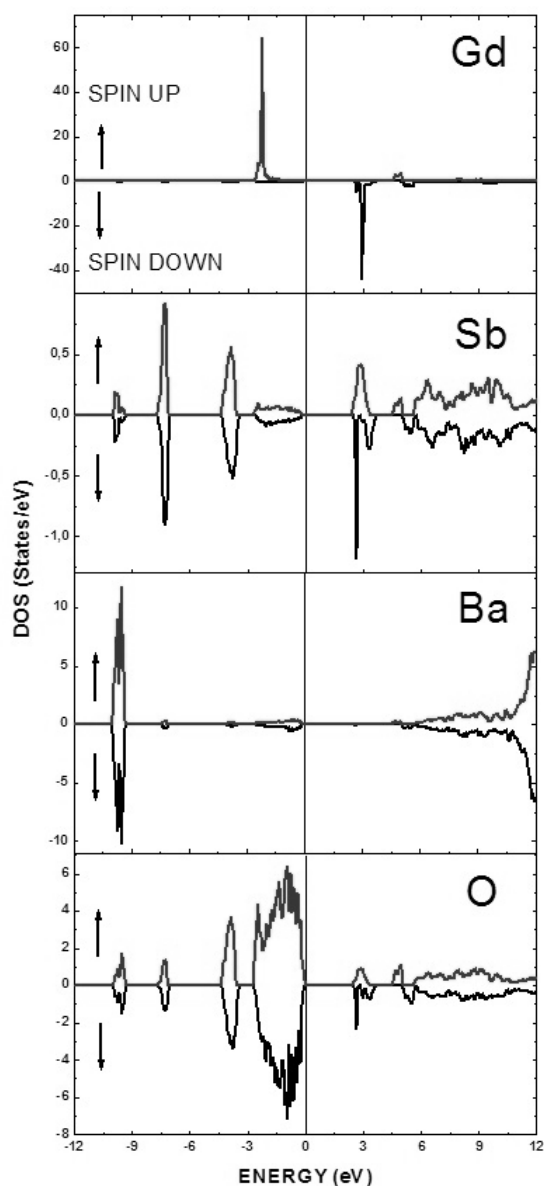
In order to obtain the most accurate result, the optimal lattice parameter corresponding to the minimal energy value was calculated as the total energy versus volume. The total energy was calculated by fittings with the Murnaghan's state equation [15]. From total energy as a function of volume the ideal lattice parameter was determined to be  $a=6,0840 \text{ \AA}$ . Figure 5 shows the band structure for both up and down spin polarizations. In the picture,  $E=0 \text{ eV}$  corresponds to the Fermi level. It is observed that these materials evidence weak semiconductor behavior with gap energy through the Fermi level from  $-0,05 \text{ eV}$  up to  $2,81 \text{ eV}$  (width  $2,86 \text{ eV}$ ) for the spin up polarization and between  $-0,05 \text{ eV}$  and  $2,78 \text{ eV}$  (width  $2,83 \text{ eV}$ ) for the spin down orientation.

The Partial Densities of States (DOS) for the  $\text{Ba}_2\text{GdSbO}_6$  complex perovskite are exemplified in figure 6. As in the figure 5, the energy zero corresponds to the Fermi level reference. It is important to elucidate that close the Fermi level

there are contributions due to the O-2p hybridizations but Gd-4f spin up orbitals are responsible for priority contributions. Very incipient contributions of Sb-3d states are observed. This behavior can be clearly seen in Figures 5 and 6 in the energy range between  $-2,87$  and  $-0,05 \text{ eV}$ . Localized states attributed to Sb-3d, Ba-6s and O-2p appear far the Fermi level, below  $-3,00 \text{ eV}$ . On the other hand, in the conduction band, it is observed in figures 5 and 6 that available states are majority due to the Gd-4f spin down orbitals with very small contributions of the Sb-3d and O-2p spin up and down levels.

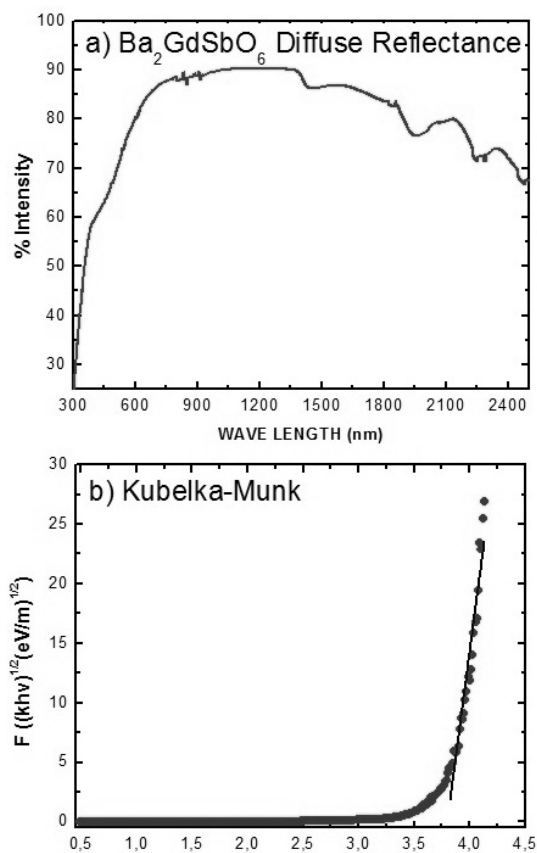
The magnetic moment of mixed charge density was calculated to be  $7,0 \mu_B$  for  $\text{Ba}_2\text{GdSbO}_6$ . This value is relatively different from the value obtained experimentally. However, an important element to be taken into account has to do with the clutter of Gd and Sb cations in the structure of the double perovskite, which can not only distorted octahedra but also modify the magnetic response of the material.

Results of diffuse reflectance experiments are shown in figure 7. Reflectance values were acquired at  $1 \text{ nm}$  intervals over the  $300\text{--}2500 \text{ nm}$ ,



**Fig. 6.** Partial DOS with spin up and down polarizations for the  $\text{Ba}_2\text{GdSbO}_6$  double perovskite.

as shown in figure 7a. The absorption of UV-Vis-NIR radiation reveals that the molecules of the  $\text{Ba}_2\text{GdSbO}_6$  material cause the excitation of electrons from the ground state to excited state, which produces electronic jumps between quantum levels. The quantum characteristics energy, which depends from the electronic configuration, is calculated from the radiated energy. By applying the Kubelka-Munk model,



**Fig. 7.** a) Diffuse reflectance results for the  $\text{Ba}_2\text{GdSbO}_6$  material. b) Kubelka-Munk fit.

currently used to the measurement of the band gap in powder samples, the respective band gap for the  $\text{Ba}_2\text{GdSbO}_6$  perovskite is obtained as showed in figure 7b. Structural defects or vacancies can produce spontaneous absorption that appears for several wavelengths, as observed in figure 7a.

From the adjustment to the Kubelka-Munk equation it was determined that the energy gap of the  $\text{Ba}_2\text{GdSbO}_6$  is 3.61 eV at room temperature, which is 33% different of the theoretic prediction given by the DOS calculations for  $T=0$  K. This difference occurs because in the DFT calculations, the exchange and correlation potential by Perdew, Burke and Ernzerhof [14] gives a very good approximation for the valence and conduction density of states, but is not very accurate for determining the energy gap in

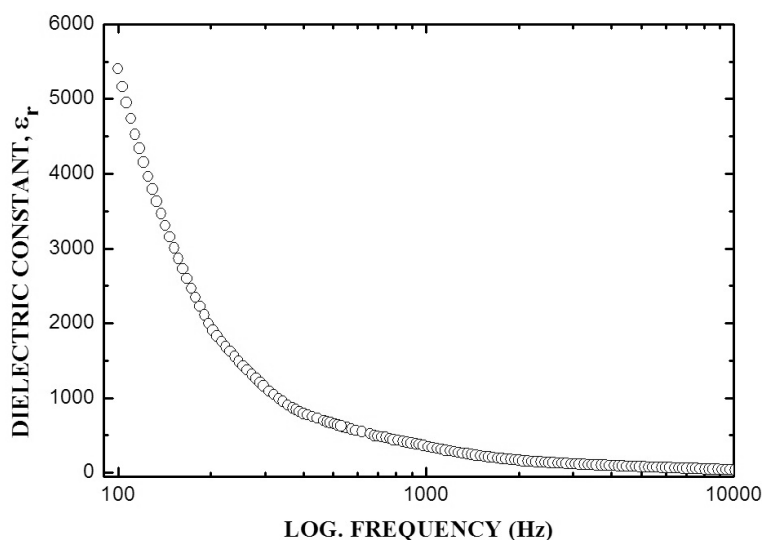


Fig. 8. Dielectric constant as a function frequency measured for the  $\text{Ba}_2\text{GdSbO}_6$  perovskite.

semiconductors due to self-interaction errors [18-19], for which it would be more interesting to use a potential specifically designed for this purpose, that uses local functional without Hartree-Fock exchange [20-21].

The dielectric characteristics of this compound were obtained from measurements of the dielectric constant as a function of frequency performed. The corresponding results are shown in figure 8. As shown in the picture, at low frequencies ( $\nu=100$  Hz) the value of the dielectric constant  $\epsilon_r=5400$ , which is a tendency of the giant-dielectric materials [22]. The value of the dielectric constant decreases dramatically with increasing frequency between 100 Hz and 1000 Hz. Above  $\nu=1000$  Hz, the dielectric constant decreases smoothly to the value  $\epsilon_r=40$  at  $\nu=10$  kHz. There are reports according to which it is expected that most giant dielectric constants are due interconnected effects of polarization [23]. In semiconductors, for example, the formation of Schottky barriers at the contacts could lead to depletion regimes. Another example has to do with the formation of internal barrier layer capacitors through grain boundaries, which produce relaxations Maxwell-Wagner type, resulting in very high dielectric constant [24]. It is not excluded that the behavior of the dielectric constant depending on the frequency has to do

with the occurrence of relaxation processes attributed to hopping of oxygen  $\text{O}^{2-}$  ions and  $(\text{O}_2)^{2-}$  peroxide between multiple off-center sites of the crystal [22].

## 5. CONCLUSIONS

The  $\text{Ba}_2\text{GdSbO}_6$  complex has been synthesized by the solid-state reaction procedure. A study of the crystalline and electronic structure was performed through the Linearized Augmented Plane Wave method (FP-LAPW) into the framework of the Density Functional Theory (DFT). The results reveal that a rhombohedral perovskite is the more stable structure with a lattice parameter  $a=6,0840$  Å. Close to the Fermi level a mean energy gap of 2,84 eV was observed for both up and down spin polarizations, which permitted to classify this material as insulator. The largest contribution to the effective magnetic moment, due to the  $\text{Gd}^{3+}$  cations, evidenced a value of  $7,0 \mu_B$ . The crystalline structure was experimentally determined by means measurements in a Panalytical XPert Pro X-ray diffractometer with a Rietveld refinement of the diffraction pattern through de GSAS code. The experimental lattice parameter  $a=5,9882(1)$  Å is 98,4% in agreement with those theoretically predicted. Measurements

of magnetic susceptibility as a function of temperature reveal the paramagnetic feature of this material. From the fitting with the Curie law the effective magnetic moment was obtained to be  $8.1\mu_B$ , which is slightly higher than the theoretical value for the  $Gd^{3+}$  isolated cation predicted by  $\mu_{eff} = g[J(J+1)]^{1/2}$ , where  $g$  represents the Landé's factor and  $J$  is the quantum number related to the 4f electronic orbital. Experiments of diffuse reflectance and fittings with the Kubelka–Munk equation permitted to obtain an energy gap of 3.61 eV, which differs 33% when compared with the theoretical value predicted by DFT. This difference is attributed to the temperature effect.

## ACKNOWLEDGEMENTS

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# The Effects of Plasma Spray Parameters on the Microstructure and Phase Composition of Thermal Barrier Coatings Made by SPPS Process

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**Abstract:** In this paper the effect of plasma spray parameters, atomizing gas and substrate preheat temperature on microstructure and phase composition of YSZ coatings produced by SPPS process have been investigated. The experimental results showed that increasing the power of plasma, using hydrogen as the precursor atomizing gas and increasing substrate preheat temperature decrease the amount of non-pyrolyzed precursor in the coatings. At low plasma power most of the deposited precursor is in non-pyrolyzed state, and consequently the applied coatings are defective. The increase in substrate temperature beyond 800 °C either by preheating or heat transfer from plasma torch to the substrate, prevent the coating formation. In SPPS coating formation, up to a special spray distance the optical microscopy image of the coatings showed a snowy like appearance. XRD analysis showed that in this situation the amount of un-pyrolyzed precursor is low. Beyond this spray distance spherical particles are obtained and XRD analysis showed that most of the precursor is in un-pyrolyzed state.

**Keywords:** Thermal Barrier Coatings, Solution Precursor plasma Spray, Precursor Atomizing, Ytria Stabilized Zirconia

## 1. INTRODUCTION

Thermal barrier coatings (TBCs) have been widely used in various gas turbines for aircraft propulsion, power generation, and marine propulsion [1–4]. Current TBCs are made by air plasma spray (APS) or electron beam physical vapor deposition (EB-PVD) processes. More recently, a solution precursor plasma spray (SPPS) process has been developed to deposit various ceramic coatings [5–10]. TBCs made by this process have demonstrated improved durability over a range of TBC thicknesses [11], due to their unique microstructure.

It is widely recognized that deposition of small, melted particles achieves a fine microstructure, which in turn leads to improvements in certain desirable mechanical properties such as strength and hardness of the coatings. Unfortunately, it is generally not possible to feed powders finer than 5-10  $\mu\text{m}$  to plasma torch due to the effects of surface forces on powder flow. Recently, the suspension plasma spray process (SPS) was developed to overcome

this limitation [12-14]. In this process, nano-sized particles are suspended in a liquid before injection into the plasma plume, circumventing normal feeding problems.

In SPPS process an atomizer nozzle injects the solution of final material precursor into the plasma flame. The precursor usually used for YSZ TBCs, is an aqueous solution containing zirconium and yttrium salts. As with suspension, the liquid undergoes rapid fragmentation and evaporation once injected in the plasma jet. This is followed by precipitation or gelation, pyrolysis and melting to result droplets with average diameters ranging from 0.1 to a few micrometers [15–17].

Three major zones can be identified within the plasma flow:

- The plasma jet core ( $T > 8000$  K) where the liquid can encounter the highest heat and momentum transfers,
- The plasma plume ( $3000 < T < 6000$  K) where the heat and momentum capabilities from the plasma are drastically reduced

- compared to the ones in the plasma core,
- The plasma fringe (around the plasma core) where the momentum might be high enough to fragment the liquid

The droplet momentum and injection location determine which area of the plasma plume a droplet will entrain and this in turn determines the thermal, or time-temperature, history of that droplet. Also spray parameters determine heat transfer to the atomized droplet. Substrate temperature affects pyrolysis reactions on the surface of coatings. Since the chemical and physical processes that a droplet undergoes are dependent on the heating that it experiences, the deposition state of the coating is a direct function of this thermal history. Once entrained, a droplet undergoes some or all of the following processes depending on the amount of heat transferred to it from the plasma: precursor solvent evaporation, droplet breakup, precursor solute precipitation, pyrolysis, sintering, melting, and crystallization [18].

In this paper the effect of plasma spray parameters, atomizing gas and substrate preheat temperature on microstructure and phase composition of TBCs applied by SPPS process have been studied.

## 2. EXPERIMENTAL PROCEDURE

### 2. 1. Materials Used

An aqueous saturated solution containing zirconium oxy-nitrate and yttrium nitrate salts was used as precursor. The amounts of these salts in the solution were considered to result 93 wt%  $\text{ZrO}_2$  and 7 wt%  $\text{Y}_2\text{O}_3$  in the coating. To make saturated solution, the calculated amounts of  $\text{ZrO}(\text{NO}_3)_2$  and  $\text{Y}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  were added to 500  $\text{cm}^3$  distilled water at 50 °C while mixing with a magnet. At first the salts dissolve in water and a colorless solution results. Then the salts

start to deposit that show a super saturated solution has been made which was used as solution precursor.

The substrates were stainless steel type AISI 420 disks of 25 mm diameter and 10 mm thickness. The specimens after roughening by grit blasting with alumina grits mesh 36 were coated with MCrAlY alloy (Amdry 962) as bond coat by atmospheric plasma spray method (Sulzer-Metco F4 gun) to reach the thickness of 80-100  $\mu\text{m}$ . Spray parameters are shown in table 1. These specimens then were coated to a thickness of 200  $\mu\text{m}$  by SPPS method.

### 2. 2. Processing

The coatings were applied using F4 plasma spray torch (Sulzer-Metco). To inject solution precursor into the plasma jet, an atomizer suitable to be mounted on this torch was made. The nozzle diameter of the atomizer was 0.3 mm. Two kinds of atomizing gas were used;  $\text{O}_2$  with flow rate of 6 slpm and  $\text{H}_2$  with flow rates of 6 and 8 slpm. To reduce the danger of using  $\text{H}_2$  as atomizing gas, two flash back arrestors after the regulator and before the atomizer have been used. The pressure of atomizing gas ( $\text{H}_2$  or  $\text{O}_2$ ) was 3.5 bar adjusted on the exit of the gas regulator. The flow rate of the atomizing gas was adjusted by a ball flowmeter. Solution precursor flow rate of 30  $\text{cm}^3/\text{min}$  at spray distances of 4 to 20 cm were used.

Two plasma powers of 18.5 and 34.5 KW and three substrate preheating temperatures of 200, 500 and 800°C were studied. The spray parameters are summarized in table 2. To study the effect of spray distance on coatings morphology, a specimen holder schematically shown in Fig. 1 was used. Ten specimens with 2 cm distances related to each other can be mounted on this holder. The vertical traverse speed for plasma gun was 5 cm/s and after each

**Table1.** Spray parameters of depositing bond coat by APS

| Ar (slpm) | H <sub>2</sub> (slpm) | Current (A) | Voltage | Injector diameter (mm) | Injector angle (degree) | Injector distance (mm) | Powder gas Ar (slpm) | Powder feed rate (g/min) | Spray distance (mm) |
|-----------|-----------------------|-------------|---------|------------------------|-------------------------|------------------------|----------------------|--------------------------|---------------------|
| 65        | 14                    | 600         | 75      | 1.5                    | 90                      | 6                      | 2.3                  | 40                       | 140                 |



**Table 2.** Spray parameters used in this study

| Experiment number | Current (A) | Plasma gas Ar (slpm) | Plasma gas H <sub>2</sub> (slpm) | Power (KW) | Atomizing gas  | Atomizing gas flow rate at pressure 3.5 bar (slpm) | Substrate preheat Temp. (°C) |
|-------------------|-------------|----------------------|----------------------------------|------------|----------------|----------------------------------------------------|------------------------------|
| 1                 | 300         | 35                   | 8                                | 18.5       | O <sub>2</sub> | 6                                                  | 200                          |
| 2                 | 600         | 35                   | 12                               | 34.5       | O <sub>2</sub> | 6                                                  | 200                          |
| 3                 | 600         | 35                   | 12                               | 34.5       | H <sub>2</sub> | 6                                                  | 200                          |
| 4                 | 600         | 35                   | 12                               | 34.5       | H <sub>2</sub> | 8                                                  | 200                          |
| 5                 | 600         | 35                   | 12                               | 34.5       | O <sub>2</sub> | 6                                                  | 500                          |
| 6                 | 600         | 35                   | 12                               | 34.5       | H <sub>2</sub> | 6                                                  | 500                          |
| 7                 | 600         | 35                   | 12                               | 34.5       | O <sub>2</sub> | 6                                                  | 800                          |
| 8                 | 600         | 35                   | 12                               | 34.5       | H <sub>2</sub> | 6                                                  | 800                          |

pass of plasma gun, a horizontal displacement of 5 mm of specimen holder caused all surfaces of the specimens to be coated. The coating process proceeded till the thickness of  $250 \pm 50$   $\mu\text{m}$  was attained. The specimens with lower spray distances because of higher spray efficiency gain the mentioned thickness earlier than specimens with higher spray distance so they discharged from the fixture earlier than the specimens with higher spray distance.

### 2. 3. Characterization

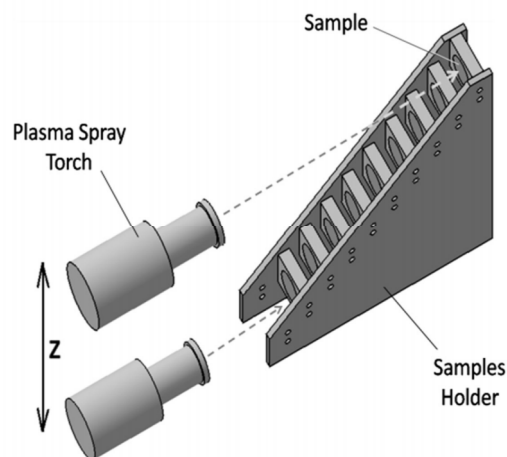
The surface morphology of deposits were characterized by light and Scanning Electron Microscope (SEM). To determine the phase composition of coatings XRD test (Cu K-radiation; D5005, Bruker AXS, Karlsruhe, Germany) was used. The temperatures of specimens were measured by an optical pyrometer.

To study the thermal shock resistance of coatings, the coated specimens were held in a box furnace at 900 °C for 10 min and then quenched to room temperature water. After 500 thermal cycles the surface of coatings were studied by optical microscope.

## 3. RESULTS

### 3. 1. Characterization of Solution Precursor

To determine the phases resulted from pyrolysis reactions by XRD analysis, some of the solution precursor was heated at 550 °C for 5 hours and the resulted material was grinded to result a powder in the range of 20-45  $\mu\text{m}$ . Fig. 2



**Fig. 1.** Specimens mounted on fixture at distances of 2 cm related to each other.

shows the result of XRD analysis. According to the work of Chen [19] it can be seen that the powder is mainly composed of stabilized Zirconia. This also can be recognized from the XRD PDF file number 81-1544 [20]. The background of XRD peaks shows that some precursor still is in un-pyrolyzed state. Recognition of  $Y_2O_3$  peaks was not possible because  $Y_2O_3$  is expected to be doped inside  $ZrO_2$  crystal structure.

### 3. 2. Optical Microscope Images of the Coatings Surface

Fig. 3 shows the optical microscope images from the surface of coatings deposited by the parameters of test 1 in Table 1 at spray distances 4 to 20 cm. It can be seen that coating deposited at spray distance 4 cm contains cracks and is

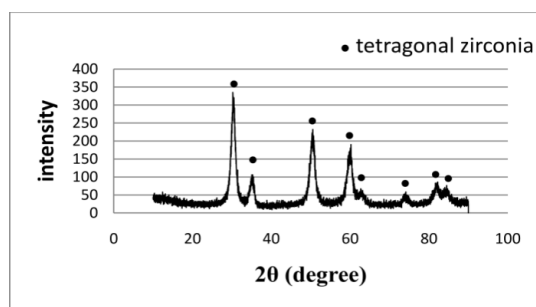


Fig. 2. XRD analysis of solution precursor pyrolyzed at 600 °C.

defective. At spray distance of 6 cm these cracks are not observed and again at spray distances 8 to 18 cm these cracks appear. The amount of cracking is low at spray distances up to 10 cm and is increased at higher spray distances up to 16

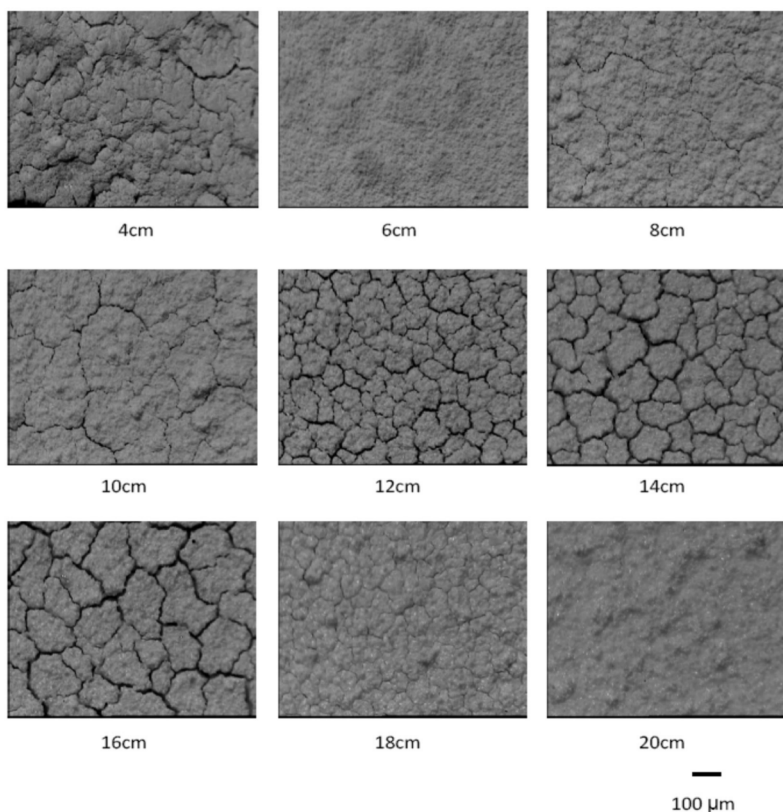


Fig. 3. Optical microscopy images from the surface of coatings produced under condition of test 1 at spray distances of 4 to 20cm ( $\times 100$ ).

cm while decreased again at spray distance of 18 cm. No crack was observed at spray distances more than 18 cm.

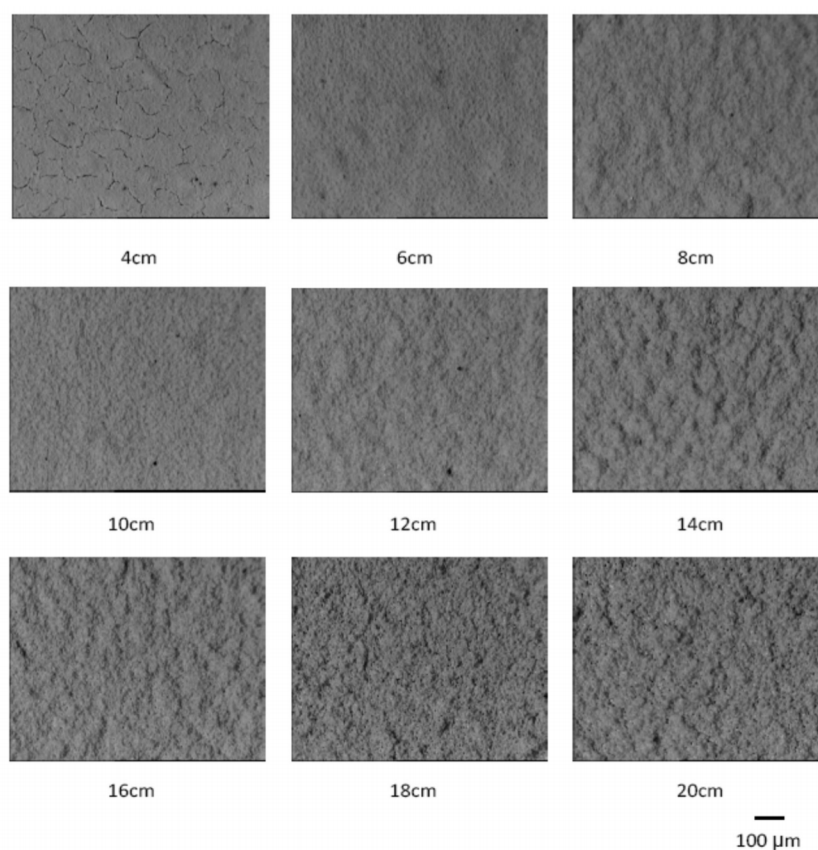
To explain this observation it should be considered that evaporation of solute and pyrolysis of precursor can be done in two steps; the first step occurs when the atomized precursor is injected into the plasma jet. At this step depending on injection and atomizing conditions, some of the solution enters the hot zone of the plasma jet in which it will breakup to fine particles and then the pyrolysis, crystallization and melting can occur [21]. The remaining part enters the lower temperature zone of plasma in which the evaporation of precursor solute or pyrolysis reactions may not be done completely. At the second step depending on preheating temperature of substrate and the heat transferred from plasma torch to substrate solute evaporation

or pyrolysis reactions can be occurred on the substrate. Obviously by decreasing the spray distance more heat transfers to the substrate and these reactions will be done severely.

In the condition of test 1, in which the power of plasma is low, complete evaporation and pyrolyzation of the solution precursor would not occur.

It seems that at spray distance of 4 cm there is not enough time for complete evaporation of precursor solute while reaching the surface of substrate. So the mud-flat cracks observed in coatings are due to rapid evaporation of precursor solute by high heat transfer from the plasma to the layers formed on the substrate.

Lack of cracks at spray distance of 6 cm proposes that the cracking seen at more spray distances should have another reason. Xie [22] showed that unpyrolyzed precursor starts to



**Fig. 4.** Optical microscopy images from the surface of coatings produced under condition of test 2 at spray distances of 4 to 20cm ( $\times 100$ ).

decompose at temperatures above 350°C. The decomposition of precursor causes contraction and tensile stresses which can cause cracking in the coating structure. Because of low plasma power used in test 1, much of the precursor remains unpyrolyzed and heat transferred from plasma torch to the layers already formed on substrate causes pyrolyzation to occur and the resulted stresses cause cracking. Lack of cracking in coatings produced at spray distances of 20 cm and more shows that at these distances the heat transferred from plasma torch to substrate was not enough to cause pyrolyzation.

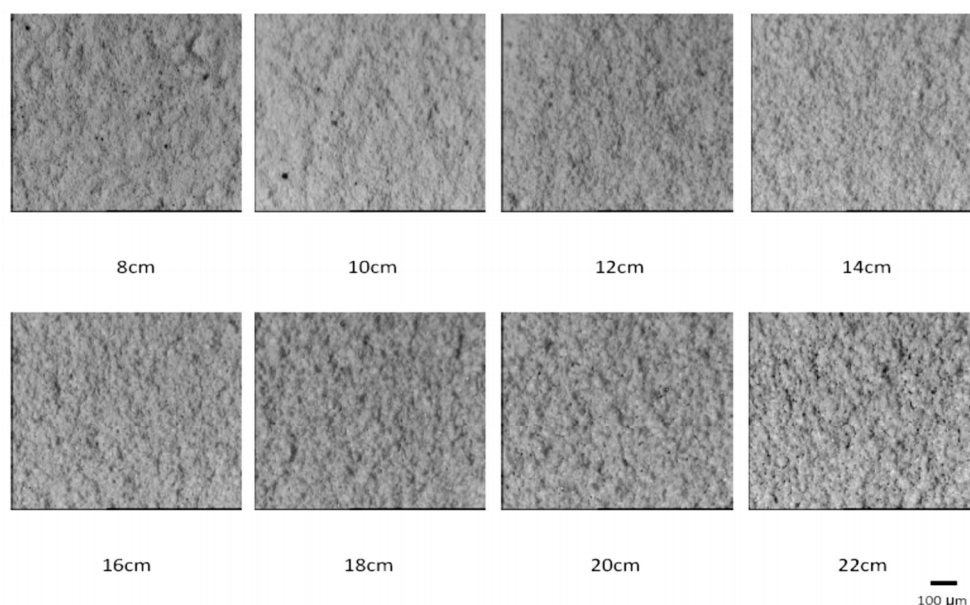
As mentioned earlier when the droplets enter the hot zone of the plasma core, they breakup to finer particles. Because of lower volume of these particles, the pyrolysis and crystallization reactions can occur to a large extent. By increasing the spray distance the percent of fine particles which reach the substrate and contribute to the coating structure decreases and the result will be increase in un-pyrolyzed precursor in the deposited coatings. Therefore more volume of the precursor undergoes pyrolyze reaction and the cracking will be more severe. This is the trend observed at spray distances up to 16 cm. At spray

distance of 18 cm because heat transfer from plasma to substrate is low the pyrolyzation could not occur to a large extent and cracking is low. At higher spray distances no cracks are seen.

Another result from Fig. 3 is that up to spray distance of 10 cm the coatings have a snowy like structure but at spray distances above 10 cm the coatings are composed of sphere particles.

In Fig. 4 optical microscope images from the surface of coatings formed under the condition of test 2 are shown. In this case also the coating formed at spray distance of 4 cm is defective while at other spray distances no cracks are observed. The reason may be the more heat transferred to both atomized precursor and layers formed on substrate because of higher plasma power used in this test which reduces the amount of un-pyrolyzed precursor. Consequently the tensile stress resulted from pyrolyzation of precursor will be lower. Another observation from Fig. 4 is that up to spray distances 14 cm the coatings has a snowy like appearance and at higher spray distances coatings are composed of spherical particles.

Fig. 5 shows the optical microscope images from the surface of coatings formed under the



**Fig. 5.** Optical microscopy images from the surface of coatings produced under condition of test 3 at spray distances of 8 to 22cm ( $\times 100$ ).

condition of test 3. It can be seen that at all spray distances the coatings are crack free. This demonstrates the presence of low amount of unpyrolyzed precursor in coating structure because of using  $H_2$  as the atomizing gas which increases the enthalpy of plasma and transfers more heat to atomized precursor and layers formed on substrate. Also up to spray distance 16 cm coatings have a snowy like appearance.

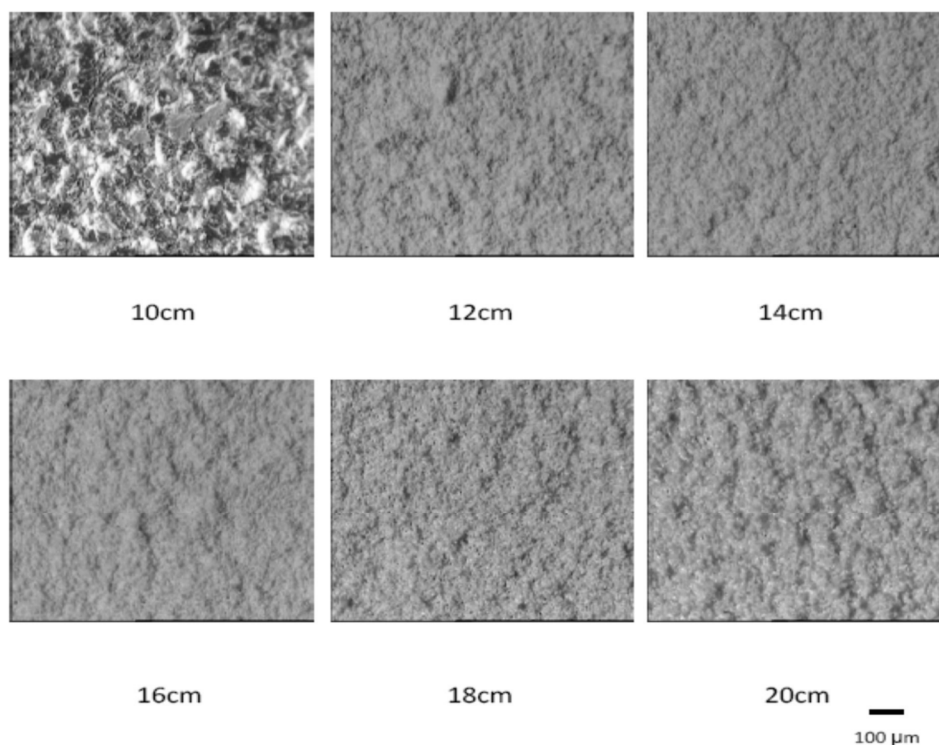
The coatings formed under the condition of test 4, similar to coatings of test 3, show no cracking but the snowy like appearance is observed at spray distances lower than 18 cm.

In Fig. 6 the optical microscope images from the surface of coatings formed under the condition of test 5 are shown. By preheating the substrate up to 500 °C no cracks were observed in the structure at all spray distances. It seems that some of the unpyrolyzed precursors reaching the high temperature substrate surface, pyrolyze immediately and the amount of unpyrolyzed precursor in the coating structure, which during

the subsequent passes of plasma torch undergoes pyrolyzation, is not enough to cause cracking in the coatings. Also up to spray distance of 18 cm coatings have a snowy like appearance. At spray distances lower than 12 cm deposit formation was not possible. Measuring the substrate temperature at this condition showed that the substrate temperature have been raised up to 800°C and it seems that the resulted radiation prevents coating formation. This situation also encountered in conditions of tests 6-8 in which coatings formation were not possible.

### 3. 3. XRD Results

To determine the crystal structure of the coatings, XRD tests were done on the coatings produced under the conditions of test 2 at spray distances 6 and 16 cm and on coating produced under the condition of test 3 at spray distance of 6 cm. The results are shown in Fig. 7. The coatings are mainly composed of stabilized



**Fig. 6.** Optical microscopy images from the surface of coatings produced under condition of test 5 at spray distances of 10 to 20cm ( $\times 100$ ).

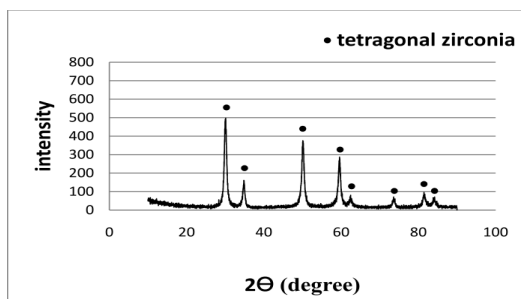


Fig. 7 a

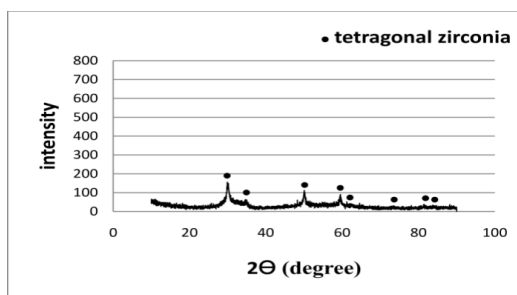


Fig. 7 b

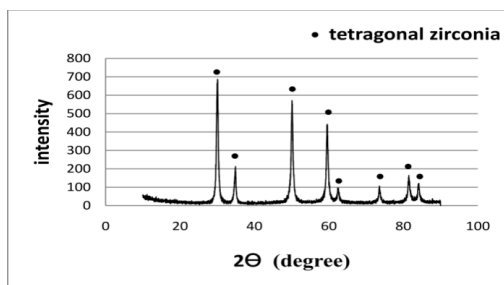


Fig. 7 c

**Fig. 7.** Results of XRD test for coatings produced under the condition of test 2 at spray distances of 6cm (a) and 16cm (b) and coating produced under the condition of test 3 at spray distance of 6cm (c).

tetragonal Zirconia. Comparing Fig. 7(a) and Fig. 7(b) shows that the amount of tetragonal phase in coatings formed at spray distance of 6 cm is more than spray distance of 16 cm in which the background peak shows the presence of a lot of

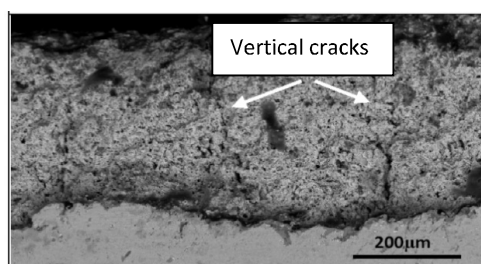
amorphous phase due to unpyrolyzed precursor. It seems that at spray distance of 6 cm the heat transferred from the plasma to the coatings already formed, is more than spray distance of 16cm and this can increase the pyrolysis reactions and crystallization on the substrate. Also from Fig. 7(c) it can be observed that the amount of crystallization when  $H_2$  is used as the atomizing gas is higher than similar conditions but using  $O_2$  as the atomizing gas. The reason can be higher enthalpy because of using  $H_2$  atomizing gas which increases the pyrolyzation reactions and crystallization both in plasma and on the layers already deposited on substrate. By considering the results of XRD tests and optical microscope images, it can be concluded that the snowy like appearance of the coatings resembles atomized particles that undergo the pyrolysis and crystallization reactions to a large extent and spherical particles resemble atomized particles that do not get enough heat for pyrolyzation and crystallization. When the droplets enter the plasma jet, they undergo post injection breakup. Thus we can expect a combination of particles which reach the substrate surface in different conditions. By considering spherical particles with the size in the range of the atomized particles in the structure of coatings at high spray distances, it can be concluded that the part of the atomized particles that undergo the breakup process, do not reach the substrate at high spray distances.

By comparison of spray distances which form snowy like coatings, it can be concluded that by increasing the enthalpy of plasma, these distances shift to higher amounts. The results are shown in Table 3.

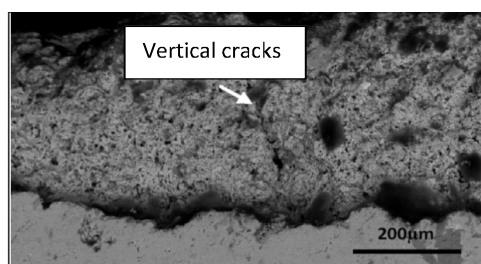
The results of XRD studies show that the final coatings contain large amounts of unpyrolyzed material, although the amount of it is lower for coatings produced by using  $H_2$  atomizing gas. And this shows the merit of using  $H_2$  atomizing gas. The completion of pyrolyzation can be done by a post heat treatment or during service at high temperature.

### 3. 4. SEM Results

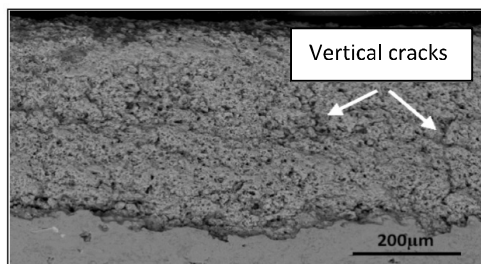
Fig. 8 shows the SEM images from the section



(a)



(b)



(c)

**Fig. 8.** SEM images from the section of coatings produced under the condition of test 2 at spray distances of 6 cm (a) and 16 cm (b) and test 3 at spray distance of 6 cm.

of coatings produced under the condition of test 2 at spray distances of 6 and 16 cm and test 3 at spray distance of 6 cm. In Fig.8 (a) vertical cracks, which are the characteristic of SPPS coatings, can be observed. Formation of these cracks has been studied by Xie [22]. He showed that the origin of cracks is pyrolyzation of the remained precursor in coatings as the result of heat transferred to the coating during coating build up from the plasma torch or subsequent exposure at high temperature service. These cracks are a positive characteristic of SPPS coatings because improve the thermal shock resistance of them.

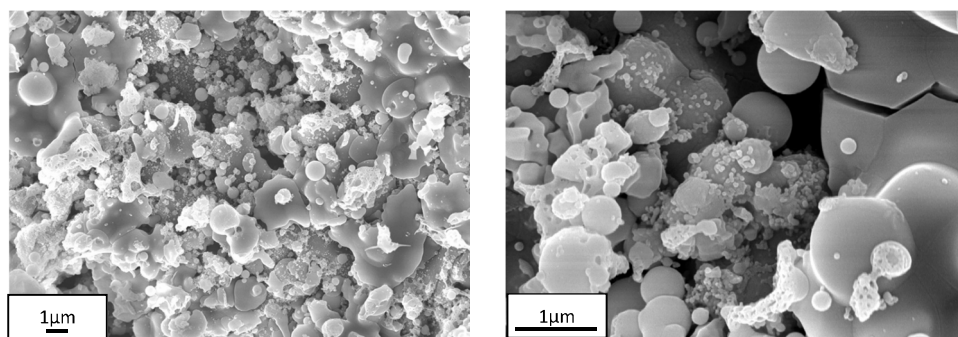
In Fig. 8(b) it can be observed that coating formed at spray distance of 16 cm is less compact than spray distance of 6 cm. From table 3 it can be concluded that pyrolyzation hasn't been done to a large extent.

In Fig. 8(c) it can be seen that using  $H_2$  atomizing gas resulted a more compact microstructure. Presence of vertical cracks in this case shows that in spite of higher enthalpy of plasma and heat transferred to the substrate, there is still unpyrolysed precursor in the coating.

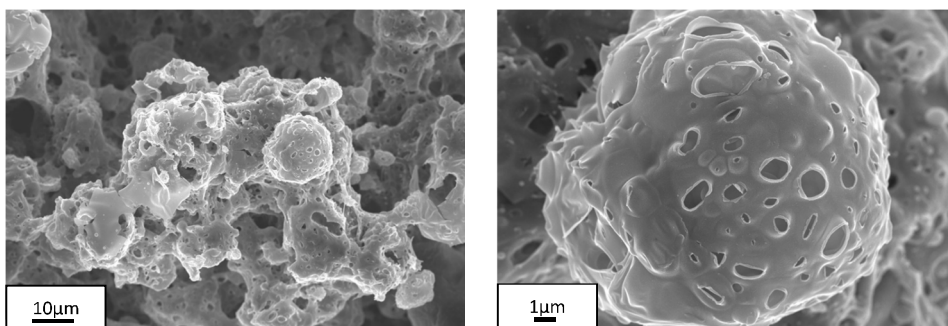
Fig. 9 shows the SEM images from the surface of coating produced under the condition of test 2 at spray distance of 6 cm. The structure of coating mainly composes of splats, spherical particles with diameters in the range of less than 50 nm to more than 1000 nm and unpyrolyzed precursor. These particles are fractured atomized droplets

**Table 3.** Effect of plasma power, Substrate preheating temperature and Atomizing gas kind and flow rate on Spray distance that lower than it coatings have a snowy like appearance

| Plasma power (KW) | Atomizing gas  | Substrate preheating temperature (°C) | Atomizing gas flow rate at pressure of 3.5 bar (slpm) | Spray distance that lower than it coatings have a snowy like appearance (cm) |
|-------------------|----------------|---------------------------------------|-------------------------------------------------------|------------------------------------------------------------------------------|
| 18.5              | O <sub>2</sub> | 200                                   | 6                                                     | 10                                                                           |
| 34.5              | O <sub>2</sub> | 200                                   | 6                                                     | 14                                                                           |
| 34.5              | H <sub>2</sub> | 200                                   | 6                                                     | 16                                                                           |
| 34.5              | H <sub>2</sub> | 200                                   | 8                                                     | 18                                                                           |
| 34.5              | O <sub>2</sub> | 500                                   | 6                                                     | 18                                                                           |



**Fig. 9.** SEM images of the surface of coating produced under the condition of test 2 at spray distance of 6 cm.



**Fig. 10.** SEM images of the surface of coating produced under the condition of test 2 at spray distance 16 cm.

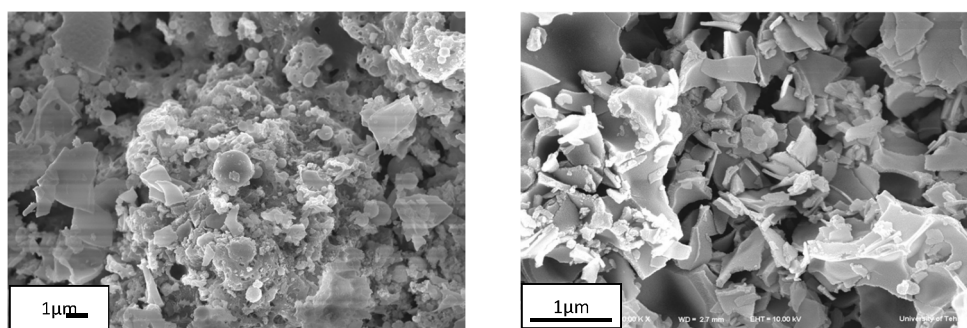
that solidified and crystallized before impact to the substrate. They adhere to the substrate after being captured by gel or softer deposit phases [21].

Fig.10 shows the surface of coatings produced under the condition of test 2 at spray distance of 16 cm. Spherical particles with diameter of 20  $\mu\text{m}$  are seen in the microstructure which are the size of precursor atomized in the plasma jet. So it can be concluded that these particles did not undergo the breakup process in the plasma jet and entered the colder part of plasma plume. The particles that have entered the hot zone of plasma plume and undergo the breakup process do not reach the substrate surface at higher spray distance. For atomized particles that have entered the cold portion of plasma and have not undergone the breakup process there is not enough heat for pyrolyzation, crystallization and melting

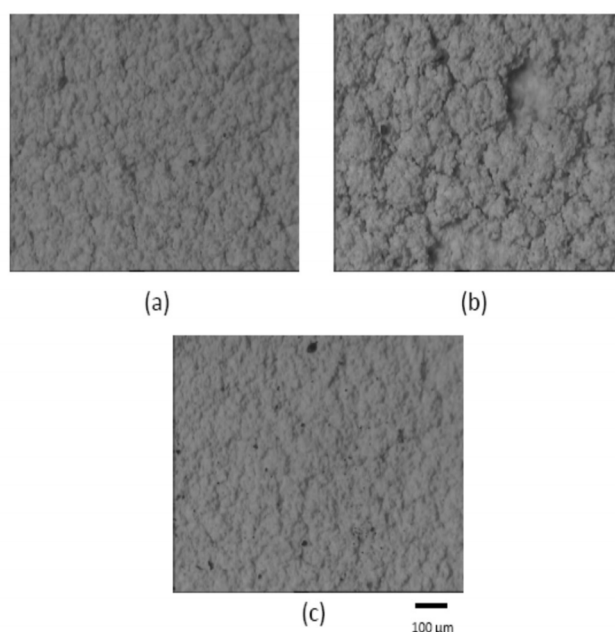
processes while such processes just start and occur on the surface of particles. The holes produced on the outer surface of spherical particles show that at first outer shell of the particles has been pyrolyzed and then evaporation of water content of un-pyrolyzed solution create the holes. Similar phenomena have been pointed out in some references [23-26]. These structural features are spherical particles appearance observed before in optical image microscopy and XRD of them seen in Fig. 7(c) showed a lot of unpyrolyzed precursor.

Fig. 11 shows the SEM image from the surface of the coating produced under the condition of test 3 at spray distance of 6 cm. The structure of this coating also composes of splats and particles with the diameter in the range of less than 500 nm to more than 1000 nm and by comparison with Fig. 9, it can be seen that the amount of





**Fig. 11.** SEM images of the surface of coating produced under the condition of test 3 at spray distance of 6 cm.



**Fig. 12.** Optical microscopy images from the surface of coated specimens under the conditions of (a) O<sub>2</sub> atomizing at spray distance of 6 cm , (b) O<sub>2</sub> atomizing at spray distance of 16 cm and (c) H<sub>2</sub> atomizing at spray distance of 6 cm after 500 thermal cycles.

unpyrolyzed precursor and spherical particles are reduced and the amount of splats are increased.

### 3. 5. The Results of Thermal Cycle Resistance Test

After 500 thermal cycles the surfaces of the coatings produced under the conditions of test 2 at spray distances of 6 and 16 cm and test 3 at spray distance of 16 cm were examined by optical microscope. The results are shown in Fig. 12. In coating produced by O<sub>2</sub> atomizing at spray distance of 6 cm (Fig. 12 (a)), some cracks on the

surface can be seen while for the coating produced by O<sub>2</sub> atomizing at spray distance of 16 cm (Fig. 12 (b)), spallation of the coating has been occurred. This result can be explained by too much unpyrolyzed precursor in coating produced at spray distance of 16 cm. Coating produced by H<sub>2</sub> atomizing at spray distance of 6 cm (Fig. 12 (c)) was sound after 500 thermal cycles. This result can be due to higher splat formation and lower unpyrolyzed precursor in this coating.

#### 4. CONCLUSION

The morphology of SPPS YSZ coatings depends on spray parameters specially plasma enthalpy. Increasing the power of plasma decreases the un-pyrolyzed precursor in coating structure. Using  $H_2$  as the precursor atomizing gas by increasing the plasma temperature and enthalpy has the same effect. Successive passes of plasma torch transfer heat to coating already formed on the substrate and cause pyrolyzation of un-pyrolyzed precursor in the coating. This effect is severe at lower spray distances. Increasing the preheating temperature of substrate before applying the coating helps pyrolyzation to occur. Existence of too much un-pyrolyzed precursor in the coating can cause cracking due to pyrolyzation. Increasing the temperature of substrate more than about 800 °C prevent coating formation.

Using  $H_2$  as the precursor atomizing gas, showed improvement in thermal shock resistance of coatings due to lower unpyrolyzed precursor and higher splat formation.

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## Diffusion Layer Growth Mechanism in ASPN Method Using an Iron Cage for St52 Steel

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**Abstract:** The mechanism of diffusion layer growth in plasma nitrided coatings applied on a St52 steel using an active screen is investigated. The nitriding was performed at 450, 500 and 550 °C temperature nitriding times of 5, 10 and 15 h, in a gas mixture containing 20 vol. % H<sub>2</sub>: 80 vol. % N<sub>2</sub> and DC-pulsed plasma nitriding unit.

The surface, cross section and the thickness of diffusion of specimens was studied in terms of optical and scanning electron microscopy. According to the measurements of diffusion layer thickness, values of  $Q$  and  $D_0$  for nitrogen diffusion in substrate were calculated as 50585 (J/mol) and  $4.11 \times 10^{-10}$  (m<sup>2</sup>/s) respectively. The variations of depth of hardness during nitriding period was determined.

**Keywords:** plasma nitriding, AISI St52 tool steel, Diffusion zone, Growth mechanism, microhardness, Active Screen

### 1. INTRODUCTION

Plasma nitriding process enhanced by an active screen is commonly used to improve steels, titanium alloys, aluminum alloys, polymers and ceramics corrosion behaviour and wear resistance [1-3]. In this process, problems of conventional plasma nitriding like the edge effect is completely omitted since the plasma is produced on the screen and not directly on the surface of sample [4,5].

During the plasma nitriding process of steels, the nitriding reaction takes place on the surface and in subsurface of substrate [6,7]. As a result, two different structures have been identified, the so-called white or compound layer and the diffusion zone. The outermost layer is compound layer, and consists of one or two kind of iron nitrides (Fe<sub>4</sub>N–Fe<sub>2</sub>–3N), depending on the process parameters. Below the white layer nitrogen atoms diffuses toward substrate which produces a diffusion zone [8-10].

In diffusion zone, the diffusion thickness,  $d$ , is defined as the depth, can be estimated as [12, 13]:

$$d = (D_e \cdot t)^{1/2} \quad (\text{Eq.1})$$

Where  $D_e$  is the effective diffusion coefficient which depends on the diffusion and trapping (nitride formation) of N. The constant  $c$  in Eq. (1) takes into account the onset time of the nitriding process, and  $t$  is the treatment time.

The temperature dependence of the effective diffusion coefficient ( $D_k$ ) can be approximately expressed in an Arrhenius form [11,14]:

$$D_k = D_{k0} \exp(-Q_k/RT) \quad (\text{Eq.2})$$

where  $D_{k0}$  is so-called pre-exponential factor,  $Q_k$  the activation energy for diffusion,  $R=8.3144$  (J/mol-K) the ideal gas constant, and  $T$  the absolute temperature. With  $c$  coefficient and  $D_e$  in Eq. (1)  $D_{k0}$  and  $Q_k$  for the diffusion of nitrogen in diffusion layer could be calculate [15,16].

In this study, the kinetics of diffusion layer produced by active screen plasma nitriding method on A St52 steel was determined. The effect of nitriding time and temperature on composition, structure and thickness of the nitrided layer was investigated by XRD optical (OM) and scanning electron microscope (SEM). The topographical evolution and surface

**Table 1.** Parameters related to screen and sample location

| Screen Material | Screen diameter | Screen height | Screen hole diameter | Distance between screen holes | Distance between screen and sample edge | Distance between screen and sample |
|-----------------|-----------------|---------------|----------------------|-------------------------------|-----------------------------------------|------------------------------------|
| St 37           | 10 cm           | 10 cm         | 1 cm                 | 1 cm                          | 3 cm                                    | 7 cm                               |

roughness were studied by atomic force microscopy (AFM). It was found that there is a correlation between these properties and nitriding of the samples.

## 2. EXPERIMENTAL PROCEDURE

A typical St52 boiler steel (chemical composition 0.27% C, 0.6% Mn, 0.18% Si, 0.2% Cr and 0.45% Cu, wt %) were used in this study. Chemical composition of steel was examined by quantometry analysis. Specimens were wire cut from the bars in the form of discs with 25 mm diameter and 10 mm height. All of the specimens were surface ground and polished using 60, 80, 120, 240, 400, 600, 800 and 1000 SiC grit and 0.5  $\mu\text{m}$  alumina powder, respectively.

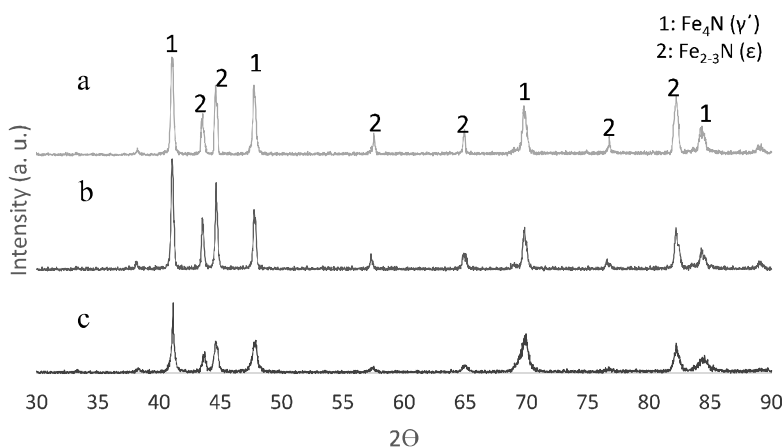
The polished specimens were placed on an insulated ceramic in an iron screen with 0.8 mm thickness, plasma nitrided in a pulsed DC plasma reactor. The plasma nitriding was performed with a 10 kHz frequency and 60% duty cycle at 450°C, 500°C and 550°C for 5, 10 and 15 h. The process parameters used in the nitriding chamber are

shown in Table 1.

X-ray diffraction (XRD) (Philips PW-1730), was used for phase composition analyze. VEGA II TESCAN scanning electron microscopy and optical microscopy (Carl-Zeiss, 4996387) used for cross sectional microstructure of coatings. Cross-sectional microhardness profiles were obtained on polished surfaces, using a Buehler microhardness tester equipped with a Vickers indenter applying 50g of load.

## 3. RESULTS AND DISCUSSION

The XRD patterns of the plasma nitrided samples treated at 450 °C, 500 °C and 550 °C for 10 h are shown in Fig. 1, which indicate that the compound layer consists of  $\gamma'$  and  $\epsilon$  iron nitrides. In general, increasing the treatment temperature resulted in an increase in the intensity of the  $\gamma'$  and  $\epsilon$  phases. This may be explained by the increase in the compound layer thickness for an increase in the treatment temperature. In fact at higher temperature more  $\text{Fe}_x\text{N}$  particles would deposit from screen on the substrate surface

**Fig.1.** XRD patterns of active screen plasma nitrided samples for 10h and 450°C (a), 500°C (b) and 550°C (c)

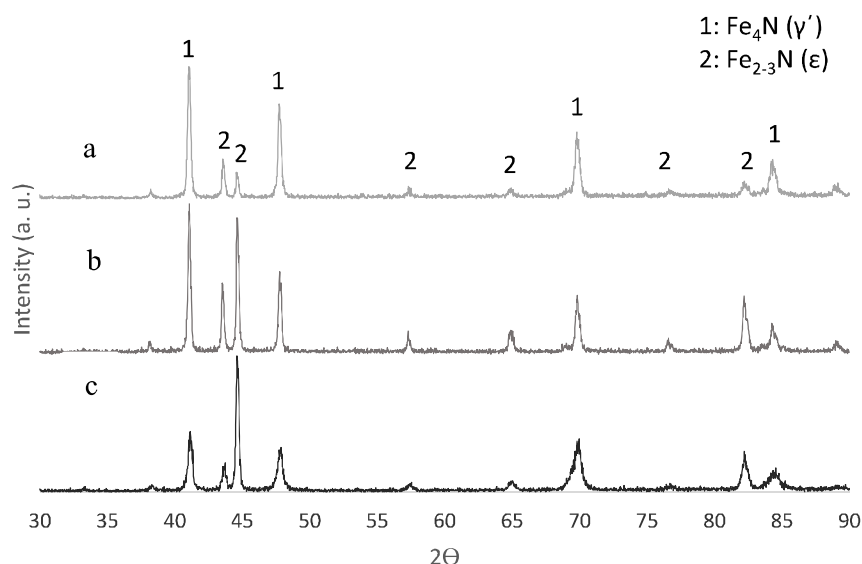


Fig. 2. XRD patterns of active screen plasma nitrided samples at 500°C for 5h (a), 10h (b) and 15h (c)

which results more diffusion of nitrogen toward substrate. Fig. 2 shows effect of plasma nitriding time on iron nitrides peak intensity. It is clearly seen that intensity of  $\gamma'$  phase increases due to decomposition of  $\text{Fe}_{2-3}\text{N}$  to  $\text{Fe}_4\text{N}$  at longer

treatment time.

The sample cross-section after plasma nitriding using an active screen at a gas mixture of 20 %  $\text{H}_2$ : 80 %  $\text{N}_2$  and a temperature of 500 °C for 10 h is presented in Fig. 3. A diffusion zone and compound layer present on the surface can be seen. Fig. 4 shows the results of the compound layer thickness as a function of treatment temperatures. Increasing temperature causes thicker compound layer. Growth of the compound layer is controlled by diffusion of nitrogen through this layer [17]. At low treatment temperature the diffusion coefficient of nitrogen atoms is low, therefore the compound layer and diffusion layer are thin. Increasing treatment temperature results in an increase in the nitrogen diffusivity, leading to the formation of thicker layers.

Thickness of layers assessed using OM and SEM cross sectional images are in Fig. 5. It is seen that by increasing the coating time, the thickness of the layers increases which is due to the growth of the iron nitride particles descending from the top screen. Similar results have been reported by other researchers [18]. One of the important properties of active screen plasma nitriding method is Enhancement of the coating

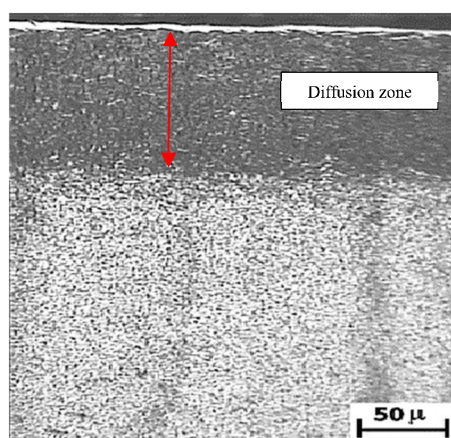
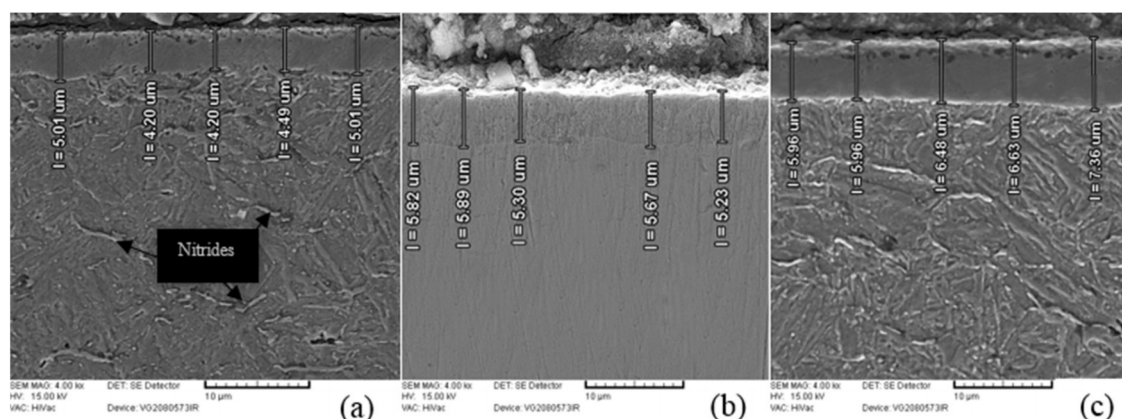


Fig. 3. Optical micrograph of typical specimen plasma nitrided at a gas mixture of 20 %  $\text{H}_2$ : 80 %  $\text{N}_2$  at 500 °C for 10 h.

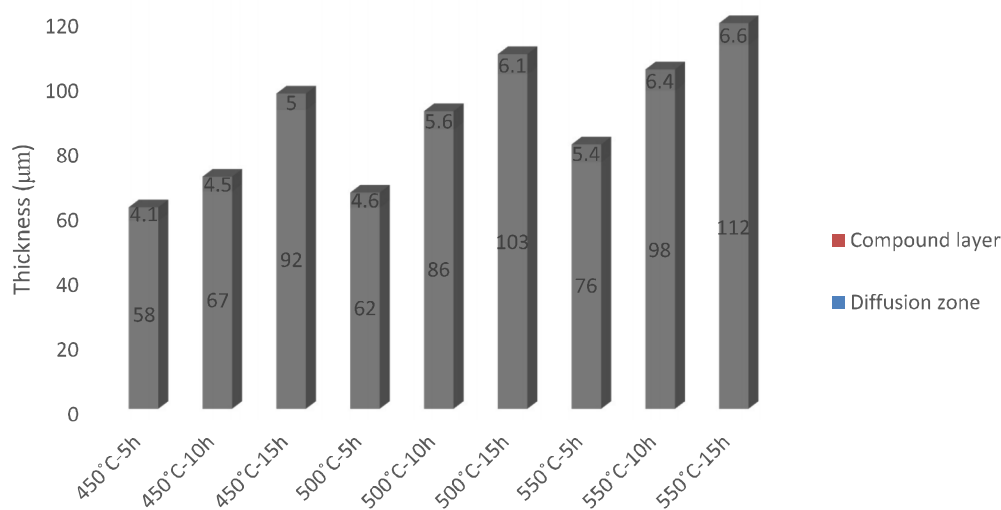


**Fig. 4.** SEM secondary electrons micrographs of the cross section specimen plasma nitrided at a gas mixture of 20 % H<sub>2</sub>: 80 % N<sub>2</sub> at 450 °C, 500 °C and 550 °C for 10 h.

thickness with nitriding time [1]. In conventional plasma nitriding, prolongation of time would decrease the thickness of coating due to the direct formation of the plasma on the surface of the specimen and continuous surface sputtering whereas in ASPN process the plasma forms on the screen. Thus, particles deposit continual on the surface leading to increase in coating thickness by treatment time. Moreover, thickness of layers is depended on temperature by Arrhenius equation [19]. In fact, increasing

temperature causes increment in diffusion constant which produces thicker diffusion zone.

Cross sectional microhardness depth profile of samples nitrided at different nitriding time in 450 °C, 500 °C and 550 °C is illustrated in Fig. 6 (a-c). The results clearly show that near surface hardness is higher by 3 times compared to that of un-nitrided substrate material. Surface hardening induced by nitriding, can be attributed to the [20]; Solution of nitrogen atoms in the iron lattice which induces solid solution strengthening,



**Fig. 5.** Thickness of compound layer and diffusion zone for nitrided samples at various plasma nitriding condition

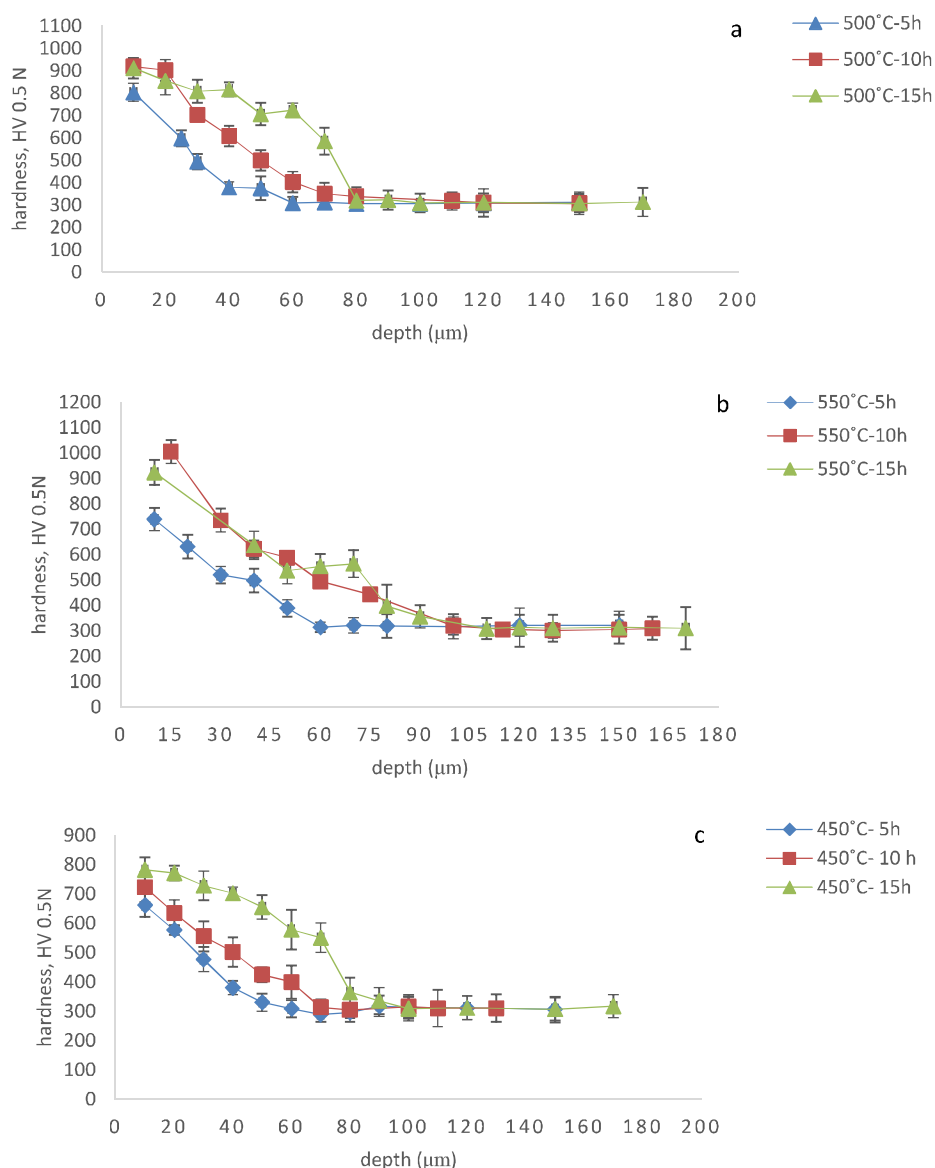
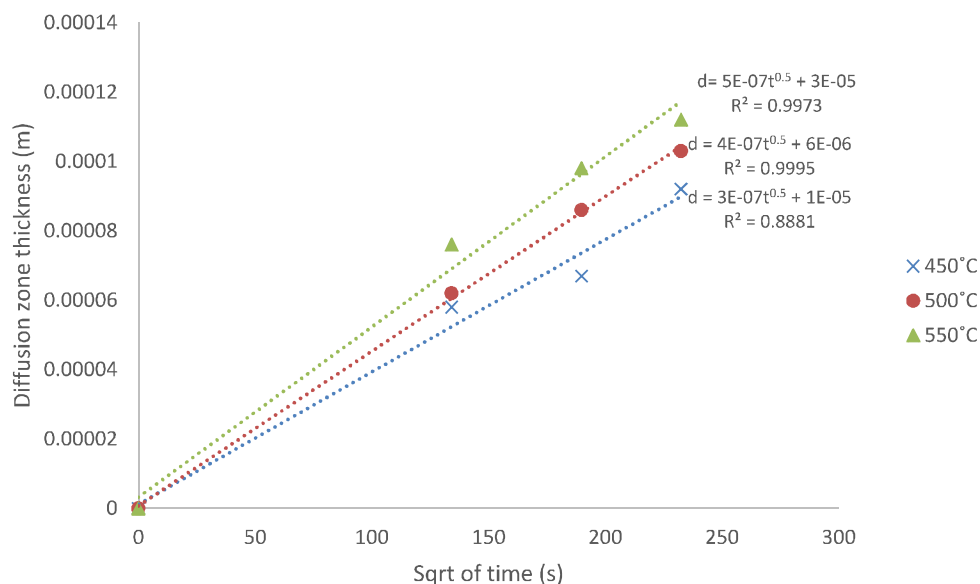


Fig. 6. Cross-sectional hardness-depth profile after plasma nitriding for different time at (a) 450°C, (b) 500°C, (c) 550°C

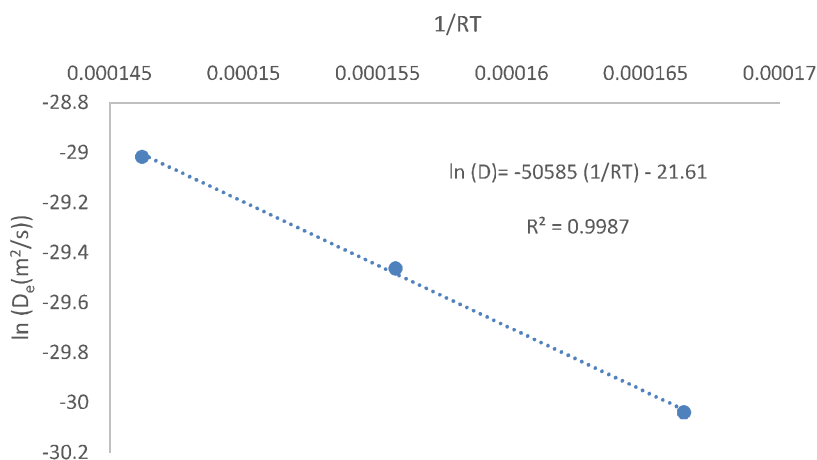
formation of alloy nitrides and fine precipitates acting as obstacle against dislocations movement and development of compressive residual stress as a result of structural misfit because of formation of interstitial solid solution and alloying element nitrides. Moreover, Fig. 6 shows that at longer times, more atomic nitrogen diffuses from top surface towards substrate and hence expanding diffusion zone. Incremental decline in

top surface microhardness could be due to the growth and coarsening of nitride precipitates which leads to lower precipitate density and lower hardness [15, 21]. Diffusion depth and microhardness is enhanced by temperature at different treatment times. Higher temperature could increase the driving force of atomic nitrogen diffusion toward the substrate which may induce nitrated phase in a higher depth.





**Fig. 7.** Diffusion layer thickness as a function of plasma nitriding time



**Fig. 8.** Calculated values of  $Q$  and  $D_0$  for nitrogen diffusion in substrate.

In Fig. 7 the variation of diffusion depth estimated by OM, SEM and microhardness depth profile is drawn versus the nitriding time. A linear relationship was found between  $d$  and  $t^{0.5}$  as expected for a diffusion controlled process. The symbols represent the obtained results and the curves are obtained from fitting of Eq.1 on obtained results. Regarding the results of

diffusion thickness measurements and with the usage of Arrhenius equation Eq. 2, values of  $Q$  and  $D_0$  for nitrogen diffusion in substrate were calculated as 50585(J/mol) and  $4.11 \times 10^{-10}$  ( $m^2/s$ ), respectively. Diffusion coefficient of nitrogen ( $D_n$ ) at 450°C would be calculated  $3.7 \times 10^{-13}$  ( $m^2/s$ ) by substituting  $Q$  and  $D_0$  values in Eq. 2.  $3.7 \times 10^{-13}$ . Fig. 8 shows values of  $Q$  and  $D_0$  for

nitrogen diffusion in substrate.

#### 4. CONCLUSION

The compositions of the coatings were studied by X-ray diffraction technique. The results showed that the compound layer was consisted mostly of dual phase -Fe<sub>4</sub>N and -Fe<sub>2</sub>-3N. Increasing treatment temperature increased both -Fe<sub>4</sub>N and -Fe<sub>2</sub>-3N in the compound layer, however increasing the processing time increased only the -Fe<sub>4</sub>N phase. Increasing treatment time and temperature increased both the compound and diffusion layer thicknesses. A linear relationship was found between the diffusion layer depth and the square root of the nitriding time, as expected for a diffusion controlled process. Values of Q and D<sub>0</sub> for nitrogen diffusion in substrate for ASPN method were calculated as 50585(j/mol) and  $4.11 \times 10^{-10}$  (m<sup>2</sup>/s), respectively.

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## Microstructure and Mechanical Properties of the Ultrafine-Grained Copper Tube Produced by Severe Plastic Deformation

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**Abstract:** In this paper, cyclic flaring and sinking (CFS) as a new severe plastic deformation (SPD) method was employed to produce the ultrafine grain (UFG) copper tubes. The extra friction has eliminated in the CFS method that provided the possibility for production of longer UFG tubes compared to the other SPD methods. This process was done periodically to apply more strain and consequently finer grain size and better mechanical properties. The CFS was performed successfully on pure copper tubes up to eleven cycles. Mechanical properties of the initial and processed tubes were extracted from tensile tests in the different cycles. The remarkable increase in strength and decrease in ductility take place in the CFS-ed tubes. The material flow behavior during CFS processing was analyzed by optical microscopy (OM), and a model was presented for grain refinement mechanism of pure copper based on multiplication and migration of dislocations (MMD). This mechanism caused that the initial grains convert to elongated dislocation cells (subgrains) and then to equiaxed ultrafine grains in the higher cycles. The CFS method refined the microstructure to fine grains with the mean grain size of 1200nm from initial coarse grain size of 40μm.

**Keywords:** Severe plastic deformation, Copper tube, Grain refinement, Mechanical properties

### 1. INTRODUCTION

Ultrafine grained (UFG) materials have been introduced as a new generation of metal products with the superior and unique mechanical and physical properties [1, 2]. While strength in the ultrafine-grained metallic material is much higher than the coarse-grained material [3], their ductility is also good [4]. The ultrafine grained materials show excellent ductility properties even at lower temperatures and higher strain rates [5]. Many types of research were done to develop the ultra-fine metal production processes on a laboratory and industry scales. Ultrafine grained metals have a much higher strength-to-weight ratio. In UFG materials, there are other properties such as coaxial and homogeneous microstructure with high angle grain boundaries (HAGB) [6, 7]. The presence of plenty of high angle grain boundaries has a particular importance in achieving the desired properties. In this regard, studies had shown that one of the most effective ways of processing ultrafine grained metallic

material is the severe plastic deformation methods (SPD) [8]. In severe plastic deformation methods, high hydrostatic pressure with an intense shear strain cause to refine the microstructure and produce UFG materials [9]. On the other hand, hydrostatic pressure prevents cracks growth, therefore may severe deformations can be applied to the materials with low plasticity [10]. In the last decade, due to growing demand for tubular parts with high strength, some studies have been done to produce UFG tubes. Tooth et al. had Produced ultra-fine tubes using high-pressure tube twisting in 2009 [11]. Mohebi in 2010 had introduced a new method as accumulative spin-bonding (ASB) to produce this kind of tubes [12]. Zangiabadi et al. had masterminded producing high-strength tubes using tube channel pressing (TCP) [13]. Faraji et al. in 2011 had invented tube channel angular pressing (TCAP) process as the optimal way, inexpensively and with the industrial capability [14]. In 2012, parallel tubular channel angular pressing (PTCAP) was presented by Faraji [15].

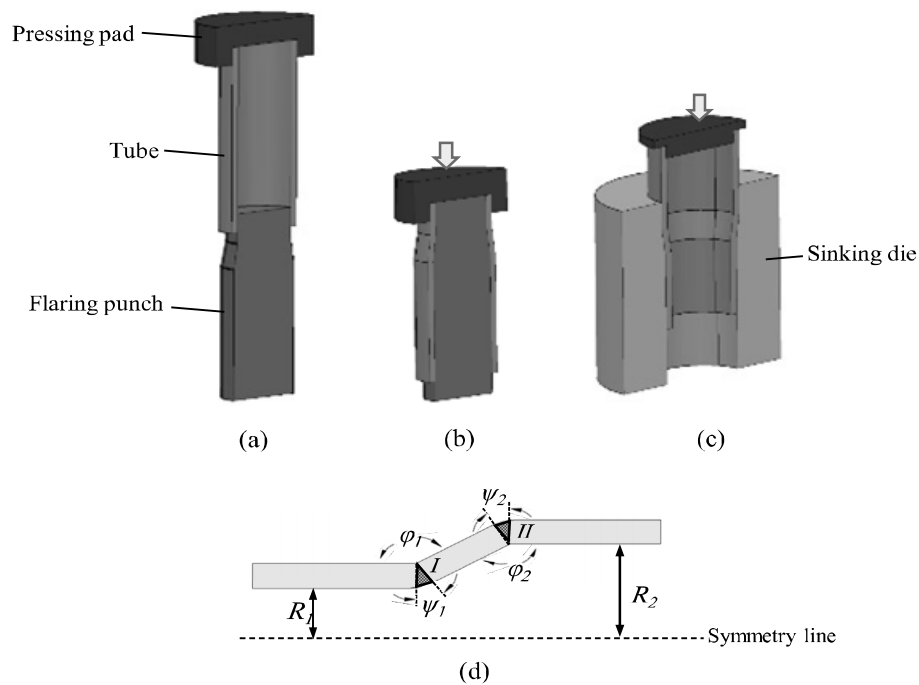
Babaei et al. had taken an innovative step to produce UFG tubes with introducing of two new methods of tube cyclic expansion-extrusion (TCEE) and tube cyclic extrusion-compression (TCEC) [16, 17]. Jafarzadeh et al. had introduced a method under repetitive tube expansion and shrinking (RTES) and have reached success in the production of ultra-fine tubes [18]. More recently, Torabzadeh et al. have presented a method as cyclic flaring and sinking (CFS) by modifying the PTCAP [19]. In this method, with no extra friction, UFG tubes can be achieved with a longer length compared to other methods.

In the present study, The CFS was applied to manufacture the UFG pure copper tubular samples' up to eleven cycles. The CFS processed samples were perused regarding microstructural evolution and mechanical properties. The mechanism of grain refinement of pure copper was introduced during CFS process. Fracture surfaces after tensile tests were investigated by scanning electron microscopy (SEM).

## 2. PRINCIPLES OF CFS

Fig. 1 depicts schematic illustrations of CFS process. Cyclic flaring and sinking method consists two half-cycles. At first, the tube with the radius of  $R_1$  is pressed on the flaring punch, so that shear zones are made with tensile stress and the tube radius increases of up to  $R_2$  (Fig 1b). In the next half-cycle, the tube is forced into sinking die, and compressive stress is created at the same shear zones so that the initial radius is obtained (Fig 1c). By considering that the cross-section of the CFS-ed tube remains constant, this process can be repeated cyclic to impose the desired plastic strain on the material.

Fig. 1d depicts geometric parameters of deformation. Because the strain state of the CFS is similar to the PTCAP process [20], the strain calculation could be made similar to PTCAP process. So, the equivalent plastic strain at each half cycle is calculated using equation (1). ( $\psi_1 = \psi_2 = 0^\circ$ )



**Fig. 1.** The schematic illustration of the CFS method (a) initial state, (b) the flaring half-cycle, (c) the sinking half-cycles and (d) the geometric parameters.

$$\bar{\varepsilon}_T = \sum_{i=1}^2 \left[ \frac{2 \cot(\varphi_i / 2)}{\sqrt{3}} \right] + \frac{2}{\sqrt{3}} \ln \frac{R_2}{R_1} \quad (1)$$

Therefore total effective strain achieved after N cycles:

$$\bar{\varepsilon}_T = \sum_{i=1}^2 \left[ \frac{2 \cot(\varphi_i / 2)}{\sqrt{3}} \right] + \frac{2}{\sqrt{3}} \ln \frac{R_2}{R_1} \quad (2)$$

From Eq. (2), the equivalent plastic strain is about 0.45 after one cycle of CFS process with the parameters used in this work. The total equivalent plastic strain applied to the copper tubes after eleven CFS passes is about 5.

### 3. EXPERIMENT PROCEDURE

In this study, the CFS method was carried out on pure copper tubular samples. The outer diameter of tubes was chosen 22 mm, the thickness of 1.2 mm and a length of 70 mm. The flaring punch and sinking die were made of VCN 150 steel with a hardness of 25 HRC. Die parameters, and their values were: curvature angles  $\psi_1 = \psi_2 = 0^\circ$ , the channel angles  $\varphi_1 = \varphi_2 = 170^\circ$ ,  $R_2 = 11\text{mm}$  and  $R_1 = 9.8\text{mm}$ . The deformation ratio ( $K = R_2/R_1$ ) was considered the same as the tube thickness. The deformation ratio (K) is related to the die geometry and it represents the increase or decrease of tube

diameter in the flaring or sinking half-cycle. Therefore, the K value remains constant in all of the cycles. The CFS experiments were carried out by thread mechanism press with 150 mm/min speed at room temperature. As shown in Fig. 2, the tensile test sample was cut in the axial direction in order to explore the influences of the CFS process on mechanical properties. True stress- strain curves of tube obtained using a tensile test at room temperature and strain rate of  $5 \cdot 10^{-3} \text{ 1/s}$ .

## 4. RESULTS AND DISCUSSION

### 4. 1. Mechanical Properties

Fig. 3 shows the mechanical properties of the unprocessed and CFS processed tubes achieved from the tensile test through axial directions at room temperature. Fig. 3a shows the stress-strain curves of unprocessed and CFS processed tubes in the different cycles. Fig. 3b displays the extracted results from these diagrams for yield strength (YS), ultimate tensile strength (UTS) and the elongation (El). Yield strength (in 0.2% strain) in the initial state is 120 MPa, which increases to 165 MPa, 183 MPa and 185 MPa after three, five and eleven CFS cycles, respectively. Also, the ultimate tensile strength increases from  $\sim 180 \text{ MPa}$  to  $\sim 285 \text{ MPa}$  after eleven cycles. The tube strength increases due to reducing grain size and increasing the density of

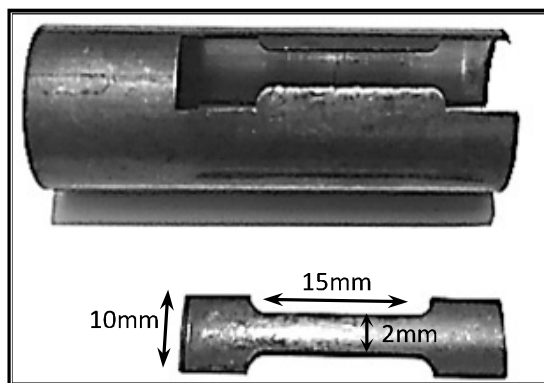
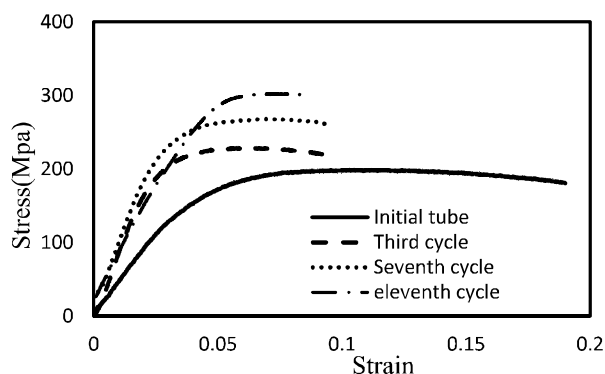
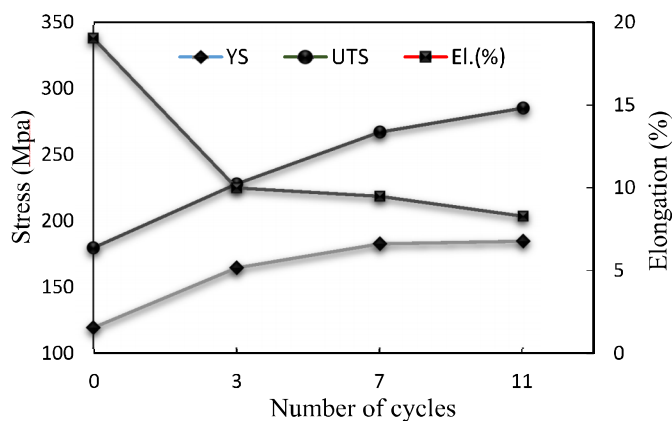


Fig. 2. Axial tensile test sample cut from unprocessed and CFS processed tube specimens.



(a)



(b)

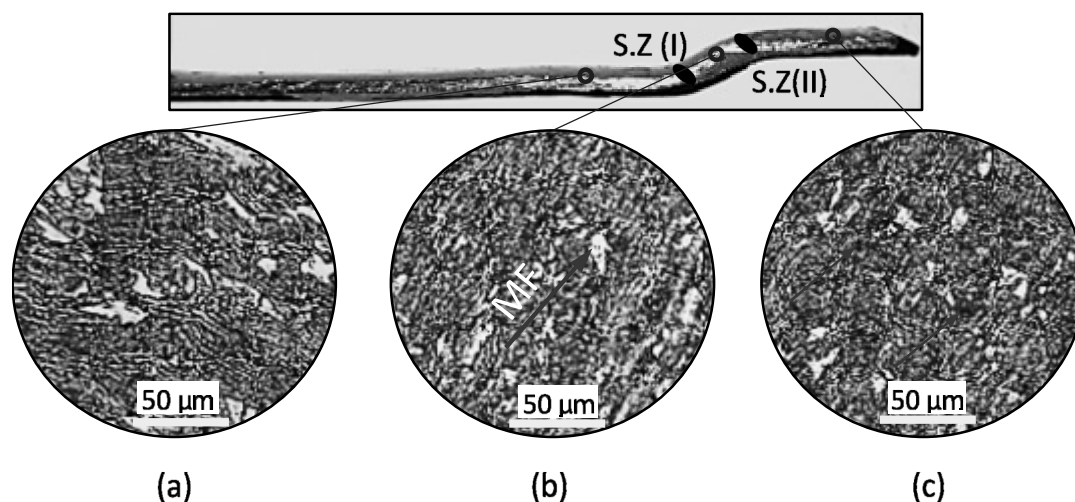
**Fig. 3.** (a) Tensile true stress–true strain curves and (b) the variation of mechanical properties in the different cycles.

dislocations [21]. Also, the grain boundaries and twins operate as a barrier to the dislocation movement and increase the resistance to deformation of material which leads to amplification of strength [22]. So, after eleven cycles of CFS process, the yield strength of ~185 MPa and the ultimate tensile strength of ~285 MPa can be reached. In the other word, yield and tensile strengths increase about 230 % and 47 % occurs, respectively. As it is clear, elongations decreases after eleven cycles of CFS processing to 8% from the initial value of 14%. The increase in the strength by grain refinement can lead to a possible decrease in ductility as reported for other SPD methods such as ASB. With the increase in strength, instability or necking occurs earlier, and

the ductility of material reduces [12].

## 4. 2. Grain Refinement

Fig. 4 shows a cut section of the specimen parallel to the longitudinal axis during the process between the third and fourth cycle. As is evident, the tube is in the flaring step, and the material deforms in the shear zones (I) and (II). At first in Fig. 4a, the material microstructure consists of elongated grains in the longitudinal direction. Stretching of the grains has created as a result of applying severe plastic deformation in the previous three cycles [23]. When the material enters the shear zone (I), due to the normal and shear stress, the direction of material flow (MF)



**Fig. 4.** The process of grain refinement between the third and fourth cycle, (a) before shear zone (I), (b) between shear zone (I) and shear zone (II) and (c) after shear zone (II).

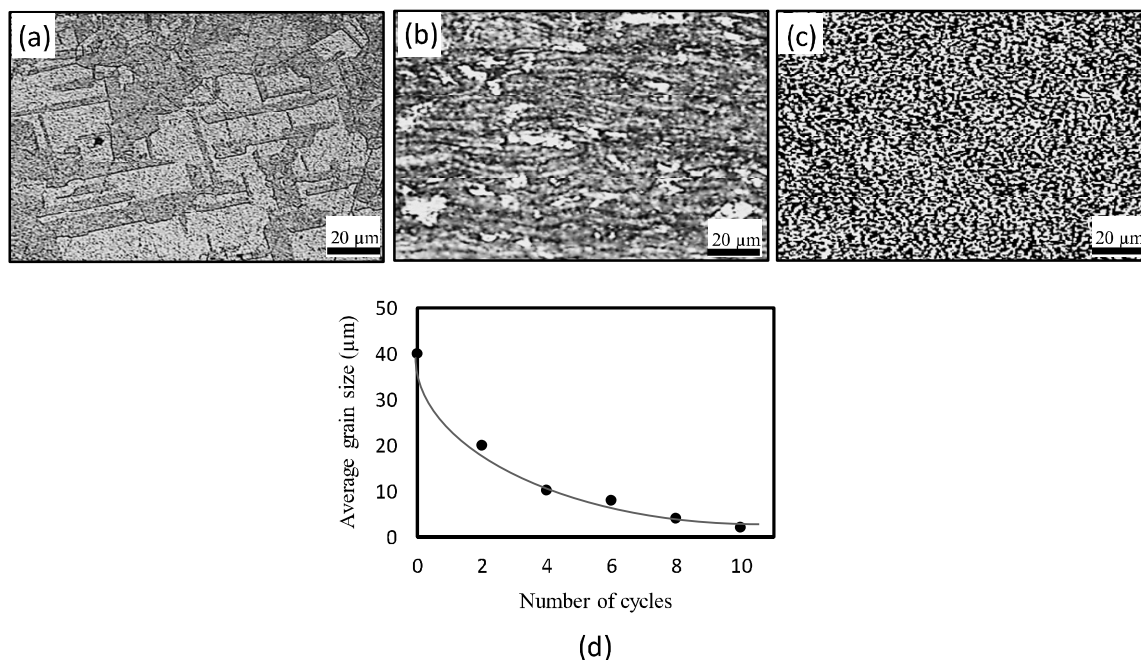
changes. In the Fig. 4b, the orientation of grains has changed to the channel direction. Then the material approaches to the shear zone (II) and shear stress applies to the material once again. In Fig. 4c, the grains of the specimens after the shear zone (II) were significantly refined and, microstructure consists equiaxed grains compared to pre-shear zone (I). After the shear zone (II), the total accumulated equivalent plastic strain increases and the grain refinement occurs in the material [24]. The main factor is the shear strain that in addition to grain refinement, increases dislocations density in the microstructure [25, 26]. As shown by the red arrows in Fig. 4c, accumulation of dislocations was created by shear strains at different points of the material microstructure.

In order to study the microstructural evolutions after CFS processing, the OM images of unprocessed samples, after three and eleven cycles of CFS processed samples are shown in Fig. 5. As illustrated in Fig. 5a, there is the fully recrystallized heterogeneous microstructure of the unprocessed pure copper specimen with a mean grain size about 40  $\mu\text{m}$ . With applying plastic strain until three cycles, the original grains were elongated according to the shear direction, and the energy level of materials decreased.

These elongated grains were shown in Fig 5b that their thickness is very smaller than their length, with original grain boundaries still distinguishable. As seen in Fig. 5c, the microstructure of the specimen was significantly altered after eleven CFS cycles and is specifically different from the initial microstructure. After eleven cycles of CFS process, the structure was so much refined that the average grain size is about 1200 nm. Performing eleven cycles of CFS procedure on the material caused the microstructure uniformity increased, and equiaxed grains created in the material that corresponded to the previous studies [27, 28]. Fig. 5d shows the decline of average grain size versus the number of cycles. It is known that the reduction of grain size in the primary cycles was greater than the final cycles and the maximum reduction (30%) occurred after the second cycle. The decreasing in the grain sizes slowed down with increasing cycles, and the grain size stayed in nearly a constant value as a saturated grain size in the higher cycles. The microstructure evolution and grain refinement had occurred more on the primary cycles of SPD process [29].

In general, various mechanisms of refining pure copper in the SPD methods that have already introduced include shear deformation





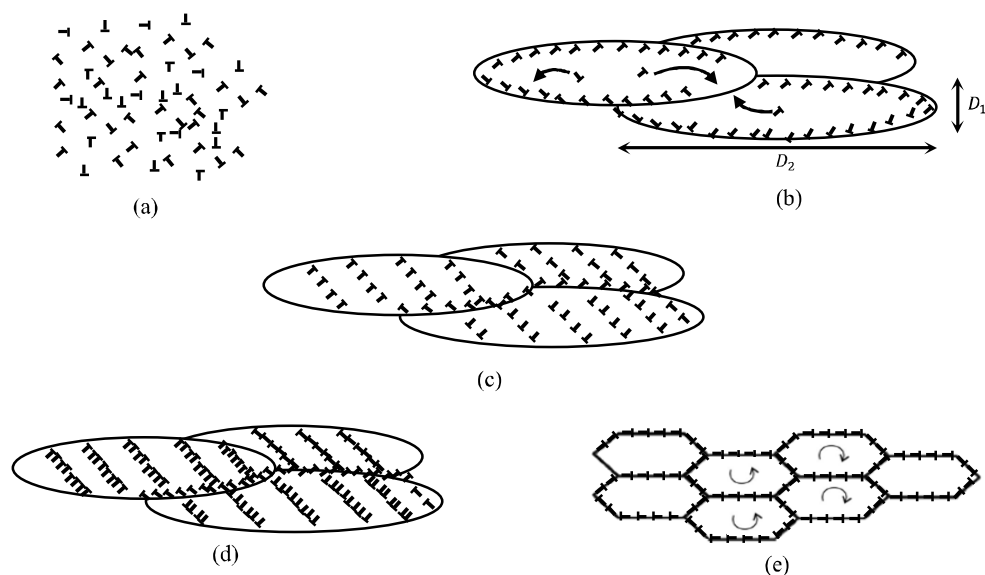
**Fig. 5.** (a) Microstructures of the unprocessed specimen, (b) after three cycles (c) after eleven cycles and (d) the average grain size in different cycles of CFS process.

[30], dislocation subdivision [31] and twin fragmentation [32]. According to the observed microstructural changes in Fig. 5, a model can be introduced for the dominant grain refinement mechanism in the UFG copper tubes. The model was shown in Fig. 6 which describes the procedure of the fragments original coarse grains and refines the microstructure to ultrafine grained in the severe plastic deformation methods. 1) At first, a random dislocation distribution is observed in Fig. 6a which is not a low-energy configuration. 2) As shown in Fig. 6b, Due to applying strain, the dislocations density increases into an intra-granular that results in tangling of the dislocations with the regular arrangement and consequently formation of elongated dislocation cells ( $D_2 \gg D_1$ ). 3) As the deformation continues, the dislocations rearrange to form the boundaries of fine grains (Fig. 6c). 4) In higher cycles, accumulation of dislocations increases in the dislocation walls that causes to produce sub-grains with low angle boundaries. With increasing the deformation, the number of sub-grains increments until the material converts to

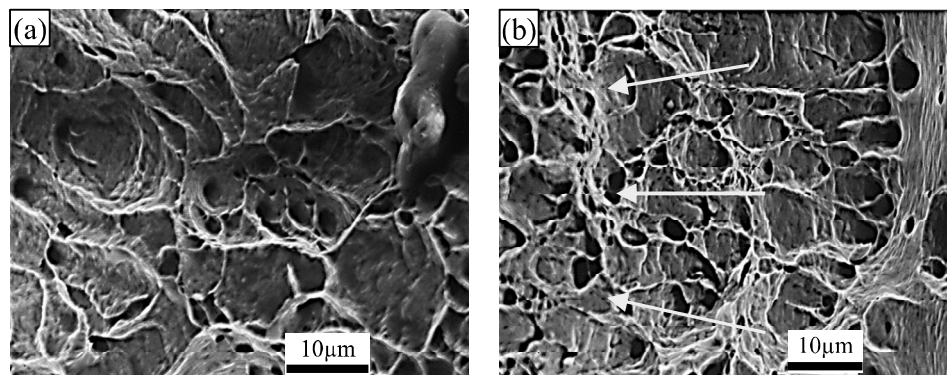
the UFG structure (Fig. 6d). 5) As is clear in the Fig 6e, in the higher cycles, the shear strain causes the sub-grains rotate relative to each other. Accordingly, the inter-granular sub-grains tends to disorient, and the high angle grain boundaries form in the material structure [33].

### 4. 3. Fracture Surface

SEM was utilized to clarify the rupture mechanisms in the unprocessed, and the CFS processed pure copper samples. Fig. 7 depicts the images of a fractured surface of tensile samples in unprocessed and after seven cycles of CFS. The failure surface of samples consists a large number of dimples or microvoids that indicating ductile fracture mode [18]. The basic steps of ductile rupture are the formation of microvoids, coalescence of microvoids (also known as crack formation), propagation of crack and finally, the failure occurs [34]. As shown in Fig. 7(a), the initial specimen contained long and deep equiaxed dimples in the average size of  $\sim 20 \mu\text{m}$ . In ductile fracture, there is slow crack



**Fig. 6.** Schematic of the grain refinement mechanism in the UFG copper tubes. (a) Random dislocation distribution, (b) Elongated grains, (c) the dislocations rearrange to form fine grain boundaries, (d) accumulation of dislocations in the wall and forming the subgrains and (e) Forming the high angle grain boundaries.



**Fig. 7.** SEM images of fractured surfaces after the tensile tests of a) unprocessed state, b) after seven cycles of CFS.

propagation and a large absorption of energy before fracture. So that, there is the required time for the formation of large and deep dimples during the tensile test [35]. Fig. 7(b) shows the samples after seven cycles of CFS process. In the CFS processed samples, the number of dimples has decreased, and the dimples distribution was changed on the fracture surface. In this mode, shallow and tiny dimples were observed that distributed more uniformly on fracture surface with the average size of  $\sim 1.5 \mu\text{m}$ . The decrease of

the dimple size is related to the grain refinement in the structure of the CFS processed tubes [36, 37]. With the decrease of ductility in the CFS processed samples, the dimples do not have enough time to grow and assemble with the other neighboring dimples. Therefore shallow dimples were observed in the samples after seven cycles of CFS [18]. Another issue is that the shallow dimples oriented toward the tension zone where the ultimate failure occurs (yellow arrows).

## 5. CONCLUSION

In this paper, a new method of severe plastic deformation as cyclic flaring and sinking (CFS) was used for the production of UFG copper tubes. This method was successfully applied to the pure copper tubes up to eleven cycles. The mechanical properties of the tubes significantly improved as a result of CFS method. The yield and tensile strength of pure copper increased up to 185 and 285 Mpa respectively after eleven cycles of CFS. The SEM images of the fracture surfaces depicted the fracture procedure which justified the decrease of ductility in the tubes after CFS process. The improvement of tube strength enhanced as a result of grain refinement in the processed samples. Optical observations documented remarkable grain refinement after CFS processing as the grain size the mean grain size reduced to 1200nm from the initial of 40 $\mu$ m. The presented grain refinement mechanism based on four stages with continuous applying plastic strain: a) the initial coarse grains converted to elongated grains through migration of dislocations. b) The dislocations rearranged on fine grain boundaries. c) The dislocation density increased on walls, and the subgrains were formed. d) The formed ultrafine grains rotated to one another, and the high grain boundaries (HAGBs) were produced. The presence of ultrafine grains with HAGBs was the main reason for the superior mechanical properties in the CFS-ed tubes.

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## Applied of Impressed Current Cathodic Protection Design For Fuel Pipeline Network at Naval Base

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**Abstract:** Indonesian Navy (TNI AL) is the main component for Maritime Security and Defence. Because of that, TNI AL needs Indonesian Warship (KRI) to covered Maritime area. The main requirement from KRI is fulfilled by demand. To pock of fuel demand from KRI at Naval Base, it needs a new pipeline of fuel distribution network system. The pipeline network system used for maximum lifetime must be protected from corrosion. Basically, there are five methods of corrosion control such as change to a more suitable material, modification to the environment, use of protective coating, design modification to the system or component, and the application of cathodic or anodic protection. Cathodic protection for pipeline available in two kinds, namely Sacrifice Anode and Impressed Current Cathodic Protection (ICCP). This paper makes analysis from design of Impressed Current Cathodic Protection and total current requirement in the method. This paper showed both experimental from specimen test and theoritical calculation. The result showed that design of Impressed Current Cathodic Protection on fuel distribution pipeline network system requires voltage 33,759 V(DC), protection current 6,6035 A(DC) by theoritical calculation and 6,544 A(DC) from pipeline specimen test, with 0,25 mpy for corrosion rate. Transformer Rectifier design needs requirements 45 V with 10 A for current. This research result can be made as literature and standardization for Indonesian Navy in designing the Impressed Current Cathodic Protection for fuel distribution pipeline network system.

**Keywords:** Pipeline Network System, Corrosion, Cathodic Protection, ICCP, Rectifier

### 1. INTRODUCTION

Indonesian Navy (TNI AL) is the main component for Maritime Security and Defence. Because of that, TNI AL needs Indonesian Warship (KRI) to covered Maritime area. The main requirement from KRI (Indonesian Warship) in activities of basic training, warfare alert and operation at sea is fulfilled by demand. Fuel is used for main engine and electricity at warship.

With the condition background what are demanded from KRI, it is urgent to make design of fuel pipeline distribution network system from metal materials to pock of fuel demand from KRI at Naval Base for supporting warfare alert, basic training and operation activities at sea, but the pipeline network system has negative effect from corrosion. Corrosion is the destructive attack of a material by reaction with its environment (Roberge, 1999). Because of that, the pipeline network system needs protection from corrosion that can applied underground. The corrosion of underground structure is a very widespread

problem (Al-Sultani, et al., 2012). Structure such as natural gas, crude oil pipelines, and water are only some of the many structures reported to have been affected by soil corrosion (Al-Sultani, et al., 2012).

In today's regulated environment, a method to protect corrosion of all new hazardous pipelines (carrying oil, gas, or other potentially dangerous substances) is required by federal regulation to use an effective coating and cathodic protection (Parker, 1999). Cathodic Protection (CP) is a proven method of controlling corrosion in reinforced concrete through the application of a small Direct Current (DC) (Nguyen, et al., 2012). It is commonly used in the protection of the exterior surfaces of pipelines (Kakuba, 2005). There are two types of applying cathodic protection system, namely Sacrificial Anode Cathodic Protection (SACP) and Impressed Current Cathodic Protection (ICCP) (Al-Himdani, et al., 2005).

Impressed Current Cathodic Protection (ICCP) is a method to prevent corrosion by allowing an

appropriate DC to flow continuously through metal bodies in contact with wet soil or a corrosive aqueous solution (Choi, et al., 2016). It employs a direct current generator (rectifier) that has the pipe connected to the negative terminal of the rectifier, whereas the selected anode is connected to its positive terminal, thereby making the current flow from the selected anode to the onshore pipe forcibly and thus preventing corrosion currents in the pipeline. This is also called forced current method (Choi, et al., 2016).

This paper presents design of protection system and total current needed in the ICCP method. It has been an effort to apply design of corrosion prevented ICCP method for fuel pipeline distribution network system at Naval base, and to analyze corrosion rate from pipeline specimen test. Boundary of problem in this paper is ICCP method applied in surfaces of pipeline, soil chemical analysis such as pH, Sulphate and Chloride content is ignored, coating is in good condition.

The inscriptive benefit from this paper is a literature for Indonesia Navy about the design of fuel distribution pipeline system in Naval base. It can be made as standardization for design of ICCP at fueled pipeline in Naval base.

This paper has many literatures to support the research, such as literature about corrosion control, cathodic protection and impressed current (ICCP). Literature of paper about corrosion control likes Corrosion Protection System in Offshore Structure (Ivanov, 2016). Synergic effect of Thiomalic acid and Zinc ions in Corrosion control of Carbon Steel in Aqueous Solution (Ramesh, et al., 2014). Reduction of Corrosion Process in Steel Bars Using Inhibitor (Zubaidy, et al., 2012). Green Inhibitor for Corrosion Protection of Metals and Alloys : An Overview (Rani, et al., 2011). Corrosion control in Oil and Gas Pipeline (Enani, 2016). Interactions of Corrosion Control and Biofilm on Lead and Copper in Premise Plumbing (Payne, 2013). Corrosion Control by Green Solution – An Overview (Raja, et al., 2014). Solutions to Corrosion Caused by Agricultural Chemicals (Eker, et al., 2005). Corrosion Protection of Steel Pipelines Against CO<sub>2</sub> Corrosion – A Review (El-Lateef, et al., 2012). Corrosion Control Approach Using Data Mining (Dapiap, et al., 2015).

Paper literature about cathodic protection such as Protect of Underground Oil Pipelines by Using

(Al-Sn-Zn) as Sacrificial Anode in Al-Qasim Region (Al-Sultani, et al., 2012). Efficiency of Corrosion Inhibitors on Cathodic Protection System (Briggs, et al., 2014). (Mainier, et al., 2014). Modelling Cathodic Protection for Pipeline Network (Riemer, 2000). Cathodic Protection of an underground Pipeline by Photovoltaic Power System using Intelligent Method (Javadi, et al., 2104). The effect of Cathodic Protection System by Means of Zinc Sacrificial Anode on Pier in Korea (Jeong, et al., 2014). Cathodic Protection of Steel in Concrete Using Conductive Polymer Overlays (A.S.S.Sekar, et al., 2007). Interaction Between Cathodic Protection and Microbially Influenced Corrosion (Masli, 2011). Use of Sacrificial Anode for Corrosion Protection of Tradition Well Cover (Olusunle, et al., 2015). Cathodic Protection of Onshore Buried Pipelines Considering Economic Feasibility and Maintenance (Choi, et al., 2016).

Paper literature explains about ICCP is Shipboard Impressed Current Cathodic Protection System (ICCP) Analysis (Hogan, et al., 2005). Effectiveness of Impressed Current Cathodic Protection System in Concrete Following Current Interruption (Bhuiyan, 2015). Modeling and Control of Impressed Current Cathodic Protection (ICCP) System (Hashim, et al., 2014). Assessing the long term benefits of Impressed Current Cathodic Protection (Christodoulou, et al., 2010). ICCP cathodic protection of tanks with photovoltaic power supply (Janowski, et al., 2016). The Impressed Current Cathodic Protection System (Kakuba, 2005). System Identification Modelling and IMC Based PID Control of Impressed Current Cathodic Protection System (Balla, et al., 2013). Identification and Control of Impressed Current Cathodic Protection System (Sada, et al., 2016). The application of impressed current cathodic protection to historic listed reinforced concrete and steel framed structures (Broomfield, 2004).

This paper is organized as follows. Section 2 reviews the basic concepts of corrosion control. Section 3 gives result of research. Section 4 describes the analysis of Impressed Current Cathodic Protection System in fuel distribution pipeline. Finally, in section 5 presents this paper conclusion.

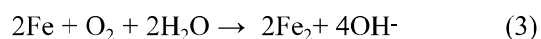
## 2. MATERIAL/METHODOLOGY

### 2. 1. Corrosion

Corrosion is defined as the destruction or deterioration of material because of reaction with its environment (Fontana, 1987). Some insist that the definition should be restricted to metals, but often the corrosion, engineers must consider both metals and nonmetals for solution of given problem (Fontana, 1987). Corrosion is the damage to metal caused by reaction with environment. (Bradford, 2001). Corrosion is the degradation of material through environmental interaction (Peabody, 2001).

Important types of corrosion are general attack corrosion, metal attack corrosion, galvanic corrosion, environmental cracking, flow assisted corrosion, intragranular, fretting corrosion and high temperature corrosion (Kulkarni, 2015).

The corrosion process involves the removal of electrons (oxidation) of the metal and the consumption of those electrons by some other reduction reaction, such as oxygen or water reduction respectively (Peabody, 2001). Corrosion process develop fast after disruption of the protective barrier and are accompanied by a number of reactions that change the composition and properties of both the metal surface and the local environment, for example formation of oxides, diffusion of metal cations into the coating, local pH changes and electrochemical potential (Rani, et al., 2011).



The oxidation reaction is commonly called the anodic reaction (1) and the reduction reaction (2) is called the cathodic reaction. Both electrochemical reactions are necessary for corrosion to occur. The oxidation reaction (3) causes the actual metal loss but the reduction reaction must be present to consume the electrons liberated by the oxidation reaction, maintaining

charge neutrality (Peabody, 2001). Otherwise, a large negative charge would rapidly develop between the metal and the electrolyte and the corrosion process would cease. The oxidation and reduction reactions are sometimes referred to as half-cell reactions and can occur locally (at the same site on the metal) or can be physically separated (Peabody, 2001). When the electrochemical reactions are physically separated, the process is referred to as a differential corrosion cell (Peabody, 2001).

There are four necessary components of a differential corrosion cell (Bradford, 2001).

- The anode, which is the metal that is corroding.
- The cathode, which is a metal or other electronic conductor whose surface provides sites for the environment to react.
- The electrolyte, (the aqueous environment), in contact with both the anode and the cathode to provide a path for ionic conduction.
- The electrical connection between the anode and the cathode to allow electrons to flow between them.

### 2. 2. Corrosion Control Method

Corrosion prevention can take a number of forms depending on the circumstances of the metal being corroded. There are basically five methods of corrosion control: change to a more suitable material, modification to the environment, use of protective coating, design modification to the system or component, and the application of cathodic or anodic protection (Roberge, 1999).

The basic principle of cathodic protection (CP) is a simple one. CP is a method to reduce corrosion by minimizing the difference in potential between anode and cathode (Agarwal, et al., 2015). Cathodic protection is often applied to coated structures, with the coating providing the primary form of corrosion protection (Roberge, 1999). The CP current requirements tend to be excessive for uncoated systems. Its installations include buried tanks, marine structures such as offshore platforms, and reinforcing steel in concrete (Roberge, 1999).

There are two main types of cathodic protection systems; there are impressed current and sacrificial anode. Both types of cathodic protection have anodes, a continuous electrolyte from the anode to the protected structure, and an external metallic connection (wire) (Agarwal, et al., 2015).

### 2. 3. Impressed Current Cathodic Protection

Impressed Current Cathodic Protection (ICCP) is applied by means of an external power current source (Roberge, 1999). It uses a power to move the current from a very noble anode material to protect the structures (Orazem, 2014). Its current is impressed on the structure by means of a power supply, referred to as a rectifier, and anode buried in the ground (Peabody, 2001).

The external current supply is usually derived from a Transformer Rectifier (TR), in which the AC power supply is transformed (down) and rectified to give a DC output (Roberge, 1999). Other power sources include fuel or gas driven generators, thermoelectric generators and solar and wind generators (Roberge, 1999). Important application areas of impressed current system includes pipelines and other buried structures, marines structures, and reinforcing steel embedded in concrete (Roberge, 1999).

Some advantages of ICCP are as follows (Roberge, 1999) :

- High current and power output range.
- Ability to adjust the protection levels.
- Large areas of protection.
- Low number of anodes, even in high-

resistivity environment.

- Even protecting poorly coated structures.

These limitations that have been identified for ICCP system (Roberge, 1999) :

- Relatively high risk of causing interference effects.
- Lower reliability and higher maintenance requirements.
- External power has to be supplied.
- Running cost of external power consumption.

### 2. 4. Corrosion Rate

Corrosion rate is the amount of corrosion occurring per time unit (for example, mass change per area unit per time unit, penetration per time unit). The humidity, temperature fluctuations, wide variations in rainfall, wind, and pollutants prevent classification scheme to indication of corrosion rates (Roberge, 1999). One of them can use electrical method. It calculates with equation (NACE, 2002).

$$\text{Corrosion rate} = K \frac{I_{corr} \times E}{D} \quad (4)$$

### 2. 5. Method of Research

This paper shows both experimental from specimen test and studied in detail theoretical calculation design of ICCP. The theoretical result

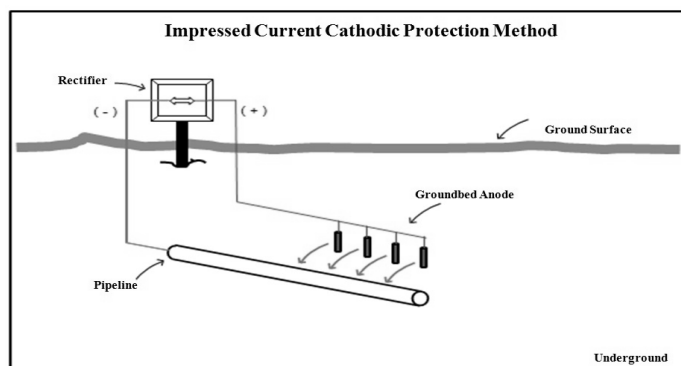


Fig. 1. ICCP Method



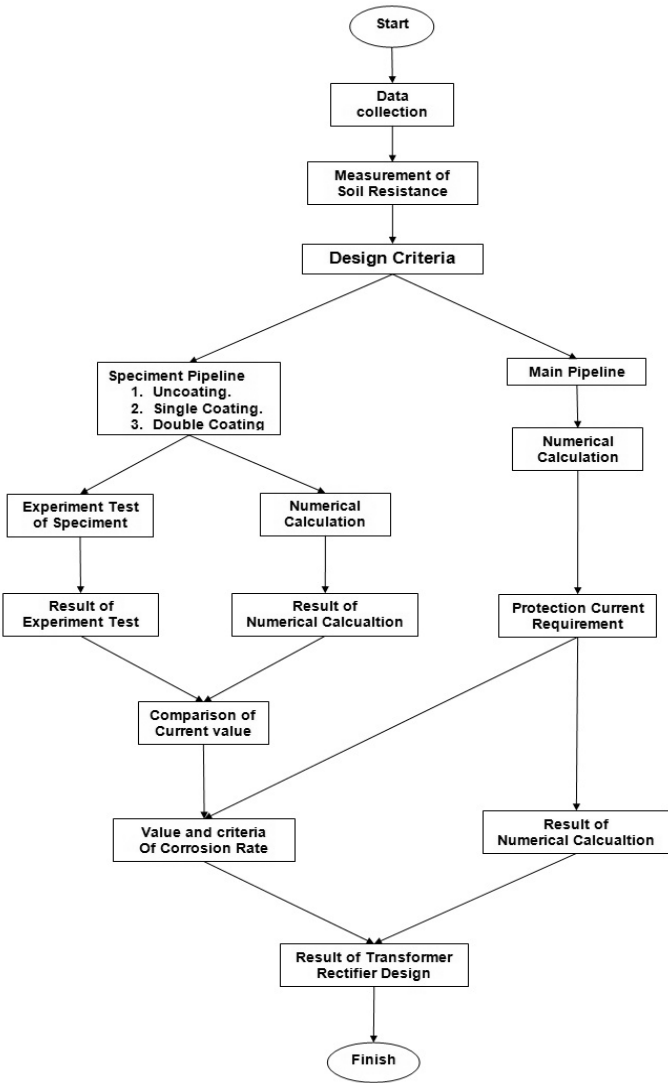
Table 1. Value of Corrosion Rate(Fontana, 1987)

| Relative Corrosin | Approximate Metric Equivalent |            |             |           |          |
|-------------------|-------------------------------|------------|-------------|-----------|----------|
|                   | mpy                           | mm/yr      | μm/yr       | nm/yr     | pm/s     |
| Outstanding       | <1                            | < 0,02     | < 25        | < 2       | < 1      |
| Excellent         | 1 - 5                         | 0,02 - 0,1 | 25 - 100    | 2 - 10    | 1 - 5    |
| Good              | 5 - 20                        | 0,1 - 0,5  | 100 - 500   | 10 - 50   | 5 - 20   |
| Fair              | 20 - 50                       | 0,5 - 1    | 500 - 1000  | 50 - 150  | 20 - 50  |
| Poor              | 50 - 200                      | 1 - 5      | 1000 - 5000 | 150 - 500 | 50 - 200 |

is compared with experimental results showing criteria for design of ICCP in fuel pipeline distribution system. The first phase is identification of the problem, library studies, and

the planning of cathodic protection system.

2. 5. 1. Flowchart Diagram



## 2. 5. 2. Data Collection

a. Main pipeline network data.

1) Pipeline.

Carbon steel with classification from spiral pipe seamless ASTM A106 Grade B. Long pipeline 1163.99 m ; outside diameter 0,219 m; thickness 0.00818 m; resistance  $3.48 \times 10^{-4} \Omega\text{-m}$ .

2) Anode.

Carbon graphite; turbular ; long=1m; Diameter 0.06 m; current density 2.5-10 A/ m<sup>2</sup>; consumption rate 0.1-1 kg/(A.year).

3) Coating data.

Coaltar epoxy cure polyamide with specification Hot Applied mill coated pipe; 25 °C for temperature; stage destruction 5-65 % per year.

b. Speciment data.

1) Pipeline.

Carbon steel with classification from spiral pipe seamless ASTM A106 Grade B. Long pipeline 0.5 m ; outside diameter 0,048 m; thickness 0.0037 m; resistance  $3.48 \times 10^{-4} \Omega\text{-m}$ .

2) Anode.

Carbon graphite; turbular ; long = 0,01 m; Diameter 0.036 m; current density 2.5-10 A/m<sup>2</sup>; consumption rate 0.1-1 kg/(A.year).

3) Coating data.

Coaltar epoxy cure polyamide with specification Hot Applied mill coated pipe; 25 °C for temperature; stage destruction 5-65 % per year.

## 2. 5. 3. Theoretical Calculation Design

Theoretical calculation design is conducted prior to detailed design of ICCP to achieve value of the system requirements. Its reports describe the investigations made and measurements taken, and make recommendations the result of building design. It has many steps to design(NACE, 2002):

a. Soil resistivity.

b. Surfaces range of pipeline system has protected.

c. Protecting current requirement.

d. Pipeline resistance.

e. Coating conductance.

f. Attenuation constant

g. Pipeline characteristic resistance.

h. Potential shifts.

i. Current of anode.

j. Requirement of total anode.

k. Radius and distance of anode.

l. Resistance of single anode.

m. Interference factor of anode.

n. Groundbed resistance

o. Wire resistance.

p. Voltage losses of wire

q. Requirement voltage of rectifier.

r. Rectifier design.

## 2.5.4 Experimental of Speciment Test

a. Tools

The Tools are used for research such as transformer rectifier, multimeter, soil resistivity meter, accumulator, Cu/CuSO<sub>4</sub> for referensial anode.

b. Planning phase.

1) Survey of soil resistance with Wenner Method.

2) Cable laying.

3) Pipe painting.

c. Test phase.

1) Early experiment test.

2) Continuing experiment test.

## 3. RESULT

This section shows the result of theoretical calculation, experimental speciment test and design of fuel pipeline distribution. It includes the design of pipeline, criteria of coating selection, voltage requirement for rectifier design.

### 3. 1. Soil Condition

Measurement of soil condition is used for the control of corrosion of buried structure. It is used for finding the value of soil resistivity. It is used both for estimation of expected corrosion rates and for the design of cathodic protection systems.

Measurement of soil condition is done on 3

**Table 2.** Result of Soil Resistance

| No                                     |      | a (cm)   | V (mV) | I (mA) | R (Ω)         | ρ (Ω-m) | Average ρ (Ω-m) | Soil condition  |
|----------------------------------------|------|----------|--------|--------|---------------|---------|-----------------|-----------------|
| Location                               | Test |          |        |        |               |         |                 |                 |
| 1                                      | 1    | 50,0000  | 1,8100 | 1,0300 | 1,7573        | 5,5179  | 13,7304         | gray            |
|                                        | 2    | 100,0000 | 1,9200 | 1,0600 | 1,8113        | 11,3751 |                 | lawn soil       |
|                                        | 3    | 200,0000 | 2,0700 | 1,0700 | 1,9346        | 24,2983 |                 | brownwish       |
|                                        |      |          |        |        |               |         |                 |                 |
| 2                                      | 1    | 50,0000  | 1,9300 | 1,0200 | 1,8922        | 5,9414  | 13,2972         | gray            |
|                                        | 2    | 100,0000 | 1,8900 | 1,0400 | 1,8173        | 11,4127 |                 | lawn soil       |
|                                        | 3    | 200,0000 | 1,9200 | 1,0700 | 1,7944        | 22,5376 |                 | yellowish       |
|                                        |      |          |        |        |               |         |                 |                 |
| 3                                      | 1    | 50,0000  | 1,7800 | 1,0300 | 1,7282        | 5,4264  | 13,6525         | brown gray      |
|                                        | 2    | 100,0000 | 1,9400 | 1,0400 | 1,8654        | 11,7146 |                 | without lawn    |
|                                        | 3    | 200,0000 | 2,0100 | 1,0600 | 1,8962        | 23,8166 |                 | soil less rocky |
| average of soil resistance value (ρ) = |      |          |        |        | 13,5601 (Ω-m) |         |                 |                 |

(three) locations. Determination of location is based on soil quality, soil structure and distance from the sea. In every location, measurement has done three times with distance variation among pins 0.5 metre, 1 metre and 1.5 metre, voltage source from accumulator 12 volt 9 ampere DC. The result shows 13.56  $\Omega$ -m for soil resistance.

### 3. 2. Theoritic Calculation Design of Main Pipeline Network.

Result of protected current requirement for fuel pipeline distribution system is 6.6035 A with

surface range of pipeline will be protecting 800.429  $m^2$ , and 0.825  $\mu A/cm^2$  for current density ( $I_{corr}$ ).

### 3. 3. Speciment Value

a. Theoritic calculation speciment.

Base theoritic calculation table upon, available current difference on each pipeline criteria. Pipeline with double coating has smaller about current value, meanwhile pipeline without coating has greater about current value.

**Table 3.** Main Pipeline Result Data

| No | Design Calculation                 | Result<br>Main Pipeline | Units                | No | Design Calculation                | Result<br>Main Pipeline | Units    |
|----|------------------------------------|-------------------------|----------------------|----|-----------------------------------|-------------------------|----------|
| 1  | Surface range of pipeline          | 800,4294                | $m^2$                | 11 | distance of anode                 | 8,3482                  | m        |
| 2  | Protecting Current Requirement     | 6,6035                  | A                    | 12 | Resistance of single anode        | 10,8245                 | $\Omega$ |
| 3  | Pipeline resistance                | 0,0061                  | $\Omega.m^{-1}$      | 13 | Interference factor of anode      | 1,0849                  |          |
| 4  | Coating conductance                | 0,0000                  | $\Omega^{-1}.m^{-1}$ | 14 | groundbed resistance              | 3,3724                  | $\Omega$ |
| 5  | Attenuation constant               | 0,0001                  |                      | 15 | DC resistance of wire (Anoda-PJB) | 0,1023                  | $\Omega$ |
| 6  | Pipeline characteristic resistance | 59,6328                 | $\Omega$             |    | DC resistance of wire (PJB-TR)    | 0,1173                  | $\Omega$ |
| 7  | Potential shift                    | 0,8561                  | V (DC)               | 16 | Voltage losses of wire            | 9,4900                  | V (DC)   |
| 8  | Current of anode                   | 0,9420                  | A                    | 17 | requirement voltage of rectifier  | 33,7595                 | V (DC)   |
| 9  | Requirement of total anode         | 9,1132                  | piece                | 18 | Rectifier design                  |                         |          |
| 10 | Radius of anode                    | 11,9640                 | m                    |    | a. Voltage                        | 42,1994                 | V (DC)   |
|    |                                    |                         |                      |    | b. Current                        | 8,2544                  | A        |

**Table 4.** Result of Theoritic Calculation Specimen

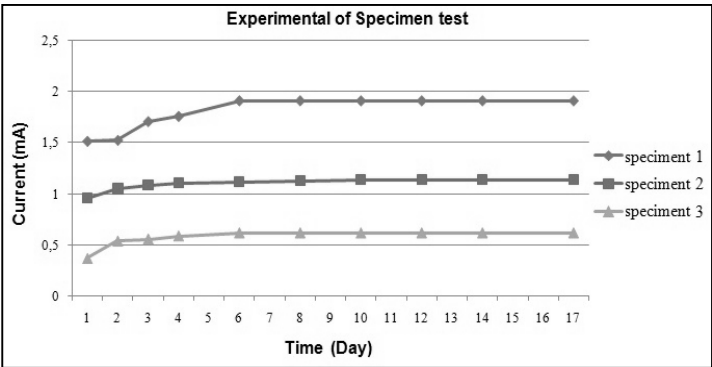
| No | Design Calculation                 | Result      |             |             | Units                       |
|----|------------------------------------|-------------|-------------|-------------|-----------------------------|
|    |                                    | Speciment 1 | Speciment 2 | Speciment 3 |                             |
| 1  | Soil resistivity data              | 13,5601     | 13,5601     | 13,5601     | $\Omega\text{-m}$           |
| 2  | Surface range of pipeline          | 0,0758      | 0,0758      | 0,0758      | $\text{m}^2$                |
| 3  | Protecting Current Requirement     | 0,0019      | 0,0012      | 0,0006      | A                           |
| 4  | Pipeline resistance                | 0,6750      | 0,6750      | 0,6750      | $\Omega\text{-m}^{-1}$      |
| 5  | Coating conductance                | 0,1517      | 0,0000      | 0,0000      | $\Omega^{-1}\text{-m}^{-1}$ |
| 6  | Attenuation constant               | 0,3199      | 0,0005      | 0,0005      |                             |
| 7  | Pipeline characteristic resistance | 2,1096      | 1334,2208   | 1334,2208   | $\Omega$                    |
| 8  | Potential shift                    | -0,8533     | -0,8500     | -0,8500     | V                           |
| 9  | Current of anode                   | 0,0028      | 0,0028      | 0,0028      | A                           |
| 10 | Requirement of total anode         | 1,0063      | 0,6239      | 0,3321      | piece                       |
| 11 | Radius of anode                    | 0,1000      | 0,4448      | 0,4448      | m                           |
| 12 | distance of anode                  | 0,6279      | 0,9312      | 0,9312      | m                           |
| 13 | Resistance of single anode         | 22,7498     | 22,7498     | 22,7498     | $\Omega$                    |
| 14 | Interference factor of anode       | 0,8725      | 1,1380      | 1,1380      |                             |
| 15 | groundbed resistance               | 19,8502     | 8,6298      | 8,6298      | $\Omega$                    |
| 16 | Requirement voltage of rectifier   | 4,8612      | 4,8337      | 4,8290      | V                           |
| 17 | Rectifier design                   |             |             |             |                             |
|    | a. Voltage                         | 6,0765      | 6,0422      | 6,0362      | V                           |
|    | b. Current                         | 0,0024      | 0,0015      | 0,0008      | A                           |

b. Experimental Specimen Test.

For experiment specimen test, previously was taken sample outgrows current point that issued on each specimen pipeline until 17 days. The result is gotten from electricity source the through anode (graphite) and input goes to cathode (pipeline). The result current views table 5.

**Table 5.** Result of Specimen Test

| Day | Current (mA) |             |             |
|-----|--------------|-------------|-------------|
|     | Speciment 1  | Speciment 2 | speciment 3 |
| 1   | 1,52         | 0,96        | 0,37        |
| 2   | 1,53         | 1,05        | 0,54        |
| 3   | 1,71         | 1,09        | 0,56        |
| 4   | 1,76         | 1,11        | 0,59        |
| 6   | 1,91         | 1,12        | 0,62        |
| 8   | 1,91         | 1,13        | 0,62        |
| 10  | 1,91         | 1,14        | 0,62        |
| 12  | 1,91         | 1,14        | 0,62        |
| 14  | 1,91         | 1,14        | 0,62        |
| 17  | 1,91         | 1,14        | 0,62        |



**Fig. 2.** Result of Specimen Current

c. Value of Corrosion Rate

The value of corrosion rate can make basis to know estimate of design ICCP will be used.

The result showed that design of Impressed Current Cathodic Protection on fuel distribution pipeline network system requires voltage 33,759 V(DC), protection current 6,6035 A(DC) by theoretical calculation and 6,544 A(DC) from pipeline specimen test, with 0,25 mpy for corrosion rate. Transformer Rectifier design needs requirements 45 V with 10 A for current.

Table 5. Result of Specimen Test

| Day | Current (mA) |             |             |
|-----|--------------|-------------|-------------|
|     | Speciment 1  | Speciment 2 | speciment 3 |
| 1   | 1,52         | 0,96        | 0,37        |
| 2   | 1,53         | 1,05        | 0,54        |
| 3   | 1,71         | 1,09        | 0,56        |
| 4   | 1,76         | 1,11        | 0,59        |
| 6   | 1,91         | 1,12        | 0,62        |
| 8   | 1,91         | 1,13        | 0,62        |
| 10  | 1,91         | 1,14        | 0,62        |
| 12  | 1,91         | 1,14        | 0,62        |
| 14  | 1,91         | 1,14        | 0,62        |
| 17  | 1,91         | 1,14        | 0,62        |

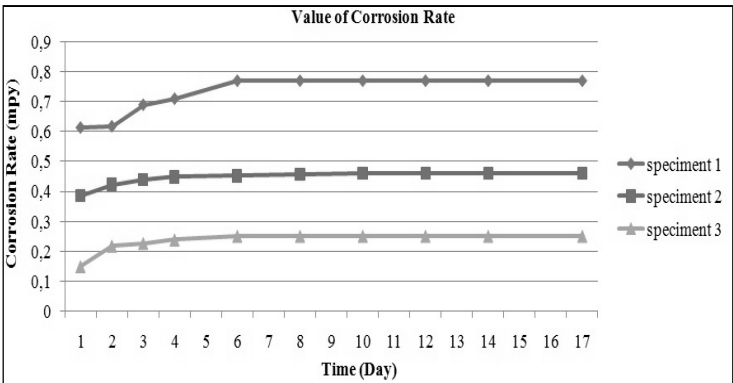


Fig. 3. Corrosion Rate Specimens.

4. DISCUSSION

4. 1. Comparison of Current Value between Theoritical and Experimental Test

Base of data table and figure upon, value of theoritical current to experiment current on

speciment 1 that has increase of 1,896 mA becomes 1,91 mA. Whereas on speciment 2 and 3, value of current point has litte decrease. On speciment 2 decreases of 1,175 mA becomes 1,14 mA. On speciment 3 decreases of 0,626 mA becomes 0,62 mA.

Table 7. Value of Theoritical and Experimental Current

| No | Name                    | Speciment 1<br>(Uncoating) | Speciment 2<br>(single coating) | speciment 3<br>(double Coating) | Units |
|----|-------------------------|----------------------------|---------------------------------|---------------------------------|-------|
| 1  | Theoritical<br>Current  | 1,8958                     | 1,1754                          | 0,6256                          | mA    |
| 2  | Experimental<br>Current | 1,91                       | 1,14                            | 0,62                            | mA    |

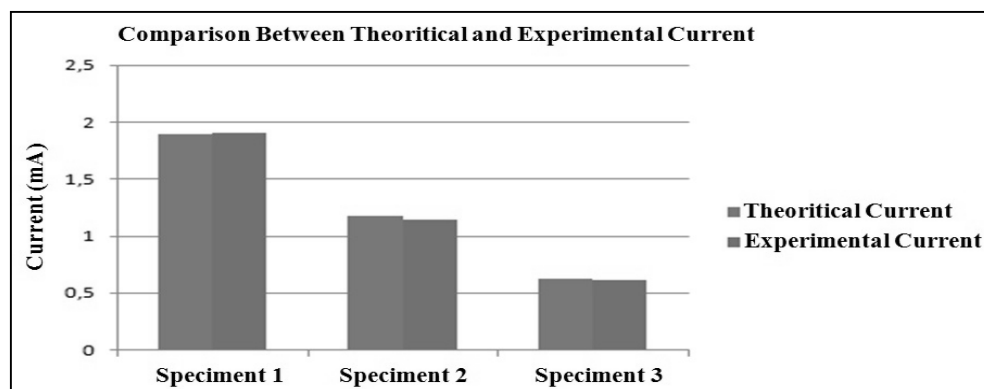


Fig. 4. Comparison of Theoretical and Experiment Current

#### 4. 2. Comparison of Current Density and Corrosion Rate between Speciment Pipeline and Main Pipeline.

Result of current value of main pipeline comes from calculation current density from speciment pipeline and surface range at main pipeline data.

Result of corrosion rate is regarded by pipeline surface range that will be protected and lifetime of pipeline. At the main pipeline 800.429 m<sup>2</sup> for surface range, it has corrosion rate value 0,253 mpy and from experimental pipeline 0,2501 mpy, with clasification of both is outstanding.

Table 8. Value of Current Density

| Speciment | Coating Condition | Current |                   | Current Density ( $\mu\text{A}/\text{cm}^2$ ) |
|-----------|-------------------|---------|-------------------|-----------------------------------------------|
|           |                   | (mA)    | ( $\mu\text{A}$ ) |                                               |
| 1         | Uncoating         | 1,91    | 1910              | 2,5187                                        |
| 2         | Single coating    | 1,14    | 1140              | 1,5033                                        |
| 3         | double coating    | 0,62    | 620               | 0,8176                                        |

Table 9. Current Value of Main Pipeline from Speciment Test

| Speciment | Coating Condition | Current Density ( $\mu\text{A}/\text{cm}^2$ ) | Current value of main pipeline |         |
|-----------|-------------------|-----------------------------------------------|--------------------------------|---------|
|           |                   |                                               | ( $\mu\text{A}$ )              | (A)     |
| 1         | uncoating         | 2,5180                                        | $20,161 \times 10^6$           | 20,1609 |
| 2         | single coating    | 1,5033                                        | $12,033 \times 10^6$           | 12,0332 |
| 3         | double coating    | 0,8176                                        | $6,544 \times 10^6$            | 6,5444  |

Table 10. Value of Corrosion Rate and Criteria

| Name                 | Current value of main pipeline |                      | Current density ( $\mu\text{A}/\text{cm}^2$ ) | Corrosion rate (mpy) | Criteria    |
|----------------------|--------------------------------|----------------------|-----------------------------------------------|----------------------|-------------|
|                      | (A)                            | ( $\mu\text{A}$ )    |                                               |                      |             |
| Theoretical Current  | 6,6035                         | $6,6035 \times 10^6$ | 0,8250                                        | 0,2524               | Outstanding |
| Experimental Current | 6,5444                         | $6,544 \times 10^6$  | 0,8176                                        | 0,2501               | Outstanding |

## 5. CONCLUSION

The pipeline design has 800.429 m<sup>2</sup> for surface ranging value. This experiment has 3 specimen materials tests with different various coating. So, the experiment result shows the value of current from theoritical calculation and experiment by specimens test. The result of specimen applied from theoritical calculation presents specimen 1 with value  $1.89 \times 10^{-3}$  A(DC), specimen 2 presents  $1.175 \times 10^{-3}$  A(DC) and specimen 3 shows  $6.256 \times 10^{-4}$  A(DC). The result from value of experiment by specimens test shows specimen 1 with value  $1.91 \times 10^{-3}$  A(DC), specimen 2 shows  $1.14 \times 10^{-3}$  A(DC) and specimen 3 presents  $6.2 \times 10^{-4}$  A(DC). So, the best current value that approach from theoritical calculation is specimen material 3 with twice coating variant. It has current value  $6.2 \times 10^{-4}$  A and 0,25 mpy for corrosion rate. The result shows that design of impressed current cathodic protection on fuel distribution pipeline system required voltage 33.759 V(DC), protection current 6.6035 A(DC) by theoritical calculation and 6.544 A(DC) from experiment of specimen test. The corrosion rate was observed with 0,25 mpy. The design of Transformer Rectifier needs 45 Volt (DC), current 10 A(DC) with loaded work 70%.

## 6. ACKNOWLEDEMENT

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# Predicting the Stiffness of Biaxial Braided Fiber Composites by Incorporation of Carbon Nano Fiber

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**Abstract:** In this study, carbon nano fibers (CNFs) were mixed into epoxy resin through a magnetic stirrer and again mixed using ultra sonicator. Using hand layup technique, biaxial braided fiber composites were prepared with unfilled, 0.2, 0.5 and 1 wt% CNF. Tensile test and shear test were performed to identify the tensile strength and shear strength of the composites. Fractured surface of the tensile specimens were examined by scanning electron microscopy to identify morphologies of nanoparticles. A discrete three layer model was developed for prediction of the tensile modulus and shear modulus of biaxial braided fiber composites. Theoretical and experimental values were compared. The experimental and theoretical results show that the addition of CNF in the epoxy matrix had significant influences on the mechanical properties of biaxial carbon braided fiber composites. CNF inclusion with braided composite promoted the tensile modulus, tensile strength, shear modulus and shear strength up to 0.5wt% of the biaxial carbon braided fiber composites.

**Keywords:** Biaxial braid, CNF, Mechanical properties, stiffness.

## 1. INTRODUCTION

Fiber reinforced plastic composites with braid performs posses light weight, improved delamination resistance, strength, stiffness and mechanical properties, compared to conventional type composites. They have played numerous roles in aerospace, marine, sports, biomedical engineering etc. The mechanical properties of braided composites became important to design and fabrication for such applications. Numerical analyses that can accurately model the braided composites are needed for better designing of structural composites. Keeping this in mind, many researchers have studied and tried to predict the stiffness properties of braided composites. Tao Zeng et al [1] were calculated the Young's modulus and Poisson's ratio of 3D braided composites using homogenization theorem. Shunjun et al [2] were carried out micromechanics based study on a series of single and multiple representative unit cell 3-D FE models and predict the compressive strength of 2D triaxial braided carbon fiber polymer matrix composites. Shawn et al [3] were described a model for predicting the energy absorption

characteristics of triaxially braided composites tubes. Cui and Yu [4] were presented two-scale method for identifying the mechanics parameters such as stiffness and strength of composite materials. Xiang and Zhi [5] were suggested a micromacromechanical model of unit cells to analyze the dynamic response of 3D-Braided rectangular plates. Anthony et al [6] were presented a macro-cell analytical model to study the piecewise stiffness distribution and then transformed to give global stiffness properties. With the help of the above approaches, a new model has been developed to predict the stiffness and strength of biaxial braided fiber composites by incorporation of carbon nano fiber (CNF).

Polymer matrix composites with CNF reinforcement have become very admired in structural applications because of its excellent mechanical properties. The benefits of CNF reinforcement in polymer matrix composites are apparent in the form of increased stiffness, strength and so on. CNF has attracted much research interests and are widely used for dispersion in epoxy resin due to the high strength and the larger interface of the polymer- CNF interactions. Momchil et al [7] were showed that

addition of 0.25wt% carbon nano fibers results in improvement in tensile modulus and strength compared to syntactic foam compositions that did not contain CNF. Yuanxin et al [8] were infused CNF into diglycidylether of bisphenol A and observed that 22.3% improvement in flexural strength of nano composite. Lan et al [9] were provided an in depth study of mechanical behaviors of CNF/epoxy nanocomposites with various contents of CNFs. Mechanical properties of biaxial braided carbon fiber composite with TiO<sub>2</sub> could be effectively adjusted by adding nanoparticle in different percentage [10]. The current improvements in the fabrication techniques and sympathetic of their mechanical behaviors contribute to the increasing popularity of braided materials [11]. A mechanical property such as impact strength, hardness and double shear of biaxial braided carbon composite with TiO<sub>2</sub> was improved [12].

However, there are few literatures on the mechanical properties of braided fiber/ epoxy laminates. The purpose of the present work is to develop a numerical model for analyzing the stiffness and strength of braided carbon fiber composites with an amount of unfilled, 0.2wt%, 0.5wt% and 1wt% CNFs. The Tensile strength of braided carbon fiber composites with an amount of unfilled, 0.2wt%, 0.5wt% and 1wt% CNFs were investigated. SEM image was examined for the tensile specimen to identify the morphologies of nanoparticles. Then the experimental results are compared with the predicted stiffness behavior.

## 2. ANALYTICAL MODEL

In this paper, a new model has been introduced for calculation of the stiffness and strength of braided carbon fiber composites with an amount of unfilled, 0.2wt%, 0.5wt% and 1wt% of CNF. The composite properties of a unit cell have been studied. The unit cell consists of two layers for the bias yarns which are aligned in  $\pm 45^\circ$  and a matrix with different percentage of CNF.

The mechanical properties of each layer were calculated by rule of mixture.

$$V_f + V_e + V_h + V_n = 1 \quad (1)$$

$$E_{11} = E_{11f}V_f + E_eV_e + E_hV_h + E_nV_n \quad (2)$$

$$E_{22} = 1 / ((V_f/E_{22f}) + (V_e/E_e) + (V_h/E_h) + (V_n/E_n)) \quad (3)$$

$$\nu_{12} = \nu_{12f}V_f + \nu_eV_e + \nu_hV_h + \nu_nV_n \quad (4)$$

$$G_{12} = G_{12f}V_f + G_{12e}V_e + G_{12h}V_h + G_{12n}V_n / (G_{12f}V_f + G_{12e}V_e + G_{12h}V_h + G_{12n}V_n) \quad (5)$$

$$\nu_{23} = \nu_{12} = \nu_{13} \quad (6)$$

$$G_{23} = E_{22} / 2(1 + \nu_{23}) \quad (7)$$

In above formulae, Indices f, e, h and n represent fibre, epoxy, hardener and nanoclay respectively,  $E_{11}$  - longitudinal modulus,  $E_{22}$  - transverse modulus,  $\nu_{12}$  - longitudinal poisson's ratio,  $\nu_{23}$  - transverse poisson's ratio,  $G_{12}$  - axial shear modulus and  $G_{23}$  - transverse shear modulus.

The stiffness matrix ( $C_{ij}$ ) can be calculated by considering each composite layer made of transversely isotropic material [13].

$$C_{ij} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ C_{12} & C_{22} & C_{23} & 0 & 0 & 0 \\ C_{13} & C_{23} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66} \end{bmatrix} \quad (8)$$

where,

$$C_{11} = (1 - (\nu_{23})^2)E_{11}/V \quad (9)$$

$$C_{12} = C_{13} = \nu_{12}(1 + \nu_{23})E_{22}/V \quad (10)$$

$$C_{23} = (v_{23} + ((v_{12})^2 x E_{22}/E_{11})) E_{22}/V \quad (11)$$

$$C_{22} = C_{33} = (1 - ((v_{12})^2 x E_{22}/E_{11})) E_{22}/V \quad (12)$$

$$C_{44} = G_{23} = E_{22}/(2 + 2v_{23}) \quad (13)$$

$$C_{55} = C_{66} = G_{12} \quad (14)$$

where

$$V = [(1 + v_{23})(1 - v_{23} - 2((v_{12})^2 x E_{22}/E_{11}))] \quad (15)$$

The braider angle transformation is given by: [14]

$$T_1 = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta & 0 & 0 & 0 & 2 \cos \theta \sin \theta \\ \sin^2 \theta & \cos^2 \theta & 0 & 0 & 0 & -2 \cos \theta \sin \theta \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \cos \theta & -\sin \theta & 0 \\ 0 & 0 & 0 & \sin \theta & \cos \theta & 0 \\ -\cos \theta \sin \theta & \cos \theta \sin \theta & 0 & 0 & 0 & \cos^2 \theta - \sin^2 \theta \end{bmatrix} \quad (16)$$

$$T_2 = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta & 0 & 0 & 0 & \cos \theta \sin \theta \\ \sin^2 \theta & \cos^2 \theta & 0 & 0 & 0 & -\cos \theta \sin \theta \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \cos \theta & -\sin \theta & 0 \\ 0 & 0 & 0 & \sin \theta & \cos \theta & 0 \\ -2 \cos \theta \sin \theta & 2 \cos \theta \sin \theta & 0 & 0 & 0 & \cos^2 \theta - \sin^2 \theta \end{bmatrix} \quad (17)$$

The stress-strain relation in the global stiffness axes is given as: [15]

$$\{\sigma\} = [T_1]^{-1} [C_{ij}] [T_2] \{e\} \quad (18)$$

Thus, the stiffness matrix of a unit cell in the global coordinate system can be written as:

$$[C] = t^{+0} [C_{global}]^{+0} + t^{-0} [C_{global}]^{-0} \quad (19)$$

where  $t^{+0}$ ,  $t^{-0}$  are specified as the thickness of each layer to the thickness of the laminate. The thicknesses are assumed to be identical.

Then, the compliance matrix is calculated by

inverting the stiffness matrix of a unit cell in Eq. (19):

$$[S] = [C]^{-1} \quad (20)$$

The engineering constants of braided composites can be written as: [16]

$$E_x = 1/S_{11}, E_y = 1/S_{22}, E_z = 1/S_{33}$$

$$G_{xy} = 1/S_{66}, G_{yz} = 1/S_{44}, G_{xz} = 1/S_{55}$$

$$v_{xy} = -S_{12}/S_{11}, v_{yz} = -S_{23}/S_{22}, v_{zx} = -S_{31}/S_{33}$$

### 3. EXPERIMENTAL METHODS

#### 3. 1. Materials and Specimen Fabrication

Biaxial braided carbon fiber sleeves with  $\pm 45^\circ$ , 4" dia, 15.1 oz/square yard, 3k fiber tow, from ACP composites were used as reinforcement. Bisphenol-A-Diglycidyl-Ether (DGEBA), known as LY556 were chosen as matrix material. When DGEBA is united with Hardener HY951 and nanoparticles, it gives a solvent free curing system. Carbon nanofibers – pyrolytically stripped platelets (conical). >98% carbon basis, D x L 100nm x 20-200  $\mu$ m were obtained from Sigma Aldrich. An epoxy resin of LY556 and hardener HY951 are mixed in the weight ratio of 10:1 and used as the matrix material for neat, 0.2wt%, 0.5wt% and 1wt% carbon nanofiber nanoparticles. The epoxy in a glass bowl was heated at 75°C to lower the viscosity and the CNF was added. Mixing was conducted in the magnetic hot plate stirrer for 4h at 75°C. The mixture was subjected to sonication using an ultrasonicator at an ultrahigh frequency for 3h to further disperse the CNF while maintaining the resin temperature at 75°C using a hot water bath. The mixture was followed by addition of HY951 and the mixture was stirred well to avoid the formation of bubbles. Braid composites were fabricated using hand layup technique. It is followed by a press machine for 24 h at room temperature. The composites were put in an oven with a post cure treatment for 1h at 100°C. 8layers of biaxial fiber were used to get 3mm

thickness of composite material.

### 3. 2. Tensile Test

Tensile test was performed to determine the stiffness and tensile strength of braided carbon fiber composites with an amount of unfilled, 0.2wt%, 0.5wt% and 1wt% of CNF. A Universal Testing Machine with a gear ratio speed of 2.5mm/min was used to load the specimen, consistent with ASTM D638. The specimens were cut in the dog bone shape with the dimension of 165 x 12.7 x 3mm and gauge length 57mm with radius of 25mm.

### 3. 3. Double Shear Tests

The double shear strength and shear modulus of the braided composites were measured using Universal Testing Machine according to the ASTM standard method 5616M. The composite specimens with dimensions of 45 x 10 x 3mm were tested. The shear properties were calculated from the tests result. It is compared with theoretical results.

### 3. 4. Dispersion of CNFs

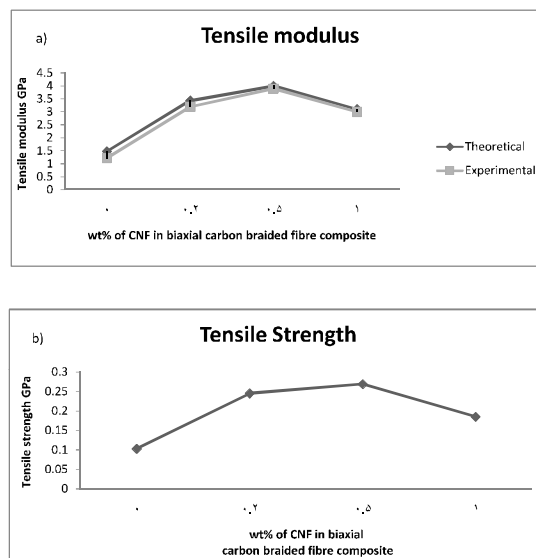
The dispersion of CNFs was evaluated using Hitachi s3400 scanning electron microscope. To investigate the dispersion of CNFs and to achieve a deeper understanding of the change in microstructure due to CNFs infusion, broken region of tensile specimen was cut with dimension of 10 x 10 mm. The broken surface was observed with the Hitachi s3400 SEM equipment.

## 4. RESULTS AND DISCUSSIONS

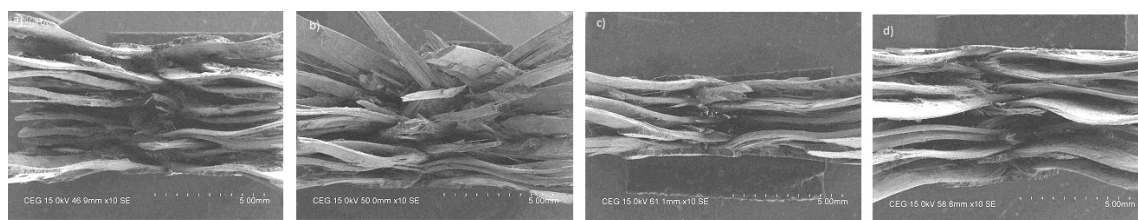
### 4. 1. Tensile Properties

The tensile modulus and tensile strength from the experiment are presented in Fig. 1 (a) and (b). The stiffness and the tensile strength of the composites were increased by the addition of CNFs up to 0.5wt%. The reason seems that CNFs have high stiffness, strength and bridging effect. However, the stiffness and the tensile strength of

the composites were decreased by the addition of CNFs in 1wt%. The reason seems that 1wt% of CNFs were not mixed enough with epoxy resin and forms agglomeration. This shows that high percentage of CNF loading is difficult in the mixing of resin. Due to the above reason, loads were not successfully transferred to the composite material during loading and each ply was fractured individually. The fracture surface of the tensile test specimens are shown in the fig. 2. Fig. 2a indicates the brittle fracture mechanism of neat epoxy resin with biaxial carbon fiber composite. Breakages of fiber were the important failure mode. At tensile strength of 0.103 GPa of neat specimen, fiber breakage was observed vigorously and delamination was also occurred. Fig. 2b and 2c composite specimen except 1wt% (fig. 2d) shows improved tensile properties. At tensile strength of 0.245 GPa and 0.269 GPa of 0.2wt% and 0.5wt% composite, the damage of the composite shows ductile nature of the specimen. The significant reduction of 1wt% composite in both failure strength and modulus is linked with a poor dispersion of the high content



**Fig. 1.** a) Tensile modulus (stiffness) variation with different wt% of CNF in biaxial carbon fibre composites. b) Tensile strength variation with different wt% of CNF in biaxial carbon fibre composites.



**Fig. 2.** The fracture surface of the tensile test specimens with a) neat, b) 0.2wt%, c) 0.5wt% and d) 1wt% of CNF in biaxial carbon fibre composites respectively.

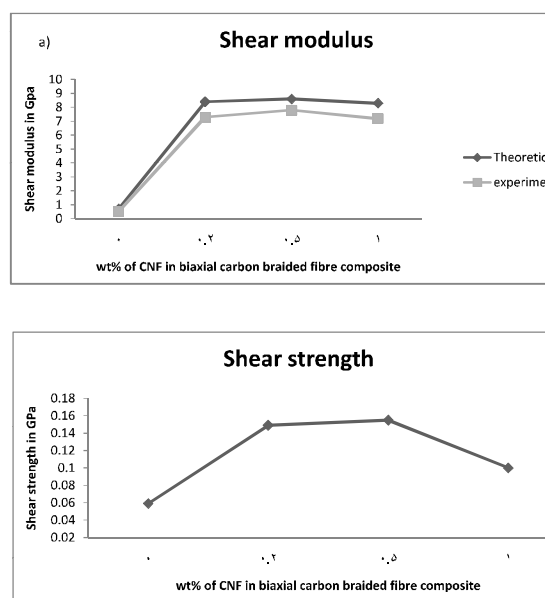
level of CNF in the resin shown in Fig. 2d. At tensile strength of 0.185 GPa of 1wt% composite, resin crack and fiber breakage were more. It was observed that an increased viscosity of epoxy due to the addition of high content of CNF made degassing complicate. This allows small void formation and also poor dispersion, agglomeration in the epoxy matrix. Large delamination was observed in the 1wt% of CNFs dispersed composites comparing to the neat, 0.2wt% and 0.5wt%. The reason seems that the CNFs in the composites were aligned in the in-plane direction and it reduced the strength and toughness.

#### 4. 2. Shear Properties

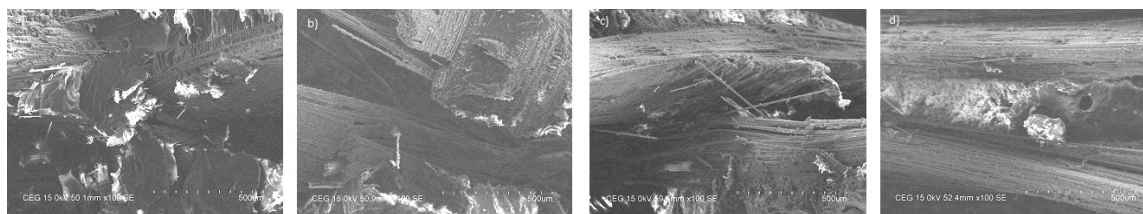
The shear modulus and the shear strength from the experiment are shown in Fig. 3 (a) and (b). The basic parameters affected the shear strength of a quality biaxial carbon braided composite are maximum shear stresses sustainable in the fiber, in the matrix and at the interface. The measured shear modulus and shear strength is agreed well with the predicted. The shear modulus and the shear strength of the composite were increased by the addition of CNFs up to 0.5wt%. The reason seems that proper alignment of biaxial braided fibre and very low void formation during the mixing process. However, the shear modulus and the shear strength of the composites were decreased by the addition of CNFs in 1wt%. The reason seems that 1wt% of CNF were not mixed proper in the epoxy resin and large voids formation by the air trapped adjacent to fibre on the surface of a laminae.

#### 4. 3. Comparison of Tensile Modulus and Shear Modulus with Experimental

The geometrical properties of the biaxial carbon fibre, epoxy resin, hardener and CNF are listed in tables 1 and 2. Table 3 shows the volume fraction of neat, 0.2wt%, 0.5wt% and 1wt% of CNF which is used to predict the tensile modulus and the shear modulus. The geometrical values and volume fractions are applied in the new model to predict the tensile modulus and shear modulus of the composite material. Fig. 1a and 3a are compared the theoretical and experimental



**Fig. 3.** a) Shear modulus variation with different wt% of CNF in biaxial carbon fibre composites. b) Shear strength variation with different wt% of CNF in biaxial carbon fibre composites.



**Fig. 4.** SEM image a) neat, b) 0.2wt%, c) 0.5wt% and d) 1wt% of CNF in biaxial carbon fibre composites respectively.

results of tensile modulus (stiffness) and shear modulus (stiffness) respectively. The results obtained from the theoretical are nearly in accord with experimental results. The simplicity of this method makes it valid option to predict the stiffness of the composite.

#### 4. 4. SEM Analysis

Scanning electron microscopy image is used to observe neat and dispersion of CNF as shown in Fig. 4. It is quite clear that neat epoxy resin biaxial braided composite shows brittle fracture surface compared to that of the CNF biaxial braided composites. It can be noticed that an ultrasonication at an ultrahigh frequency for 3h led to disperse the CNF uniformly. Only a very few clusters or agglomerations were present in the dispersion of 0.2wt% and 0.5wt% of CNF as shown in fig. 4b and 4c. This shows that the dispersion of the CNF in the epoxy resin is more uniform. But when CNF content is 1wt%, large agglomerations were visible as shown in fig. 4d. The agglomeration can be severe for 1wt% CNF contents due to the reduction of interparticle distances between CNF. As the amount of nanoparticles increases, the inertia of the CNF particles to form agglomeration is also increases. The lack of distribution of CNF is unsettled to that of the epoxy resin and fails to provide proper adhesive force to the fibers. The lower strength could be caused by the formation of CNF agglomeration, poor dispersion and void formation during blending at the high CNF content level. And also, due to lower degree of nanoparticles polymer interaction at higher filler contents. Due to this, interfacial debonding causes during tensile testing and reducing the

tensile strength of 1wt% of CNF composite.

#### 5. CONCLUSION

In this paper, the effective tensile modulus (stiffness) and shear modulus are deduced theoretically based on the present model. The effects of CNF loading on the biaxial carbon braided fibre are investigated. The theoretical calculation results are compared to experimental method and excellent results are obtained. The theoretical prediction of the present model shows a good agreement with the experimental data. The different percentage of CNF loading has significant influences on the stiffness and strength of biaxial carbon braided fibre composites. The stiffness and strength properties in 1wt% were lower than that neat, 0.2wt% and 0.5wt%. This shows that uniform CNF distribution was not easy at high CNF loading. The inclusion of higher CNF (1wt %) content declined the mechanical properties and difficult to disperse, major clustering and entanglement are observed in the SEM images. Moreover, CNF inclusion with braided composite promoted the tensile modulus, tensile strength, shear modulus and shear strength up to 0.5wt% of the biaxial carbon braided fiber composites.

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## Characterization of Co-Fe Magnetic Films Fabricated by Galvano-Static Electrodeposition

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**Abstract:** In this research, nanocrystalline Co-Fe coatings were electrodeposited on copper substrate. The influence of current density on different properties of the films at two pH levels was investigated. All the coatings showed nodular structure with rougher morphology at higher current densities. Due to anomalous deposition at higher current density, the amount of iron content increased and reached its maximum value at about 50 wt.% for the coating obtained from pH 5. X-ray diffraction patterns showed hcp structure as the dominant phase. However, by increasing current density at lower pH value, a double phase structure containing fcc+hcp phases was detected. It was observed that current density has a positive effect on grain refinement. However, coarser grains would obtain at lower pH value. Microhardness measurements showed that, there is a direct relationship between grain size and microhardness. Moreover, microstructure in double phase structure films can influence microhardness more dominantly. Vibrating sample magnetometer (VSM) measurements indicated that the saturation magnetic is proportion to deposited iron content and reached its maximum value at about 1512 emu/cm<sup>3</sup>. It was cleared that grain size, phase structure and chemical composition can affect coercivity of the films effectively.

**Keywords:** Co-Fe, Current density, Microstructure, Microhardness, Magnetic property.

### 1. INTRODUCTION

Electrodeposited Co-Fe alloys are important engineering materials used in different industries including communication devices, sensors, actuators, printed circuit boards and magnetic recording heads [1-3]. These materials have high saturation magnetization and Curie temperature, high permeability, low eddy current loss and thermal stability [1, 2].

Many investigations have been carried out for development of these coatings. The influence of homogeneous magnetic fields on internal stress, microstructure, roughness and chemical composition of Co-Fe alloys have been examined by Koza and co-workers [4]. Kim et al. [5] have found that current efficiency obtained from chloride baths is higher than that obtained from sulphate electrolytes and this parameter is decreased by increasing Fe<sup>2+</sup> concentration [6].

It has been reported that the presence of internal stress which causes microcracks

formation during electrodeposition process affects corrosion resistance, magnetic and mechanical properties of the films [7, 8]. The internal stress is originated from grain size refinement, higher film thickness, increasing iron content and/or fierce hydrogen evolution during electrodeposition process [8-11].

Kockar and co-workers [12] investigated the magnetic properties of Co-Fe alloys as a function of electrolyte pH. Their observations showed that all the films have anisotropic magnetoresistance. However, saturation magnetization of the films reaches its maximum value at about 1597 emu/cm<sup>3</sup> at lower pH electrolyte. Lu et al. [13] demonstrated that chemical composition, microstructure and thus magnetic properties of FeCo alloy coatings are influenced by deposition temperature. Their observations showed that Fe content decreased by increasing bath temperature. However, grain size of two phase structure coatings is lower than that obtained from single phase solid solution which is referred



to competitive nucleation and growth of the two phases [13]. Mehrizi et al. [3] have also reported the same results. Despite fine magnetic properties, these alloys have high coercivity which causes energy consumption and reduces lifetime of the devices [3, 14-16]. To overcome this problem, reducing the grain size in nanometer scale is recommended [3].

Among different deposition techniques used for fabricating nanostructured materials, electrodeposition can be a good candidate due to its numerous advantages. The most important positive points of this technique are high quality deposition coatings, rapid production at room temperature, low cost and easy control process. In addition, this procedure does not require any vacuum system and secondary processing steps [9, 17, 18].

Although fine magnetic properties and good mechanical features require for fabricating write heads, lower attentions have been devoted for development of these properties as a function of current density [2, 4, 9, 11-13, 15, 19-21]. Thus in the present work, nanocrystalline Co-Fe alloys were fabricated by electrodeposition technique. The influence of current density on chemical composition, microstructure, magnetic property and microhardness of the films at two pH levels

was investigated.

## 2. EXPERIMENTAL DETAILS

### 2. 1. Materials

Rectangular copper samples with 1 cm<sup>2</sup> surface area were used as cathode. This metal was selected due to easy surface preparation, more accessible, non-magnetic property and high electrical conductivity. Prior to the deposition process, the mechanical polishing of the samples was done up to 3000 grade abrasive paper. Electrodeposition was performed using a digital coulometer (BHP 2056) without agitation and a 430 stainless steel sheet was used as anode surface. The composition of the baths and operating parameters are shown in Table 1. The pH values were adjusted by using dilute H<sub>2</sub>SO<sub>4</sub> or NaOH solutions.

### 2. 2. Methods

The surface morphology and compositional analysis of the coatings were studied by a scanning electron microscope (SEM model WEGA/TESCAN) coupled with an energy dispersive spectrometer (EDS) respectively. To

**Table 1.** chemical composition of the baths and some deposition conditions for Co-Fe alloys

| Bath composition                                 | Concentration (M) |
|--------------------------------------------------|-------------------|
| CoSO <sub>4</sub> . 7H <sub>2</sub> O            | 0.20              |
| FeSO <sub>4</sub> . 7H <sub>2</sub> O            | 0.20              |
| H <sub>3</sub> BO <sub>3</sub>                   | 0.40              |
| Na <sub>2</sub> SO <sub>4</sub>                  | 0.70              |
| L-ascorbic acid                                  | 0.05              |
| Electrodeposition parameters                     | value             |
| Current density (mA/cm <sup>2</sup> )            | 10, 20, 35, 50    |
| pH                                               | 3, 5              |
| Temperature (°C)                                 | 25                |
| Electric charge passed (colomb/cm <sup>2</sup> ) | 50                |

evaluate the film thickness, a Motic optical microscope was used. The structural analysis and the grain size measurement were carried out by X-ray diffraction (XRD) technique using Cu K $\alpha$  radiation (BRUKER/D8 ADVANCED diffractometer, 30 kV-30 mA) and the results were interpreted by X-pert high score software. The grain size was calculated from broadening of the cobalt (101) peak using the Scherrer equation [22]:

$$d = 0.9 \lambda / \beta \cos \theta \quad (1)$$

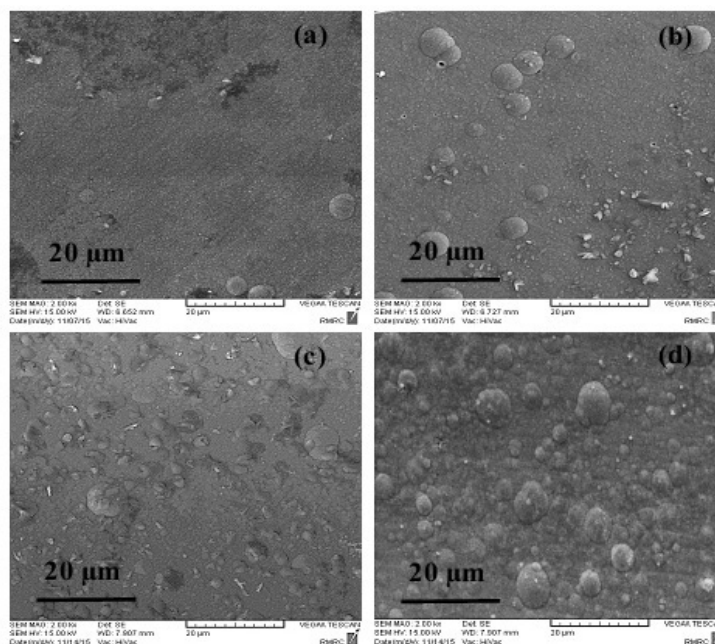
where  $\lambda$ ,  $\beta$  and  $\theta$  are the wavelength of copper ( $\lambda = 1.5406 \text{ \AA}$ ), the full width at half-maximum of the peak (FWHM) and the diffraction angle respectively. The scan was done between 40–100 degrees with a step size of about 0.03 degrees. Microhardness of the alloy coatings was determined by using a Koopa MH1 microhardness tester at a constant load of about 15 g for 10 s. The reported values were the

average number of five random points on the surface of each coating. The magnetic hysteresis loops were obtained with a vibrating sample magnetometer (Meghnatis Daghigh Kavir Co. Iran). The samples were prepared by using a very thin copper foil with 0.5 cm×0.5 cm dimensions. Magnetic measurements were performed at room temperature and a parallel magnetic field of about  $\pm 2000 \text{ Oe}$  was applied to the film surface.

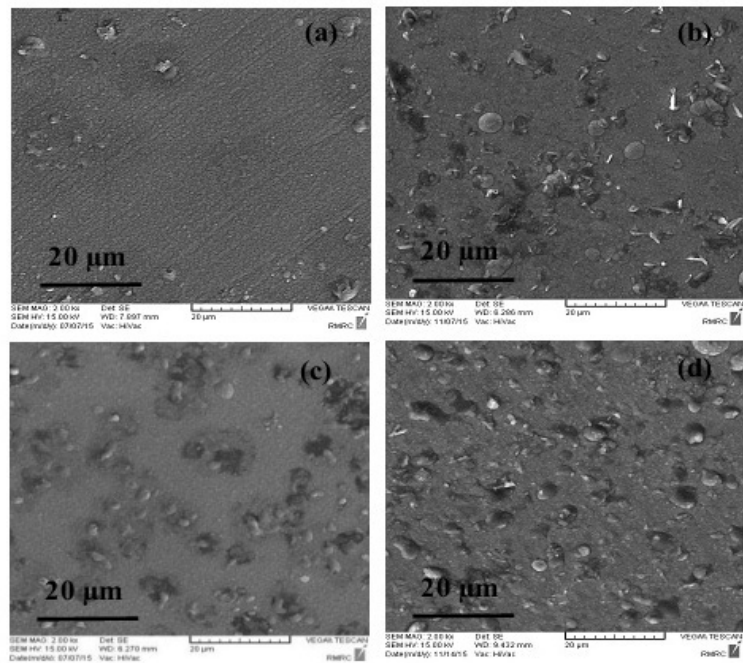
### 3. RESULT AND DISCUSSION

#### 3.1. Chemical Composition and Surface Morphology

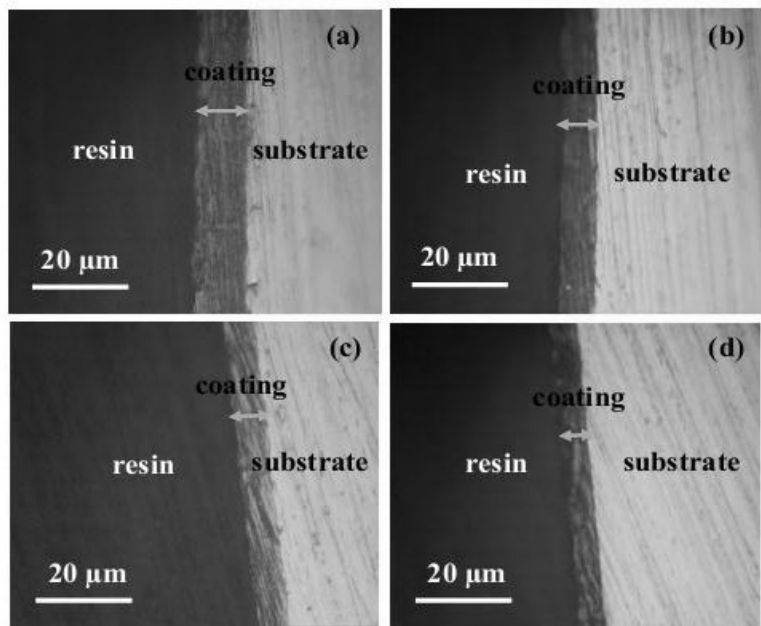
Figs. 1(a–d) and 2(a–d) show SEM images of the coatings obtained from different current densities for both pH values. As seen, a nodular morphology is observed for all current densities. Since the rate of nucleation and growth is proportion to current density [23], the number of nodules increases at higher current density which causes rougher morphology under this condition. Similar results have been also reported



**Fig. 1.** SEM images of Co-Fe alloy coatings obtained from different current densities and pH=5. The labels (a)–(d) represent current densities  $i = 10, 20, 35$  and  $50 \text{ mA/cm}^2$ , respectively.



**Fig. 2.** SEM images of Co-Fe alloy coatings obtained from different current densities and pH=3. The labels (a)-(d) represent current densities  $i=10, 20, 35$  and  $50 \text{ mA/cm}^2$ , respectively.



**Fig. 3.** Optical microscopy images from the cross section of the coatings obtained from different current densities and pH values. The labels (a)-(d) are related to ( $i=10 \text{ mA/cm}^2$ , pH 5), ( $i=50 \text{ mA/cm}^2$ , pH 5), ( $i=10 \text{ mA/cm}^2$ , pH 3), ( $i=50 \text{ mA/cm}^2$ , pH 3) respectively.

**Table 2.** EDS, XRD and VSM data for the coatings obtained from pH=5.

| Current density<br>(mA/cm <sup>2</sup> ) | Fe (wt. %) | Co (wt. %) | Grain size<br>(nm) | Phase<br>structure | M <sub>s</sub><br>(emu/cm <sup>3</sup> ) | H <sub>c</sub> (Oe) |
|------------------------------------------|------------|------------|--------------------|--------------------|------------------------------------------|---------------------|
| 10                                       | 35         | 65         | 19                 | hcp                | 1050                                     | 52                  |
| 20                                       | 44         | 56         | 15                 | hcp                | 1276                                     | 41                  |
| 35                                       | 46         | 54         | 12                 | hcp                | 1348                                     | 36                  |
| 50                                       | 50         | 50         | 8                  | hcp                | 1512                                     | 22                  |

**Table 3.** EDS, XRD and VSM data for the coatings obtained from pH=3.

| Current density<br>(mA/cm <sup>2</sup> ) | Fe (wt. %) | Co (wt. %) | Grain size<br>(nm) | Phase<br>structure | M <sub>s</sub><br>(emu/cm <sup>3</sup> ) | H <sub>c</sub> (Oe) |
|------------------------------------------|------------|------------|--------------------|--------------------|------------------------------------------|---------------------|
| 10                                       | 29         | 71         | 25                 | hcp                | 997                                      | 46                  |
| 20                                       | 36         | 64         | 19                 | hcp                | 1087                                     | 31                  |
| 35                                       | 42         | 58         | 16                 | hcp+fcc            | 1117                                     | 24                  |
| 50                                       | 45         | 55         | 11                 | hcp+fcc            | 1162                                     | 16                  |

previously [24]. Optical microscopy images from the cross section of the coatings are shown in Fig. 3 (a-d). It has been reported that, film thickness is theoretically proportion to the electric charge passed during electrodeposition process [3]. Although, the electric charge was kept constant at 50 colomb/cm<sup>2</sup>, the real film thickness was not the same. In fact, the film thickness was decreased by increasing current density and this effect became more intense at lower pH value. The difference in film thickness is related to fierce hydrogen evolution and lower current efficiency which occurs at these conditions. These results were also confirmed by other researchers [25-28].

Tables 2 and 3 show chemical composition of the coatings obtained from different current densities for both pH values. The results were reported in weight percentage using EDS analysis. As seen, by increasing current density the amount of iron content was increased which

indicates that deposition tends to anomalous fashion under this condition. This expression is used to preferential deposition of the less noble metal compared to the more noble one [10, 18, 27, 29]. To clarify this feature, it must be mentioned that by increasing current density, hydrogen evolution takes place more rapidly at the cathode surface which causes higher pH values near its surface [27]. However, hydroxide ions are dominated species at this condition and the competition adsorption of metal hydroxides causes anomalous deposition [27]. According to Qiang et al. [21] the adsorption tendency of cobalt hydroxide is lower than iron one, which causes higher deposited iron in this condition. This effect became more intense at higher pH electrolytes [25].

### 3. 2. Structural Characterization

XRD patterns of the coatings as a function of current density for two pH levels are shown in Fig. 4. As seen before, EDS analysis confirmed the existence of iron in the coatings but no iron peaks were seen in the XRD spectra. It means that iron was substituted in the cobalt matrix and thus only cobalt structure as the solvent was detected. Similar results have been also reported by other researchers [8, 17, 24, 25, 30, 31].

It has been reported that cobalt has two allotropic structures containing high temperature fcc and low temperature hcp phases. This transition takes place at around 695 K [8]. During electrodeposition, there is not enough time for arrangement of atoms on regular lattice sites. Thus, non-equilibrium phases can be formed during electrodeposition process [13, 24].

As seen in Fig. 4 (a), it is clear that the peaks diffracted from the coatings deposited from different current densities at pH 5 are due to cobalt hcp structure. According to Fig. 4(b), the structure of the coatings obtained from lower current densities was also the same. However, by increasing current density at pH 3, a double phase structure of cobalt containing hcp+fcc phases became evident. Similar results have been also reported by Sakita et al. [10]. According to Nakahara et al. [32], co-deposition of atomic hydrogen with cobalt ions increases the density of faults in hcp structure and thus a metastable fcc phase was detected. It has been reported that lower pH values and/or higher current densities make suitable conditions for deposition of fcc structure [31].

The average grain size of the coatings was calculated by Scherrer equation and the results are summarized in Tables 2 and 3. It has been reported that current density has two contrary effects on the grain size of the coatings. Firstly, by increasing this parameter, surface diffusion of ad-ions to the active growing sites is increased and thus larger grain size would be obtained at this condition. Secondly, by increasing current density, nucleation rate ( $J$ ) is promoted and thus finer grains would be acquired based on the following equation [23, 26]:

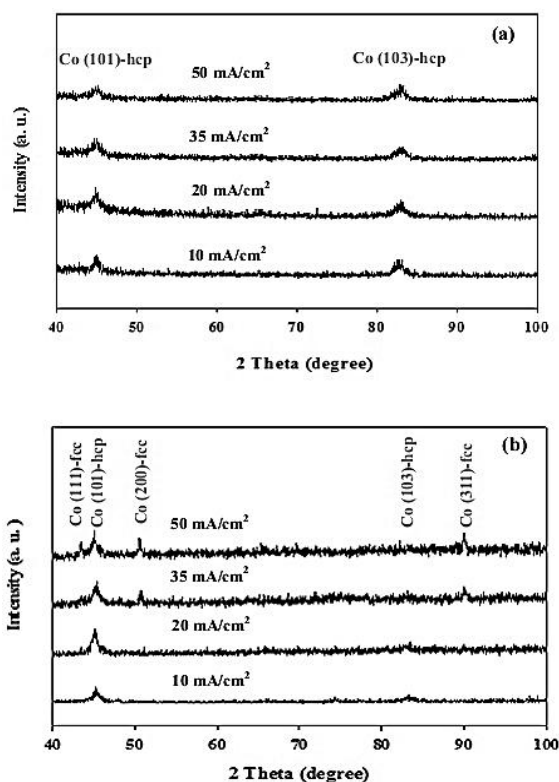


Fig. 4. XRD patterns for Co-Fe alloy coatings obtained from different current densities for (a) pH=5 and (b) pH=3.

$$\lg J = A - \frac{B}{|\Delta\phi|} \quad (2)$$

where  $A$  and  $B$  are constant and  $\Delta\phi$  is the modulus of overpotential. By referring to Tables 2 and 3, it seems that regardless of the electrolyte pH, nucleation rate overcomes the grain growth at higher current densities and thus finer grains would be obtained at this condition. However, the coatings deposited from pH=3 have coarser grains compared to those obtained from the higher pH value. To determine this feature, it can be assumed that hydrogen reduction blocks the nucleation sites more conveniently and this leads to larger grains at lower pH value.

### 4. MICROHARDNESS OF THE COATINGS

It has been reported that chemical composition, surface defects, crystal orientation,

grain size and phase structure can affect microhardness effectively [8, 24, 25, 31, 34-38]. Figure 5 shows microhardness of the coatings as a function of current density for both pH values. As seen, microhardness of the coatings obtained from pH 5 is higher than those obtained from pH 3 initially. This effect may be due to solid solution hardening and higher iron content deposited at pH 5. However, a significant increase in microhardness value for the coatings obtained from pH 3 at higher current densities is related to a double phase structure coatings formed at this condition. Similar results were also reported by other researchers [9, 36].

The strengthening of polycrystalline materials by grain size refinement can be explained by the Hall-Petch equation [38, 39]:

$$H = H_0 + kd^{-0.5} \quad (3)$$

where  $H_0$  is hardness constant,  $k$  is a constant and  $d$  is the diameter of grains which is determined by XRD technique. In this model, grain boundaries act as obstacles to dislocations movement [38]. As seen from Fig. 5, microhardness of the coatings obtained from pH 5 has descending

trend at higher current densities. It means that the strengthening of material through grain refinement deviates from the normal Hall-Petch equation. This softening effect is related to very small grain size which makes more triple junctions, intercrystalline volume fraction and diffusional creep as reported previously [9, 19, 38]. It has been reported that the deviation from Hall-Petch equation for Co-Fe alloy coatings takes place at around 20 nm grain size [9] which is higher than the reported value in the present investigation.

## 5. MAGNETIC PROPERTY

Figure 6 illustrates the hysteresis loops of the coatings and the results are summarized in Tables 2 and 3. It has been reported that chemical composition is the main factor responsible for magnetization changes [3, 5, 19]. Since, iron has the highest magnetization compared to other magnetic materials, the variation in this parameter is proportion to deposited iron content [40]. As seen from the mentioned tables, coercivity was decreased at higher current densities. However, the variation in this parameter is related to many factors such as

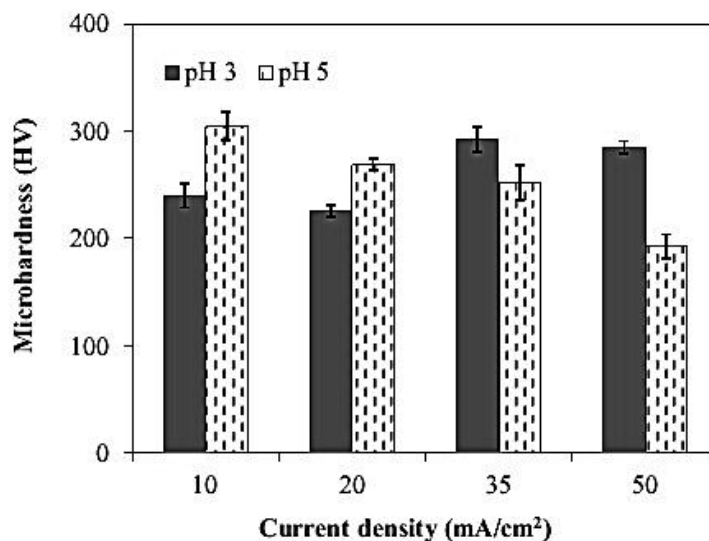


Fig. 5. Microhardness and its error bar for Co-Fe alloy coatings.

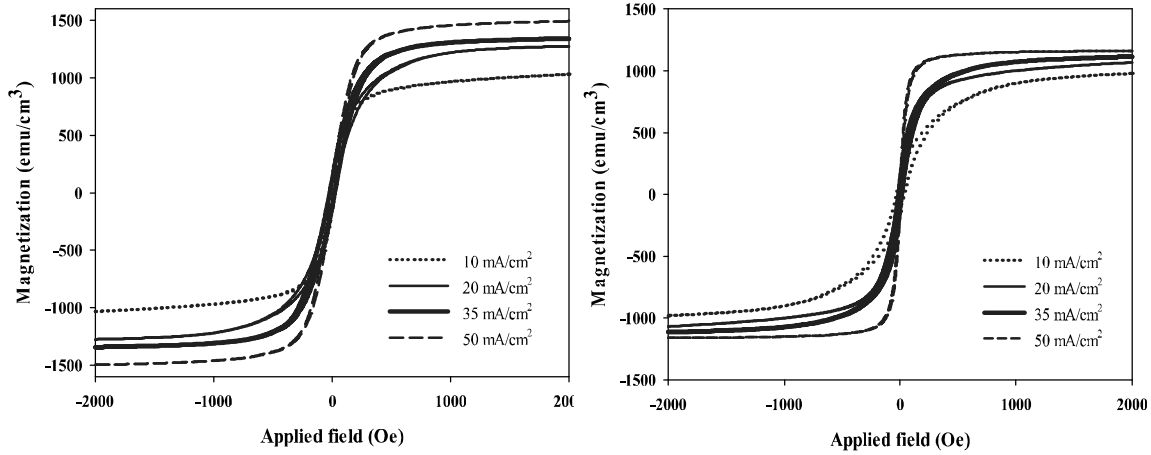


Fig. 6. Hysteresis loops of the coatings obtained from different current densities for (a) pH=5 and (b) pH=3.

chemical composition, surface defects, phase structure, thickness and grain size of the coatings effectively [16, 19, 26].

Based on some documents [41-43], increasing the volume fraction of grain boundaries through grain refinement prevents the domain walls movement and thus higher coercivity would be obtained at this condition. The coercivity is expressed as the following equation [8, 41-43]:

$$H_c \sim 3 \sqrt{\frac{kT_c K_1}{aM_s}} \frac{1}{D} \quad (4)$$

where  $H_c$  is the coercivity,  $D$  the crystallite size,  $M_s$  the saturation magnetization,  $K_1$  the magnetocrystalline anisotropy,  $T_c$  the curie temperature,  $k$  the Boltzman constant and  $a$  the lattice constant. However, by decreasing the grain size smaller than magnetic exchange length ( $L_{ex}$ ) which is approximately of the order of tens nanometers, the domain wall effect is eliminated and the exchange interaction of the grains makes the magnetization vectors more parallel to each other [44]. Thus, coercivity can be determined by the following equation [3, 41-43, 45]:

$$H_c \sim \frac{P_c D^6 K_1^4}{\mu_0 M_s A^3} \quad (5)$$

where  $P_c$  is a constant of the order of unity,  $\mu_0$  the permeability of free space and  $A$  the exchange stiffness constant. The magnetic exchange length is defined as the following equation which is approximately 40 nm for CoFe alloys [3, 41-43, 45]:

$$L_{ex} = \sqrt{\frac{A}{K_1}} \quad (6)$$

As seen previously, grain size of the coatings decreased by increasing current density. Since, the average grain size in this investigation is lower than magnetic exchange length (40 nm), the reduction in this parameter can lead to lower coercivity according to equation 5. It must be mentioned that chemical composition can also affect coercivity of the films effectively. It has been reported that cobalt has higher coercivity compared to other magnetic materials [40]. So the higher cobalt content which was deposited at lower current densities can lead to lower coercivity. Tian et al. [26] have also reported the same results. As seen in previous sections, higher current density and lower pH value provided suitable condition for deposition of fcc phase. It has been reported that fcc phase has lower magnetic anisotropy compared to hcp one which made lower coercivity at this condition [47]. These results are in good agreement with the results obtained here.

## 6. CONCLUSION

In this research, nanocrystalline Co-Fe alloy coatings were prepared by electrodeposition technique. The results showed that by increasing current densities and/or pH value, deposition tends to anomalous fashion and higher iron content would deposit at these conditions. By considering XRD data, it was demonstrated that lower grain size deposits at higher current densities. Furthermore, lower pH values and higher current densities cause suitable situations for deposition of fcc+hcp structure compared to hcp one. Microhardness of the coatings reached its maximum value at about 300 HV. VSM results confirmed that saturation magnetization of the coatings is proportion to deposited iron content and reaches its maximum value at about 1512 emu/cm<sup>3</sup>. However, coercivity was changed by chemical composition, microstructure and grain size of the coatings.

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## The Effect of Various Parameters on Dry Sliding Wear Behavior and Subsurface of Aged Hybrid Metal Matrix Composites Using Taguchi Technique

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**Abstract:** The effects of applied load, sliding speed and sliding distance on the dry sliding wear behavior of aged Al-SiC<sub>p</sub>-Gr composites were investigated. The specimen were fabricated by stir-casting technique. The pin-on-disc wear testing machine was used to investigate the wear rate by design of experiments based on L<sub>27</sub> using Taguchi technique. Sliding distance was the most important variable that influenced the wear rate followed by sliding speed and applied load. The worn out surfaces were analyzed by SEM and EDS to study the subsurface mechanism of wear. The addition of reinforcements showed improved tribological behavior of the composite than base alloy.

**Keywords:** Composites, Aged, Wear, Subsurface, Taguchi Technique.

### 1. INTRODUCTION

Aluminium Metal Matrix Composites (AMMCs) are prominent material to attain high specific strength, high stiffness, low density and good wear resistance compared to the monolithic materials. These are potential replacements for conventional materials in automobile and aerospace applications [1-2]. Several researchers have worked on the improvement of the tribological properties of AMMCs by addition of single ceramic particles viz., SiC<sub>p</sub>, Al<sub>2</sub>O<sub>3</sub>, Gr etc. A few researchers have carried out the research using the combination of the above reinforcements. Increase in the content of SiC<sub>p</sub> in aluminium matrix material exhibits good wear resistance. Tjong et al. [3] worked on wear behavior of Al-12% Si alloy reinforced with low volume fraction of SiC<sub>p</sub>. The results showed that the addition of low volume fraction of SiC<sub>p</sub> is very effective in increasing the wear resistance. Pramila Bai et al. [4] studied the dry sliding wear test of A356 reinforced with 15-25 wt. % of SiC<sub>p</sub>. The result revealed that, increase in the content of SiC<sub>p</sub> reduced wear.

Ravikiran and Surappa [5] studied the wear behaviour of A356-30 wt. % SiC<sub>p</sub> composite as a function of sliding speed. The wear rate of

specimen decreases with increasing speed, as the SiC<sub>p</sub> is exposed to the specimen surface is increased. Natarajan et al. [6] studied the wear behavior of A356-25SiC<sub>p</sub> sliding against automobile friction material. They concluded that the wear rate of MMCs was lower than the cast iron. That makes it a suitable material for brake rotor applications. Wilson and Alpas [7] studied the wear mechanism of A356 alloy and A356-20SiC<sub>p</sub> composite. They reported that the addition of SiC<sub>p</sub> to A356 aluminium alloy leads to mild wear regime at higher speed and loads, there by inhibiting sever wear. The SiC<sub>p</sub> assists the retention of oxide transfer layer on composite sliding surface. It prevents metal to metal contact and keeps wear behavior within the mild wear regime.

The graphite (Gr) used as second reinforcement has to a possible reduction of friction, as solid lubricant imparts good wear resistance to the composites [8]. Akhlaghi and Bidaki [9] studied the effects of addition of lower wt. % (2-5 wt. %) of Gr which exhibited good wear resistance against a higher wt. % (5-20 wt. %) of Gr. Yang et al. [10] studied the tribological properties of A356 alloy with varying Gr of 2, 4, 6 and 8 wt. %. The result showed that 4 and 6 wt. % of Gr particles exhibit

lowest wear compared to the 8 wt. %, but sliding speed and normal load had negligible influence on wear rate. Liu et al. [11] studied the wear resistance of Al-Si-Gr composites aged at different temperatures. The wear resistance of aged composites showed better results than as-cast matrix material. Rajaram et al. [12] studied the wear properties of Al-Si alloy reinforced with 3 wt. % of Gr at elevated temperatures. They concluded that, an increase in addition of Gr improved the tribological behaviour when compared with the base alloy.

The addition of  $\text{SiC}_p$  to Al alloy increases both mechanical and wear resistance but makes machining difficult [13]. The addition of  $\text{SiC}_p$  along with Gr particles helps to retain the property and reduces the problem of machining. Al matrix reinforced with  $\text{SiC}_p$  and Gr forms Al-SiC-Gr hybrid composites that improves the tribological characteristics when compared with the base alloy.  $\text{SiC}_p$  imparts strength and wear resistance, while Gr acts as a solid lubricant [14]. Basavarajappa et al. [15] studied the influence of sliding speed on the extent of subsurface damage on Al-15 $\text{SiC}_p$  and Al-15 $\text{SiC}_p$ -3Gr composites. Increasing the sliding speed increases the degree of subsurface deformation. The degree of subsurface deformation and wear rate in Gr composites is less than that of free Gr composites. Suresha and Sridhara [16] reported that the combination of reinforcements of  $\text{SiC}_p$  and Gr showed better wear behaviour than  $\text{SiC}_p$  alone. Ames and Alpas [17] studied the wear mechanism of A356 aluminium alloy reinforced with  $\text{SiC}_p$  and Gr. The wear rate of hybrid composites is significantly lower than the wear rate of base alloy at low and medium loads. Riahi and Alpas [18] observed that the combination of A356-10 $\text{SiC}_p$ -4Gr revealed good wear resistance than A356-5 $\text{Al}_2\text{O}_3$ -3Gr composites.

Gomez et al. [19] studied the advantage of heat treatment on wear resistance of A6069- $\text{SiC}_p$  composite material. It was found that, the heat treated MMCs at T6-7 hrs showed maximum hardness and superior wear resistance. Lin et al. [20] studied the hardness and dry sliding wear of T6 heat treated Al6061-Gr composites and A6069. The tribological characteristic depends on hardness and rate of releasing of Gr particles

from the composite specimen. Benal and Shivananad [21] studied the wear characteristics of aged Al6061 hybrid composites. At an aging duration of 5 hrs the composites showed maximum wear resistance. Viswanatha et al. [22] studied the effect of ageing duration on wear behaviour of the aluminium hybrid composites. From the results, A356-9 $\text{SiC}_p$ -3Gr composites aged at T6-9 hrs showed better mechanical and tribological properties when compared to the base alloy.

Mahdavi and Akhlaghi [23] studied the hardness and tribological behaviour of aged Al6061- $\text{SiC}_p$ -Gr composites. The results revealed that hardness of the composites increases with addition of reinforcements. Al-20 $\text{SiC}_p$ -9Gr gives better tribological property when compared to the other tested specimens. Venkataraman and Sunderarajan [24] studied the formation of mechanical mixed layer (MML) and its effect of mechanism on wear rate. The MML plays a vital role on the wear behaviour of the composite specimen. The MML protects the surface of specimen from critical sliding conditions. Kiran et al. [25] studied the dry sliding wear behavior of zinc based alloy and composites and the effect of parameters on wear which were investigated using Taguchi technique. Applied load was the most significant parameter to influence the wear volume loss. Dharmalingam et al. [26] investigated the wear parameters by Taguchi method coupled with gray relation analysis to find the performance characteristics. The order of significance on wear rate was wt. % of molybdenum-disulfide followed by sliding velocity and applied load. Sahin [27] optimized the wear behavior of  $\text{SiC}_p$  reinforced MMCs with two different particles size by Taguchi method. The composite with smaller particle size exhibits severe wear due to easy removal of particles from the matrix. While the larger particles fractured and formed a MML on the surface revealing superior wear resistance. Ravindran et al. [28-30] investigated the effect of applied load, sliding speed and sliding distance on the friction and wear behavior of hybrid composites by Taguchi method. The wear rate increased with an increase in sliding distance and applied load but decreased with increase of Gr content. The most common

method of manufacturing process of MMCs are stir cast technique [31-32].

In view of the above literature review, limited works have been carried out to study the effect of ageing on the dry sliding wear behaviour of the composites. The main objective of the investigation was to study the effect of sliding distance, sliding speed and applied load on wear behaviour of base alloy and hybrid composites using Taguchi technique. Taguchi technique is a powerful tool for the design of high quality systems. The Taguchi approach to experimentation provides an orderly way to collect, analyze and interpret data to satisfy the objectives. By using this method, in the Design of Experiments (DOE), one can obtain the maximum amount of information for the amount of experimentation conducted. Taguchi parameter design can optimize the performance characteristics through the setting of design parameters and reduce the sensitivity of the system performance to the source of variation. This is accomplished by the efficient use of experimental runs to the combinations of variables studied. Taguchi technique creates a standard orthogonal array to accommodate the effect of several factors on the target value and defines the plan of experiments. The experimental results are analyzed using analysis

of means and variance to study the influence of factors on wear [29]. In addition, the scanning electron microscope (SEM) and electron dispersive spectroscopy (EDS) of worn out surfaces were analysed to know the wear mechanisms.

## 2. EXPERIMENTAL PROCEDURE

### 2. 1. Material Fabrication

Fabrication of the base alloy (A356) and composites (A356-9SiC<sub>p</sub>-3Gr) was carried out using stir-cast technique. A356 was melted in graphite crucible in an automatic temperature controlled furnace. During the fabrication, 1 wt. % of magnesium (Mg) was added to the liquid metal in order to achieve good bonding between the matrix and reinforcement. The reinforcements were pre-heated to oxidize the surface. The pre-heated reinforcements were added to the stirred liquid metal and the processing temperature was maintained at 750°C with stirring speed of 500 rpm. The molten metal was poured into the mould box which was pre-heated to avoid the defects like pores and cavities in the specimen. T6-heat treatment was carried-out for the wear specimens at 540°C for 12 hours and is quenched in 60°C water. The heat treated

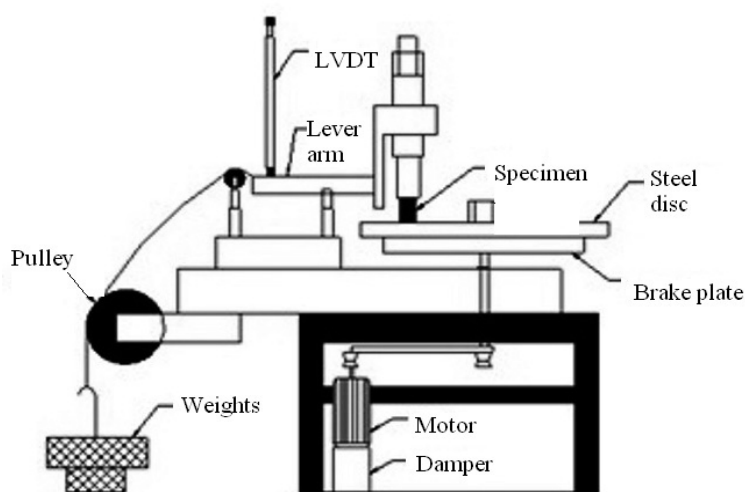


Fig. 1. Pin on disc wear testing machine

specimens were aged at 155°C for 9 hours and is quenched in 60°C water.

## 2. 2. Experimental Procedure

The microstructure and hardness of the specimen was evaluated using Vicker hardness test. The wear testing was carried out on DUCOM pin-on-disc machine to evaluate the dry sliding wear behavior of the composites as shown in Figure 1. The tests were performed as per ASTM G99-95 standard. The cast specimen were turned and polished metallographically for a size of 8 mm diameter and 30 mm height.

The specimen was cleaned with acetone and initial mass was measured using electronic weighing machine with least count of 0.0001g. The specimen was pressed against the rotating EN-32 steel disc with hardness of 65 HRC. The tests were carried out by varying applied load, sliding speed and sliding distance. At the end of each test, the final mass of the specimen was measured. The mass loss was calculated by the difference between the initial and final mass of the specimen. The volume loss was calculated using corresponding density values of the specimen. The wear rate of the specimen was calculated using the ratio of volume loss to corresponding sliding distance. The subsurface of worn out surface was analyzed using SEM. The wear test specimen was cut along its diameter, perpendicular to the specimen surface. The cut surface was polished metallographically to observe the surface behavior (JEOL, JSM-6360).

## 2. 3. Design of experiment

In the present work,  $L_{27}$  orthogonal array (OA) was selected that has 27 rows and 13 columns as shown in Table 1. The experiment consists of 27 tests (each row in the  $L_{27}$  OA) and columns are assigned to the parameters. The first column is assigned for applied load (L), second column for sliding speed (S), fifth column for sliding distance (D) and the remaining columns were assigned to the interactions. The experiments were conducted on three parameters at three levels by Taguchi technique. The design parameters with levels are listed in Table 3.7. The

desired performance response was calculated by analysis of variance (ANOVA). The main effect plot, interaction plots and regression model were generated. The wear response was conducted with the objective of smaller-the-best.

## 3. RESULTS

The objective of the present investigation is to evaluate the wear rate of A356 alloy and A356-9SiC<sub>p</sub>-3Gr composite by Taguchi method. Both the specimen were heat treated and aged at 9 hours. The parameters applied load, sliding speed, sliding distance and interaction of all variables were studied.

### 3. 1. Microstructure and Hardness

The particle reinforced composite plays a significant role in the overall strength of the composite. The increase in strength of the matrix invariably enhances the mechanical properties of the composite. Due to this reason the age hardenable base alloy was considered. The heat treatment of base alloy resulted in the removal of dendritic structure and formation of uniform equiaxed grainstructure. The precipitation along the grain boundaries and within the grains are noted to be much finer. However further ageing was carried out for 9 hrs at 155°C which leads to dissolution of eutectic phase and formation of uniform distribution of fine intermetallic precipitates in the matrix (Fig. 2a). As a result, reinforcements are uniformly distributed within the matrix (Fig. 2b) and produces a maximum hardness (Table 3).

Further increase in the strength of the base alloy by addition of reinforcements was observed. In composites, reinforcements (SiC<sub>p</sub> and Gr) leads to mismatch in thermal stress in the surrounding matrix resulting in increase in dislocation density which increases the precipitation characteristics. The strength was increased due to age hardening in order to develop the acceptable properties. Increase in hardness of the composite was noticeable when compared to the base alloy, because of addition of 9 wt. % of SiC<sub>p</sub> to the A356-3Gr composites.

The ageing was a phenomenon of precipitation, which is dictated by the combined

**Table 1.** L<sub>27</sub> Orthogonal array of Taguchi technique

| L <sub>27</sub> , test | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|
| 1                      | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1  | 1  | 1  | 1  |
| 2                      | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | 2  | 2  | 2  | 2  |
| 3                      | 1 | 1 | 1 | 1 | 3 | 3 | 3 | 3 | 3 | 3  | 3  | 3  | 3  |
| 4                      | 1 | 2 | 2 | 2 | 1 | 1 | 1 | 2 | 2 | 2  | 3  | 3  | 3  |
| 5                      | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 3 | 3 | 3  | 1  | 1  | 1  |
| 6                      | 1 | 2 | 2 | 2 | 3 | 3 | 3 | 1 | 1 | 1  | 2  | 2  | 2  |
| 7                      | 1 | 3 | 3 | 3 | 1 | 1 | 1 | 3 | 3 | 3  | 2  | 2  | 2  |
| 8                      | 1 | 3 | 3 | 3 | 2 | 2 | 2 | 1 | 1 | 1  | 3  | 3  | 3  |
| 9                      | 1 | 3 | 3 | 3 | 3 | 3 | 3 | 2 | 2 | 2  | 1  | 1  | 1  |
| 10                     | 2 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3  | 1  | 2  | 3  |
| 11                     | 2 | 1 | 2 | 3 | 2 | 3 | 1 | 2 | 3 | 1  | 2  | 3  | 1  |
| 12                     | 2 | 1 | 2 | 3 | 3 | 1 | 2 | 3 | 1 | 2  | 3  | 1  | 2  |
| 13                     | 2 | 2 | 3 | 1 | 1 | 2 | 3 | 2 | 3 | 1  | 3  | 1  | 2  |
| 14                     | 2 | 2 | 3 | 1 | 2 | 3 | 1 | 3 | 1 | 2  | 1  | 2  | 3  |
| 15                     | 2 | 2 | 3 | 1 | 3 | 1 | 2 | 1 | 2 | 3  | 2  | 3  | 1  |
| 16                     | 2 | 3 | 1 | 2 | 1 | 2 | 3 | 3 | 2 | 1  | 2  | 3  | 1  |
| 17                     | 2 | 3 | 1 | 2 | 2 | 3 | 1 | 1 | 2 | 3  | 3  | 1  | 2  |
| 18                     | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 2 | 3 | 1  | 1  | 2  | 3  |
| 19                     | 3 | 1 | 3 | 2 | 1 | 3 | 2 | 1 | 3 | 2  | 1  | 3  | 2  |
| 20                     | 3 | 1 | 3 | 2 | 2 | 1 | 3 | 2 | 1 | 3  | 2  | 1  | 3  |
| 21                     | 3 | 1 | 3 | 2 | 3 | 2 | 1 | 3 | 2 | 1  | 3  | 2  | 1  |
| 22                     | 3 | 2 | 1 | 3 | 1 | 3 | 2 | 2 | 1 | 3  | 3  | 2  | 1  |
| 23                     | 3 | 2 | 1 | 3 | 2 | 1 | 3 | 3 | 2 | 1  | 1  | 3  | 2  |
| 24                     | 3 | 2 | 1 | 3 | 3 | 2 | 1 | 1 | 3 | 2  | 2  | 1  | 3  |
| 25                     | 3 | 3 | 2 | 1 | 1 | 3 | 2 | 3 | 2 | 1  | 2  | 1  | 3  |
| 26                     | 3 | 3 | 2 | 1 | 2 | 1 | 3 | 1 | 3 | 2  | 3  | 2  | 1  |
| 27                     | 3 | 3 | 2 | 1 | 3 | 2 | 1 | 2 | 1 | 3  | 1  | 3  | 2  |

effect of time and temperature. The precipitation kinetics are strongly influenced by the durations. The similar phenomenon is observed by earlier researcher [37].

### 3. 2. Statistical Analysis of ANOVA

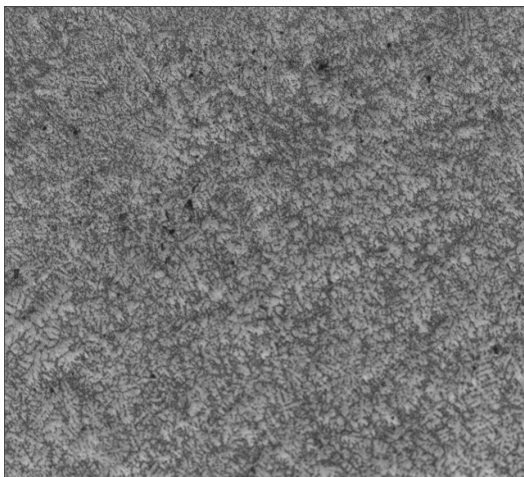
L<sub>27</sub> array was selected and it has 27 rows as shown in Table 4. The wear parameters taken for the experiments are (i) applied load (ii) sliding

**Table 2.** Design parameter with levels

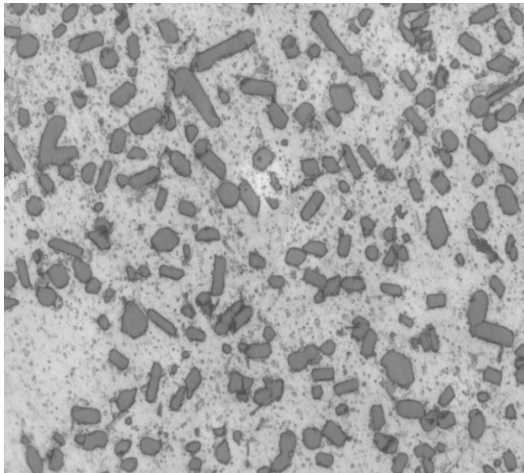
| Level | Load<br>L (N) | Sliding velocity<br>S (m/s) | Sliding Distance<br>D (m) |
|-------|---------------|-----------------------------|---------------------------|
| 1     | 10            | 200                         | 1500                      |
| 2     | 30            | 500                         | 3000                      |
| 3     | 50            | 800                         | 4500                      |

**Table 3.** Hardness of the specimens

| Specimen | Composition                 | Hardness<br>(VHN) |
|----------|-----------------------------|-------------------|
| 1        | A356                        | 94                |
| 2        | A356-9SiC <sub>p</sub> -3Gr | 144               |



2. (a)



2. (b)

**Fig. 2.** Microstructure of (a). A356 alloy (b). A356-9SiC<sub>p</sub>-3Gr

speed and (iii) sliding distance. The experiment consists of 27 tests and columns are assigned with the parameters. The first column is for applied load (L), second column is for sliding speed (S), fifth column was assigned to sliding distance (D) and remaining columns were assigned to the interactions. The results of ANOVA for wear rate of base alloy and composites are tabulated in Table 5 and 6 respectively.

F-ratio is calculated by ANOVA, also called as ratio between regression mean square and mean error term. This ratio is important to find the significance of the parameters under the investigation with consideration of all variance and error term at desired significant level. Increased value of F accordingly increases the significance of the parameter shows the contribution of individual parameters such as D, S and L and also interaction of parameters such as S\*D, S\*L and D\*L that influence on wear rate of the materials. From Table 5, sliding distance (P=56.81%) has more contribution to wear rate when compared with the other two parameters sliding speed (P=21.21%), applied load (17.25%) and negligible contribution of interaction D\*L (P=1.11%). The effect of interaction is less significant in case of base alloy. Table 6 shows that sliding distance (P= 53.49%) exhibits higher influence on wear rate followed by sliding speed (P=20.21%) and applied load (P=18.32%). Their was no interaction effect on the wear rate of composites. Thus, the distance has predominant effect on wear rate compared to sliding speed and applied load for both base alloy and composite.



**Table 4.** Orthogonal array of Taguchi and experimental results

| Expt. No. | Load, L (N) | Sliding speed, S (m/s) | Sliding distance, D (m) | Wear Rate ( $\text{mm}^3/\text{m}^2 \cdot 10^{-3}$ ), A356 | Wear Rate ( $\text{mm}^3/\text{m}^2 \cdot 10^{-3}$ ), A356-9SiC <sub>p</sub> -3Gr |
|-----------|-------------|------------------------|-------------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------|
| 1         | 10          | 1.25                   | 1500                    | 11.5                                                       | 1.8                                                                               |
| 2         | 10          | 1.25                   | 3000                    | 13.4                                                       | 3.7                                                                               |
| 3         | 10          | 1.25                   | 4500                    | 16.8                                                       | 5.4                                                                               |
| 4         | 30          | 1.25                   | 1500                    | 12.7                                                       | 2.1                                                                               |
| 5         | 30          | 1.25                   | 3000                    | 14.4                                                       | 4.5                                                                               |
| 6         | 30          | 1.25                   | 4500                    | 18.1                                                       | 6.3                                                                               |
| 7         | 50          | 1.25                   | 1500                    | 13.2                                                       | 3.6                                                                               |
| 8         | 50          | 1.25                   | 3000                    | 16.8                                                       | 5.4                                                                               |
| 9         | 50          | 1.25                   | 4500                    | 20.6                                                       | 7.4                                                                               |
| 10        | 10          | 3.14                   | 1500                    | 13.4                                                       | 2.3                                                                               |
| 11        | 10          | 3.14                   | 3000                    | 15.8                                                       | 5.61                                                                              |
| 12        | 10          | 3.14                   | 4500                    | 17.9                                                       | 7.1                                                                               |
| 13        | 30          | 3.14                   | 1500                    | 13.9                                                       | 2.8                                                                               |
| 14        | 30          | 3.14                   | 3000                    | 16.9                                                       | 5.9                                                                               |
| 15        | 30          | 3.14                   | 4500                    | 19.9                                                       | 7.6                                                                               |
| 16        | 50          | 3.14                   | 1500                    | 14.8                                                       | 4.7                                                                               |
| 17        | 50          | 3.14                   | 3000                    | 19.9                                                       | 9.1                                                                               |
| 18        | 50          | 3.14                   | 4500                    | 21.7                                                       | 10.1                                                                              |
| 19        | 10          | 5.02                   | 1500                    | 14.8                                                       | 3.8                                                                               |
| 20        | 10          | 5.02                   | 3000                    | 16.2                                                       | 6.1                                                                               |
| 21        | 10          | 5.02                   | 4500                    | 20.2                                                       | 8.7                                                                               |
| 22        | 30          | 5.02                   | 1500                    | 15.8                                                       | 3.9                                                                               |
| 23        | 30          | 5.02                   | 3000                    | 18.5                                                       | 6.8                                                                               |
| 24        | 30          | 5.02                   | 4500                    | 22.4                                                       | 9.3                                                                               |
| 25        | 50          | 5.02                   | 1500                    | 17.2                                                       | 6.1                                                                               |
| 26        | 50          | 5.02                   | 3000                    | 21.5                                                       | 10.7                                                                              |
| 27        | 50          | 5.02                   | 4500                    | 24.8                                                       | 11.6                                                                              |

**Table 5.** ANOVA of A356 alloy of wear rate

| Factors      | SS      | DOF | Mean Sq. | T.F.   | F       | %P    |
|--------------|---------|-----|----------|--------|---------|-------|
| L            | 52.20   | 2   | 26.10    | 39.60  | 317.08  | 17.25 |
| D            | 168.82  | 2   | 84.41    | 128.09 | 1025.47 | 56.81 |
| S            | 63.85   | 2   | 31.92    | 48.44  | 387.84  | 21.21 |
| D*L          | 5.92    | 4   | 1.48     | 2.24   | 17.99   | 1.11  |
| S*L          | 1.17    | 4   | 0.29     | 0.44   | 3.55    | -     |
| S*D          | 2.18    | 4   | 0.54     | 0.82   | 6.63    | -     |
| Pooled Error | 0.65    | 8   | 0.65     |        |         | 3.59  |
| Total        | 294.808 |     | 144.7556 |        |         | 100   |

S=0.534928, R-Sq=98.1% and R-Sq (adj) =97.5%

**Table 6.** ANOVA of A356-9SiC<sub>p</sub>-3Gr-9hrs of wear rate

| Factors      | SS      | DOF | Mean Sq. | T.F.  | F      | %P    |
|--------------|---------|-----|----------|-------|--------|-------|
| L            | 36.57   | 2   | 18.28    | 15.23 | 121.88 | 18.32 |
| D            | 102.12  | 2   | 51.06    | 42.55 | 340.34 | 53.49 |
| S            | 40.09   | 2   | 20.04    | 16.70 | 133.62 | 20.21 |
| D*L          | 1.06    | 4   | 0.26     | 0.22  | 1.77   | -     |
| S*L          | 2.70    | 4   | 0.67     | 0.56  | 4.51   | -     |
| S*D          | 2.66    | 4   | 0.66     | 0.55  | 4.44   | -     |
| Pooled Error | 1.20    | 8   | 1.20     |       |        | 7.95  |
| Total        | 186.425 |     | 91.0036  |       |        | 100   |

S=0.740448, R-Sq=94.1% and R-Sq adj) =92.4%

The measure of degree of fit is based on the coefficient of determination ( $R^2$ ). When  $R^2$  approaches to unity, good response model results and it fits the actual data. The value of  $R$ -Sq (adj)=97.5% for base alloy and  $R$ -Sq (adj)=92.4% for composites are close to unity and hence acceptable.

### 3. 3. Effects of Variables on the Wear Rate

Fig. 3 (a and b) show the main effects plot for

different parameters on wear rate of both base alloy and composite material. As observed from the plot, if the parameter line is nearer to mean line, that parameter tends to have less significant effect on wear rate. The parameter line having steep inclination from the mean line is having the highest significant effect on wear rate. It is observed from Fig. 3 (a and b) that, the parameter line of sliding distance (D) is steeper than other two parameters, namely sliding speed (S) and applied load (L). Hence, the influence of sliding

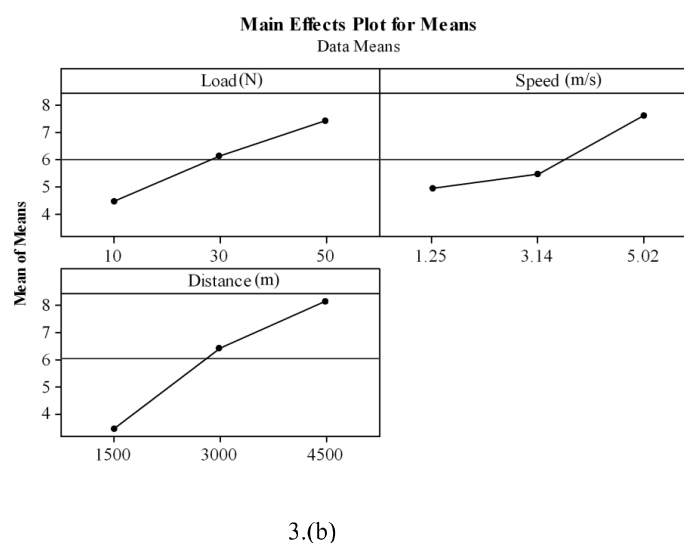
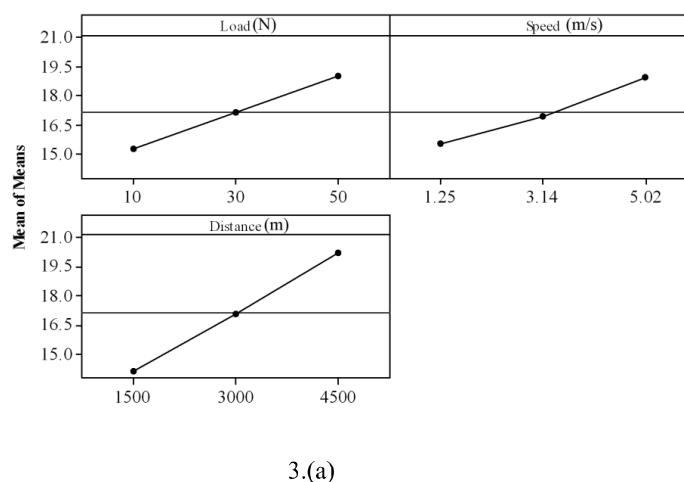


Fig. 3. Main effects plot of different parameters on wear rate of (a) base alloy and (b) composite

distance on wear rate is more than the sliding speed and applied load for both the materials. The increase in sliding distance increases the wear rate of the specimen.

The wear rate was more for the base alloy when compared with composite. It indicates that more material was removed from the specimen in the absence of the reinforcement. It is observed from Fig. 3a, that the influence of sliding speed and applied load on wear rate was more in case of base alloy than the composite (Fig. 3b). When sliding speed and applied load were increased in the case of base alloy, it increased the contact surface between the disc and specimen, which in turn increased the friction between them. This resulted in removal of more material from the specimen.

In composite material similar mechanism was observed, but the contact surface between the disc and specimen was reduced as the reinforcements were projected from the specimen surface resulting in less friction.  $\text{SiC}_p$  acts as a load bearing member which reduces the wear rate of the composite at higher applied load. At higher sliding speed and applied load, graphite acts as a solid lubricant film on tribo-surface which reduces the coefficient of friction between metals. Similar mechanism was observed from earlier researchers [22-25].

### 3. 4. Interaction Factors on Wear Rate

The interaction plots of variables of the base alloy and composite are shown in Fig 4 (a and b). From Fig. 4a, it is observed that sliding distance has more significant effect on both base alloy and composites followed by sliding speed and applied load.

The sliding distance was the most significant factor influencing the wear rate of the base alloy and composites because, the parameter sliding distance had highest inclination, while the sliding speed and applied load had lowest influence. As observed from Fig. 4b, initially the wear rate decreases and further increasing the applied load and sliding speed which increases the wear rate.

### 3. 5. Multiple Linear Regression Equation

The multiple linear regression equation was developed using Minitab-16. The linear equations for base alloy and composite material were generated based on individual variable impacts and interaction between the variables. The equations 4.1 and 4.2 represent the regression equations for base alloy and composite material respectively. The positive value of the co-efficient suggests that the dry

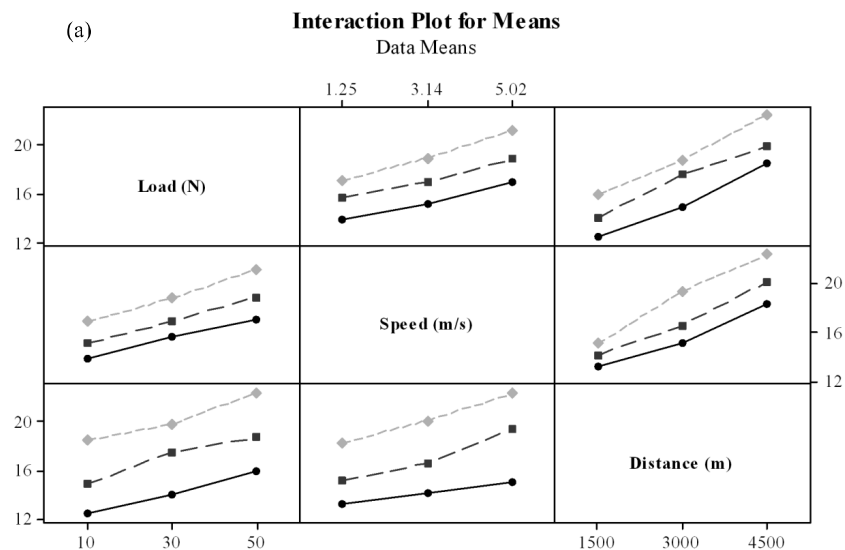


Fig. 4 (a). Interaction factors of wear rate for base alloy

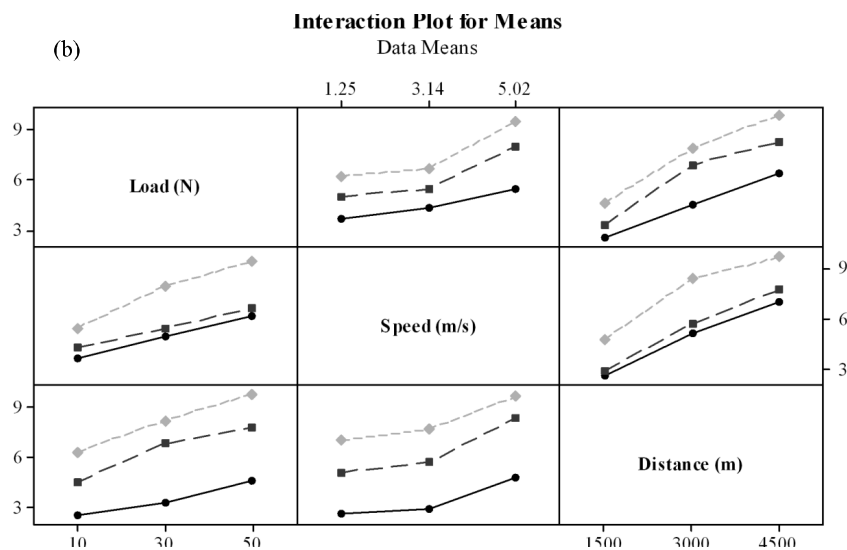


Fig. 4 (b). Interaction factors of wear rate for composite

sliding wear rate increases with increase in their associated variables, where as the negative values of the co-efficient suggest that the wear rate decreases with the increase in associated variables.

$$\text{Wear (Base Alloy)} = 8.15 + 0.641S + 5.3 \times 10^{-3}L + 1.34 \times 10^{-3}D + 7.51 \times 10^{-3}S \cdot L + 4.4 \times 10^{-5}S \cdot D + 1.9 \times 10^{-5}L \cdot D \quad (4.1)$$

$$\text{Wear (composite)} = -0.78 + 0.133S + 2.57 \times 10^{-2}L + 1.07 \times 10^{-3}D + 9.51 \times 10^{-3}S \cdot L + 1.24 \times 10^{-4}S \cdot D + 4 \times 10^{-6}L \cdot D \quad (4.2)$$

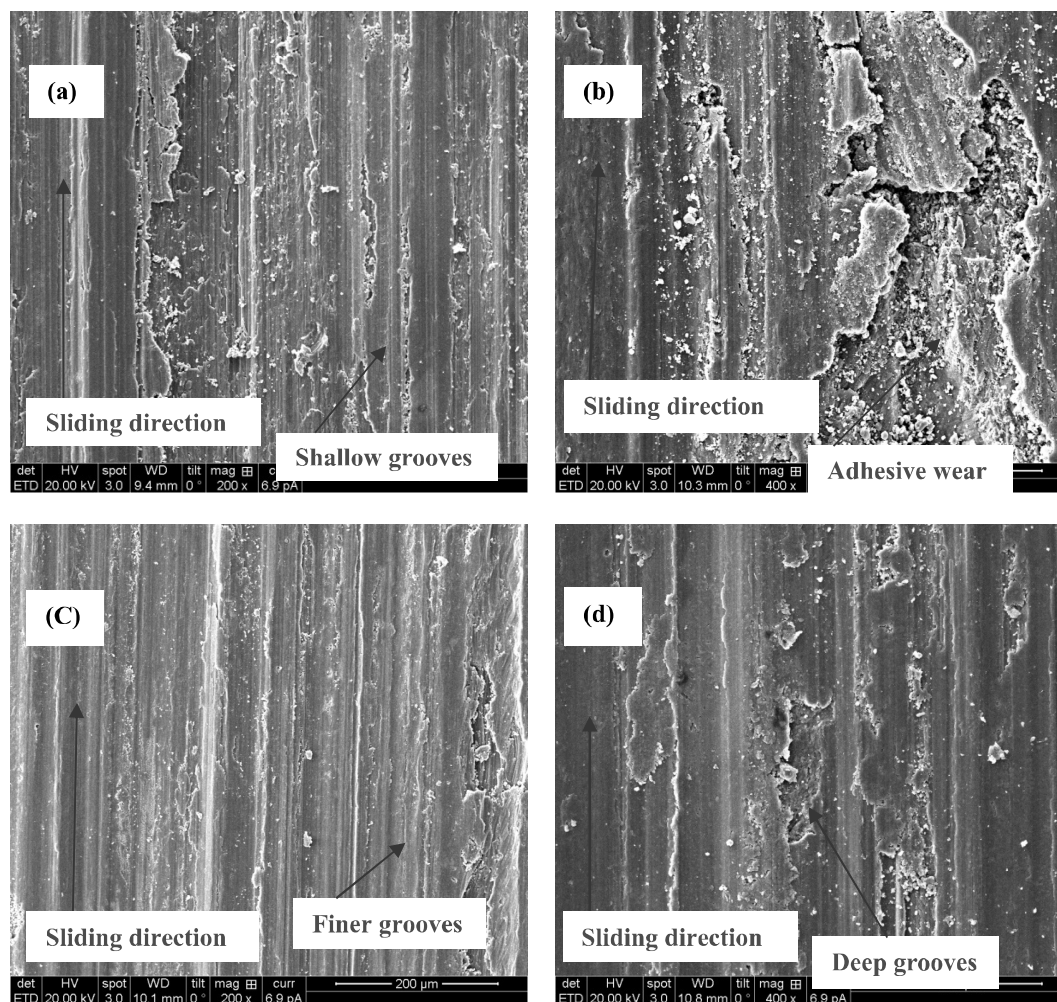
All the interactions have positive effect on wear rate. The maximum interaction variable is obtained for applied load. From equations 5.1 and 5.2, the sliding distance impact on base alloy was 0.00134 and for composites it was 0.00107. The magnitude of wear rate of base alloy was more compared to the composite. The parameter associated with sliding speed and applied load and interaction between the parameters is positive. This suggests that, increase in these variables increases the wear rate of the materials for both base alloy and composites.

## 4. DISCUSSION

### 4. 1. Wear Mechanism

Fig. 5 shows SEM micrographs of worn out surfaces of base alloy and composite. Fig. 5(a) shows shallow grooves at lower applied load of 10 N and deep grooves (Fig. 5b) were formed as applied load was increased to 50 N, since it causes undergoes plastic deformation leading to severe wear. In base alloy, increasing of sliding speed increases the interfacial temperature between specimen and disc resulting in increase in the oxidation of the base alloy. During sliding, larger shear strain is induced on the surface of the specimen. This shear strain tends to induce the dislocations to glide along slip planes on the surface. This creates a fragmented eutectic phase on the surface and forms hardened surface layer on the specimen. This hardened layer contains a fine mixture of iron and fragmented eutectic phase. The iron particles which originated from the steel disc during wear test (Fig. 5a) were observed. The adhesive nature of wear was observed followed by excessive removal of material from the specimen surface further resulting in delamination (Fig. 5b). The adhesive wear was confirmed by plate like wear debris structure with sharp edges (Fig. 6a).

Fig. 5c shows the surface of composites with



**Fig. 5.** SEM of worn out surfaces of base alloy (a). 10 N (b). 50 N and composite (c). 10 N (d). 50 N.

finer grooves and appears to be smooth when compared to the base alloy (Fig. 5a) due to the presence of reinforcements. At lower applied load of 10 N, wear rate of composites was lower when compared with the base alloy. As the applied load was increased to 50 N, the wear rate increased and formed deep grooves on the specimen surface of composite specimen (Fig. 5d). As the applied load was increased for base alloy, the layer formed on the contact surface of specimen was removed due to higher pressure resulting in more wear rate.

While in composites, the quantity of wear rate was low as the hardness of the composites was more than base alloy due to the addition of reinforcements that improves the tribological property of the composites (Table 2). In

composites, the presence of  $\text{SiC}_p$  provides abrasion resistance and smearing of graphite on surface of specimen forms a tribolayer due to self lubricating property.

At an applied load of 10 N the asperities that are not able to resist the shear force deform and fill the valley and part of the asperities fracture. In addition, the projected  $\text{SiC}_p$  along with graphite detaches itself from the surface of the specimen. As the sliding distance increases, the fractured  $\text{SiC}_p$  lies between the specimen and steel disc that acts as an abrasive medium. They plough the surface of the specimen causing wear by the removal of small fragments of specimen. Hence, wear rate increases linearly during the initial stage of sliding distance. As sliding distance increases further,  $\text{SiC}_p$  which are rigidly

held on to the specimen are subjected to less wear. The ratio of contact area of the base alloy to that of  $\text{SiC}_p$  with the counter face decreases. Hence,  $\text{SiC}_p$  takes more load and resists wear for longer time in comparison to the base alloy. At higher sliding distance, wear rate decreases. This result agrees well with the earlier researchers [33-35].

For the composites, at initial sliding speed (1.25 and 3.14 m/s) the wear rate decreases, but at higher sliding speed (5.02 m/s) the wear rate increases. When  $\text{SiC}_p$  in the composite specimen wear the steel disc, iron particles from the steel disc forms iron oxides ( $\text{Fe}_2\text{O}_3$ ). Due the higher hardness of steel disc compared to the base alloy, the powdered and crushed  $\text{SiC}_p$  penetrates into the matrix of the composite specimen. However, the  $\text{SiC}_p$  present inside the matrix will resist the penetration of crushed  $\text{SiC}_p$  into the matrix [13, 18-25]. disc, oxides of aluminium from the specimen and graphite are mixed forming MML [36-37]. SEM (Fig. 6) shows the wear debris of worn out surfaces of the base alloy and composites.

The smeared  $\text{SiC}_p$  are crushed at the interface and powders of  $\text{SiC}_p$  are formed. At places where graphite particles are present, the  $\text{SiC}_p$  penetrates into the matrix easily due to lower hardness that result in squeezing of graphite particles from the matrix. The smeared graphite particles reduce the friction and heat generation. Fig. 5d shows the smearing of graphite at an applied load of 50 N. The powdered  $\text{SiC}_p$  along with ferrous oxides from the steel.

The wear debris of base alloy (Fig. 6a) are larger and in the form of thin sheets. This indicates that the base alloy has undergone significant plastic deformation. The wear debris in composites (Fig. 6b) is finer than the base alloy (Fig. 6a). The presence of smaller particles indicates that severe wear was not observed exhibiting mild wear in composite specimen. This is due to the presence of reinforcements that scatter in the matrix material minimizing the size of the wear debris. At longer sliding distance, a stable tribolayer on composite reduces the wear rate. The unstable tribolayer results in metal-to-metal contact that leads to increase in the wear rate of composites.

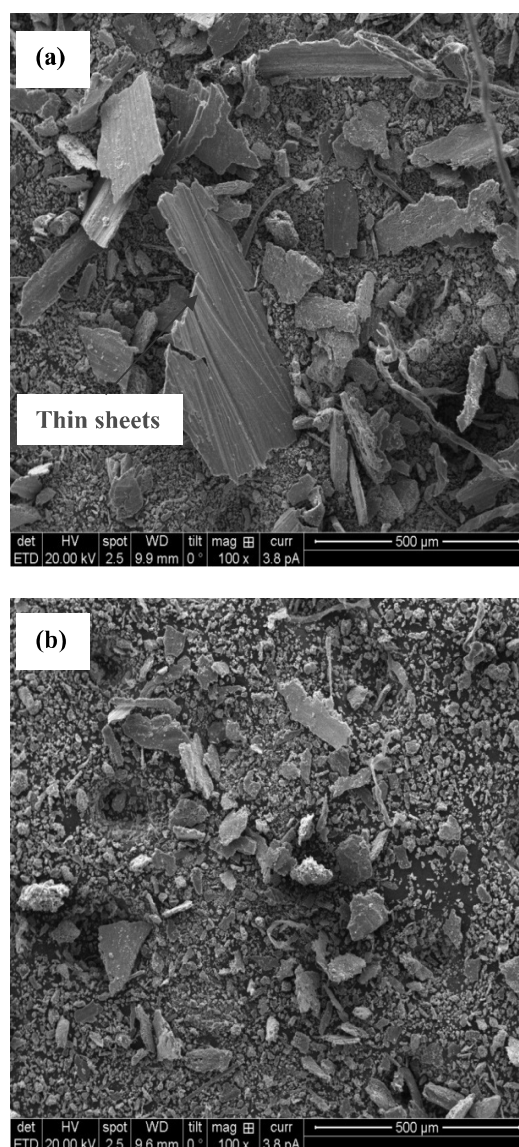


Fig. 6. SEM of wear debris (a). base alloy and (b). composite

EDS analysis of the worn out surface of base alloy and composites is shown in Fig. 7. The EDS analysis of the worn out surfaces of base alloy (Fig. 7a) shows low intensity of Si peak and high intensity of Al peak. The EDS of composites is shown in Fig. 7b. The 'C' peak is observed in Fig. 7b indicating the presence of graphite (solid lubricant). The moderate intensity of the 'Si' peak indicates that fractured  $\text{SiC}_p$  were noticed on specimen surface. However, a noticeable Fe peak

is also observed (Fig. 7b) as the steel counterface material is abraded by the  $\text{SiC}_p$ . At higher loads, the hard  $\text{SiC}_p$  fractures and get trapped between steel counter face and the specimen.

The important factor affecting the dry sliding wear is the sliding speed and the associated coefficient is negative in the regression equation (4.1 and 4.2). This suggests that the dry sliding wear rate decreases with increasing speed within the range of parameters studied. This has been attributed to the formation of MML and thus lowering the wear rate [33-37].

The fractured small particle of  $\text{SiC}_p$  between the specimen and the rotating disc forms third body abrasion. As the load increases, more  $\text{SiC}_p$  gets fractured. The brittle fracture of  $\text{SiC}_p$  in an irregular fashion leads to formation of new edges. The new edged particles will plough the material on the specimen. In case of  $\text{SiC}_p$ -Gr composite, the smeared graphite between the specimen and the rotating disc along with  $\text{SiC}_p$  serves to reduce the wear rate.

The iron particles abraded from the disc surface penetrate into the specimen. At higher sliding speed, the fractured particles squeeze out from the surface of composites forming a MML (Fig. 5d). These mechanisms are not present in the base alloy (Fig. 5 a and b). Similar mechanisms were observed by earlier researchers [3, 13, 22, 28 and 33].

#### 4. 2. Sub-Surface Analysis of Worn-Out Surface

A comparison of the sequence of wear mechanism of the base alloy is shown as line diagram in Fig. 8 and SEM of the tested specimen (Fig. 9) is taken as evidence of the process. For the base alloy a large shear strain is induced in the subsurface region during sliding wear process. The magnitude of the surface strain increases with increasing sliding distance, sliding speed and applied load. Increasing of shear strain is closer to the surface; this shear strain induces the dislocation along the slip planes in subsurface region. This region is called as deformation zone as shown in Fig. 8a and it can be observed from the SEM (Fig. 9a). Consequently, eutectic region in the subsurface is fragmented and redistributed near the surface region. The formation of fragmented eutectic phase in the surface region

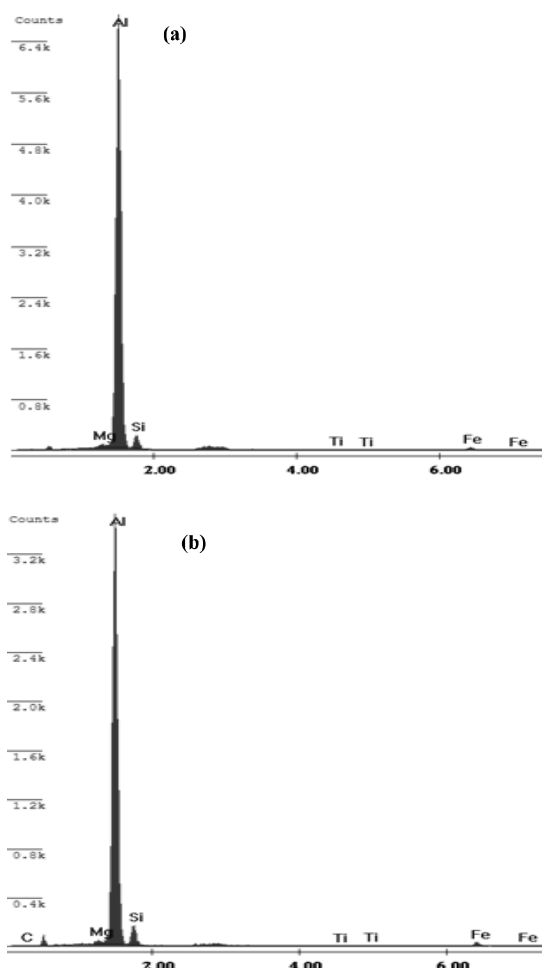


Fig. 7. EDS analysis of worn surfaces (a). base alloy and (b). Composite

leads to formation of hardened surface layer (Fig. 8b).

The thickness of layer depends on the varying parameters. The hardened layer contains a mixture of iron (Fe) and fragmented eutectic phase. The Fe originating from the steel disc is due to the transfer of metal across the steel disc during dry sliding wear process. That is evident from the SEM subsurface as shown in Fig. 9b. The Fe debris mixes mechanically with Al-Si base alloy during sliding.

Repeated sliding interface between steel disc and specimen results in formation of cracks and leads to delamination from the specimen surface (Fig. 8c) and is evident from the SEM subsurface



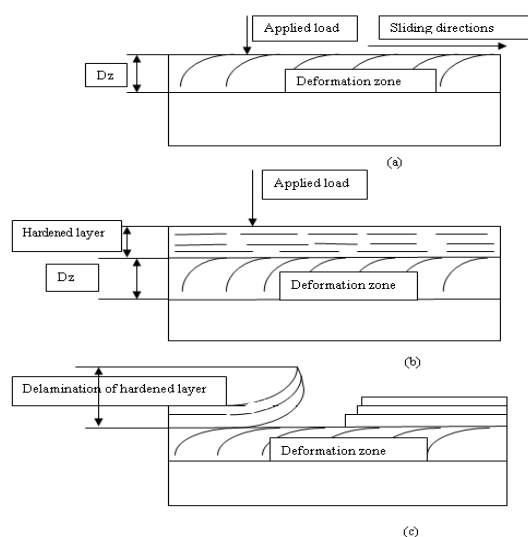
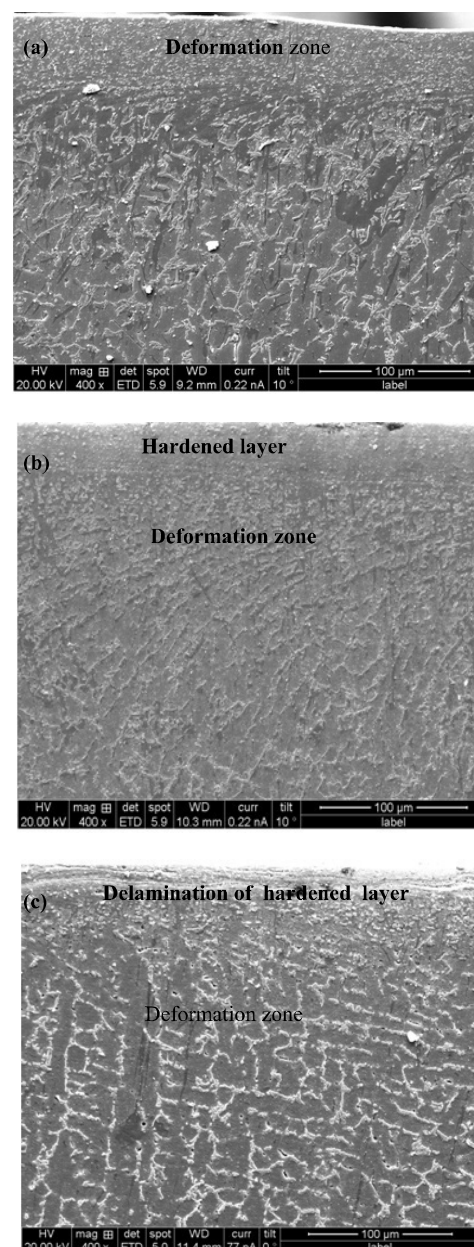


Figure 8. Wear mechanism of base alloy (a). formation of deformation zone (b). formation of hardened layer (c). delamination of hardened layer

**Fig. 8.** Wear mechanism of base alloy (a). formation of deformation zone (b). formation of hardened layer (c). delamination of hardened layer

(Fig. 9c). Decreased hardened layer at the top surface forms a delamination hardened layer in the vicinity of the top surface. Apart from this, the cracks are generated and propagate parallel to the surface of the specimen and nucleates at one point which results in removal of material as thin sheet like structure. From the SEM (Fig. 10), the material removed from the specimen adhered at the exit section leading to increase in the wear rate of the base alloy.

The wear mechanism of aged composite is shown in line diagram (Fig. 11) and is evident from the SEM (Fig. 12). In composites, the reinforcement acts as a barrier to the dislocation motion. The dislocation tends to pile up around the reinforcements. The pile-up of dislocations causes the fragmentation of  $\text{SiC}_p$  owing to the brittle nature of the  $\text{SiC}_p$ . The fractured  $\text{SiC}_p$  relieves the shear strain in the deformed zone. The smeared Gr on the sub-surface region forms a solid lubricant film thereby resisting plastic



**Fig. 9.** SEM showing subsurface features in base alloy (a). formation of deformation zone (b). formation of hardened layer (c). delamination of hardened layer

deformation in the subsurface region. The fragmented  $\text{SiC}_p$  and Gr are redistributed in the surface of the hardened layer. This mechanism is shown in Fig. 11. The depth of the hardened layer of the composites is relatively less in comparison with that of the base alloy.

Fig. 11 shows the subsurface of A356-9 $\text{SiC}_p$ -

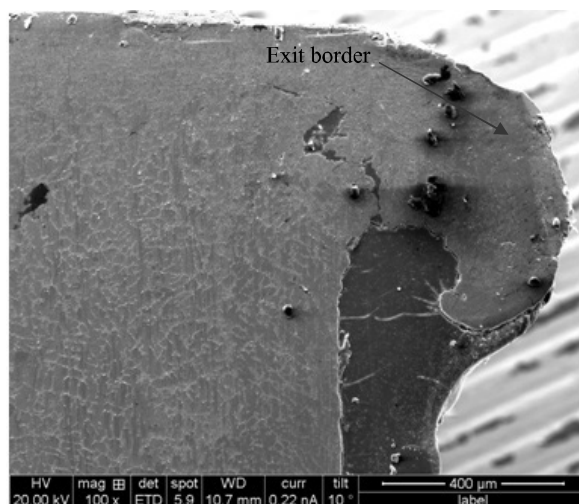


Fig. 10. Worn-out surfaces of base alloy at exit border

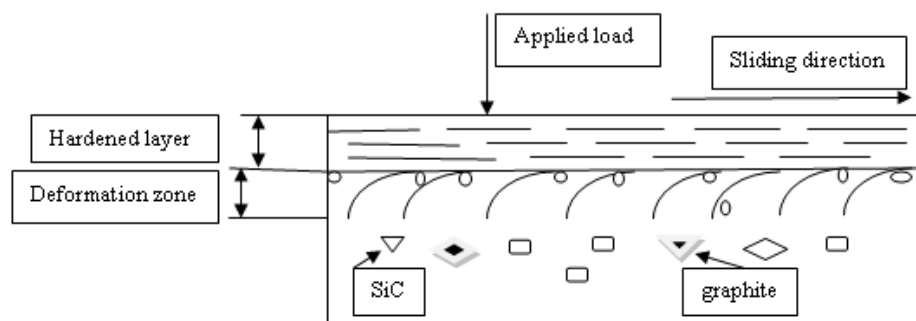


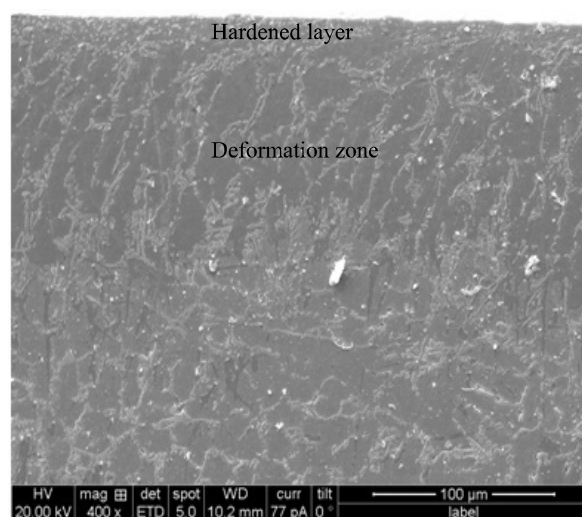
Fig. 11. Wear mechanism of composites

3Gr -9 hrs aged composites at a sliding speed 1.25 m/s where a hardened layer on the surface of the specimen is formed. Further increasing of sliding speed (5.02 m/s) increases the friction between specimen and rotating disc showing low deformation zone beneath the top surface and lower than this surface is the safe zone. The delamination was not observed on the surface of the specimen because of specimen containing reinforcement exhibiting superior hardness. It is evident from the SEM (Fig. 12 and Fig. 13). This results in resistance to flow of material from the specimen which reduces the wear rate of the composites when compared to the base alloy. For the composites, at initial sliding speed 1.25 m/s (Fig. 12) the wear rate decreases, but at higher sliding speed 5.02 m/s (Fig. 13) the wear rate

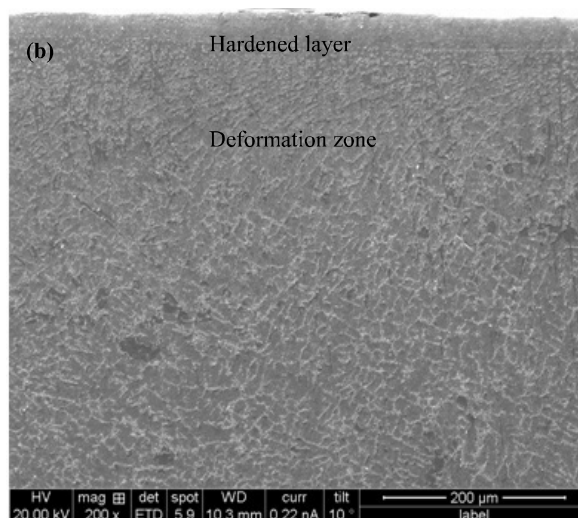
increases.

Fig. 11 shows the smearing of Gr at an applied load of 50 N. The powdered  $\text{SiC}_p$  along with ferrous oxides from the steel disc, aluminium oxides from the specimen and Gr are mixed, forming MML [13, 33-37]. It is evident from the Fig. 12 and 13. The hardness of the MML is much higher than that of the specimen and the steel disc. This work-hardened layer reduces the wear rate and a stable, thin and hard MML formed on the surface of composite increases the wear resistance of the composite. It is evident from the SEM (Fig. 14) exit section that less flow of material is seen from the specimen surface.

The wear debris of the base alloy and composites is shown in Fig.15. The wear debris as observed from Fig. 15a are larger and is in the



**Fig. 12.** SEM showing subsurface features in composites at sliding speed of 1.25 m/s



**Fig. 13.** SEM showing subsurface features in composites at sliding speed of 5.02 m/s

form of thin sheets. This indicates that the base alloy has undergone significant plastic deformation. As the applied load was increased for base alloy, the layer formed on the contact surface of specimen was removed due to higher pressure resulting in more wear rate. While in composites the quantity of wear rate was low. As the hardness of the composites is more than base alloy due to the addition of reinforcements which improves the tribological property of the composites. In composites, the presence of  $\text{SiC}_p$

provides abrasion resistance and smearing of Gr on sliding specimen surface forms a tribolayer due to self lubricating property.

The wear rate of composites increases with an increase in applied load. During initial stages of sliding, the asperities that are not able to resist the shear forces deform and fill the valley and part of the asperities fracture. In addition, the projected  $\text{SiC}_p$  along with Gr detaches itself from the surface of the specimen. As the sliding distance increases, the fractured  $\text{SiC}_p$  lies between the

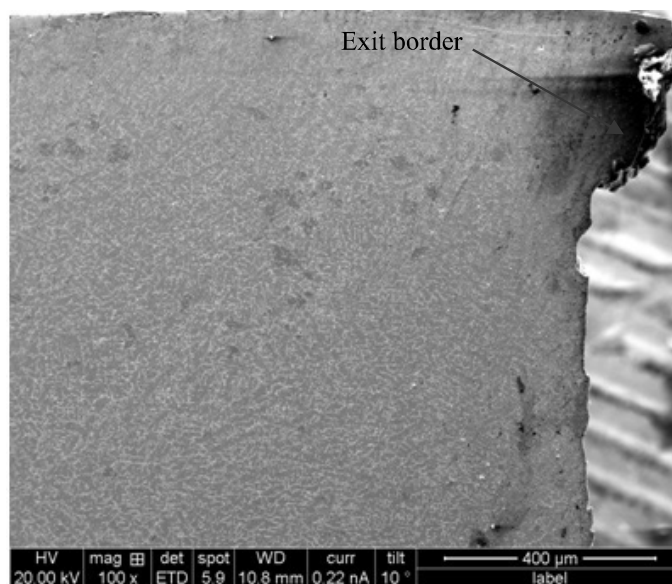


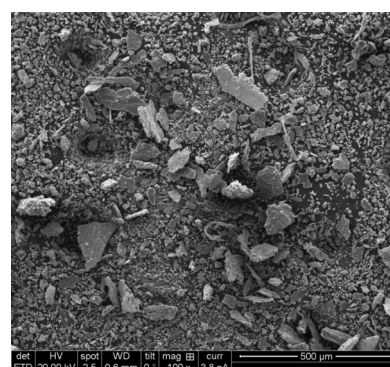
Fig. 14. Worn-out surfaces of composite at exit border

specimen and the counterface and act as an abrasive medium. They plough the surface of the specimen causing wear by the removal of small fragments of specimen material. Hence, wear rate increases linearly during the initial stage of sliding distance. As sliding distance increases further,  $\text{SiC}_p$  which were rigidly held to the specimen were subjected to less wear. The ratio of contact area of the base alloy to that of  $\text{SiC}_p$  with the counter face decreases. Hence  $\text{SiC}_p$  takes more load and resist wear for longer time in comparison to the base alloy. Hence, at higher sliding distance, wear rate decreases. These results agrees well with the general trend of wear behavior as suggested by earlier reasearchers [27-28,35].

The wear debris in composites (Fig. 16) is finer than the base alloy (Fig. 16). The presence of particles indicate that severe wear was not observed exhibiting mild wear in composite specimen. This is due to the reinforcements scattered in matrix material minimises the size of the wear particles. It reduces the wear rate of the composites when compared to the base alloy [33-34]. The wear rate increases for base alloy with an increase of sliding distance. While at longer sliding distance a stable tribolayer on composite

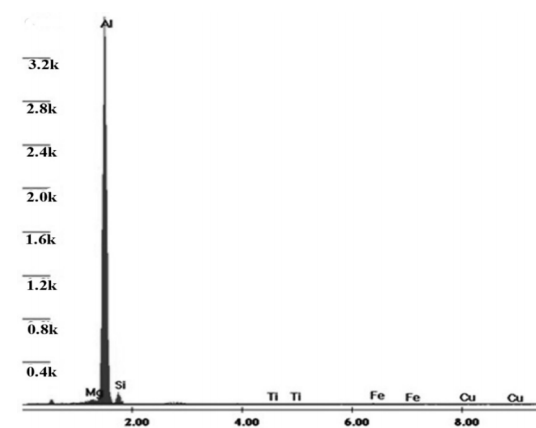


15.(a)

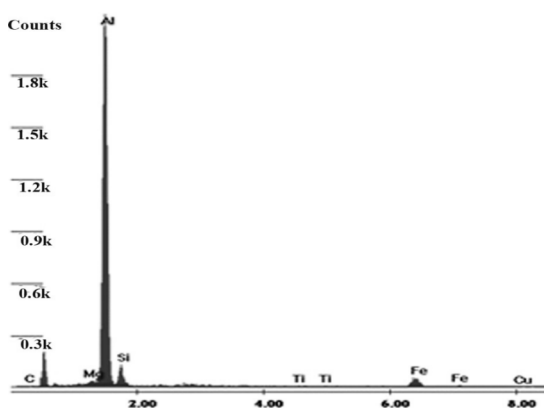


15.(b)

Fig. 15. SEM of wear debris (a) base alloy and (b) composite



(a)



(b)

**Fig. 16.** EDS analysis of worn out surfaces (a) base alloy and (b) composite

reduces the wear rate. The unstable tribolayer leads to metal-to-metal contact that leads to increase in wear rate of composites.

EDS analysis of the worn out surfaces of base alloy and composites is shown in Fig.16. The EDS analysis of the worn out surfaces of base alloy (Fig. 16a) shows a low intensity of Si peak and high intensity of Al peak. The high Al peak indicates plastic deformation of base alloy during sliding. The EDS spectrum of composites is shown in Fig. 16b. The 'C' peak is observed in Fig. 16b, that indicates the presence of Gr (solid lubricant). The moderate intensity of the 'Si' peak indicates that fractured SiC<sub>p</sub> was noticed on specimen surface. However, a noticeable Fe peaks is also observed (Fig. 16b) as the steel

counter surface material is abraded by the SiC<sub>p</sub>. At higher loads, the hard SiC<sub>p</sub> fractures and get trapped between steel counter face and the specimen.

The iron from the rotating disc is abraded by the fractured SiC<sub>p</sub>, and Fe particles penetrate the surface of the specimen. At higher sliding speed, the fractured particles squeeze out onto the surface of composites forming a mechanical mixed layer (MML) (Fig.16b), these mechanisms are absent in the base alloy (Fig. 16a). The similar mechanism was observed from the earlier researchers [5, 28-37].

## 5. CONCLUSIONS

The following conclusions were drawn from the experimental data using a statistical technique of ANOVA using Minitab software.

1. The composite aged at T6-9 hrs provides higher hardness and gives MMCs the superior wear resistance and improved tribological behavior when compared to the base alloy.
2. The most significant factor that influences the wear rate of base alloy was sliding distance (56.81%), sliding speed (21.21%) and applied load (17.25%) and interaction factor D\*L (1.1%) had nominal effect. For composites sliding distance (53.49%), sliding speed (20.21%) and applied load (18.32%) were the significant factors while there was no significant effect of interactions. The sliding distance is the most significant factor that influences the wear rate for both base alloy and composites.
3. For base alloy a large shear strain is induced in the subsurface region during sliding wear process causing severe plastic deformation.
4. The fractured SiC<sub>p</sub> relieves the shear strain in the deformed zone. The graphite present in the sub-surface region can form solid lubricant films on the worn-out surfaces thereby resisting plastic deformation in the subsurface region.
5. The powdered SiC<sub>p</sub> along with ferrous

oxides from the counterface, aluminium oxides from the specimen and graphite are mixed, forming a mechanically mixed layer (MML) reduces the wear rate of the composites.

6. Wear debris reveals that base alloy exhibited thin plate like structures due to the plastic deformation of matrix. In composites fine particles emerged to forms a stable MML which reduces the wear rate of the composites.
7. It is confirmed by EDS analysis of the worn out surfaces of composites that the presence of reinforcements along with iron were observed.

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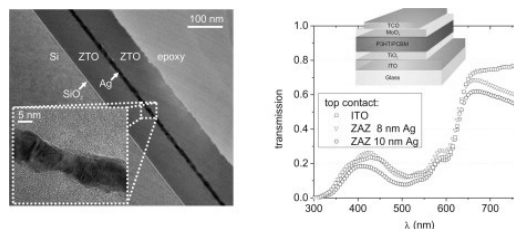
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